

**EWI**<sup>®</sup>  
*Joining Innovation*





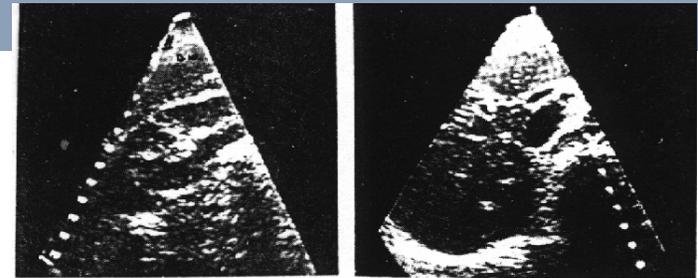
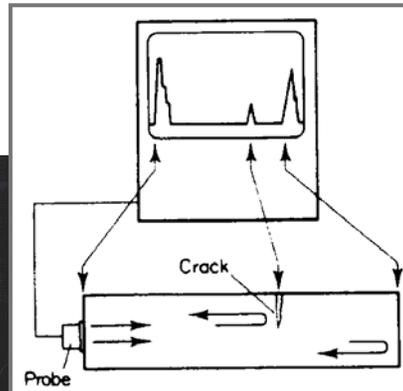
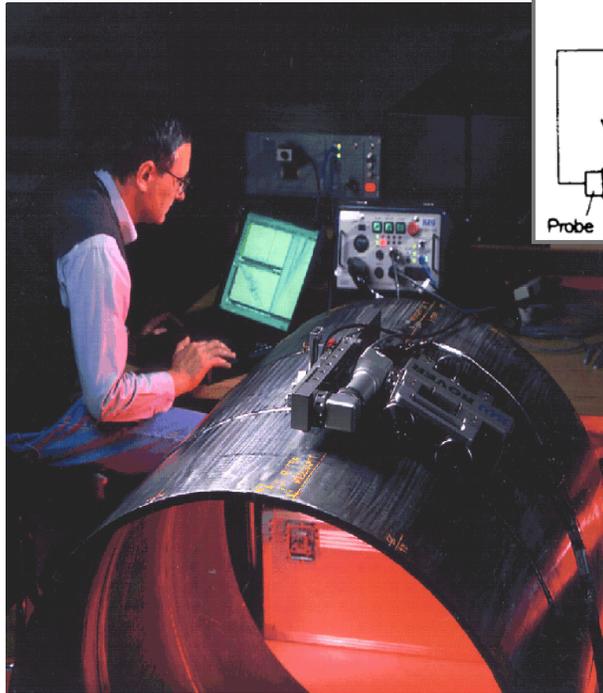
# Principles and Applications of High Power Ultrasonics

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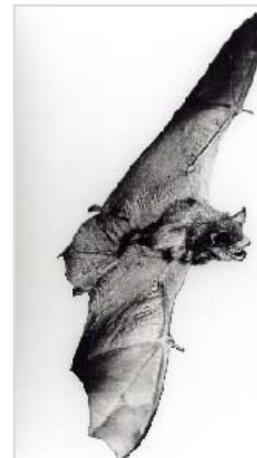
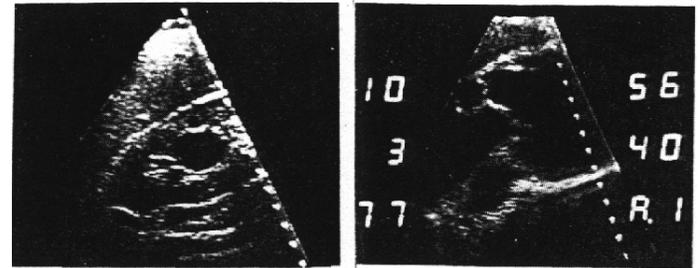
# The Field of Ultrasonics

- High frequency ultrasonics
- High power ultrasonics



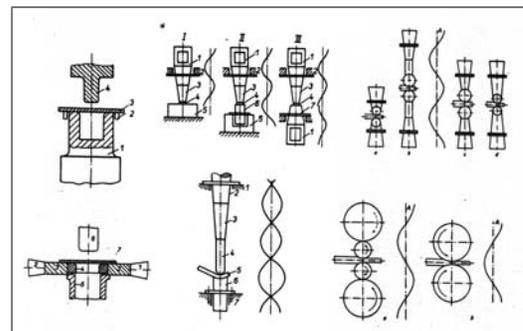
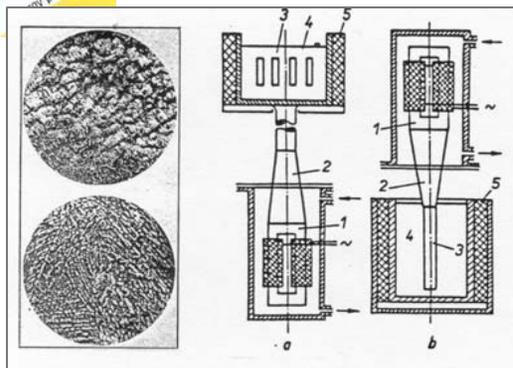
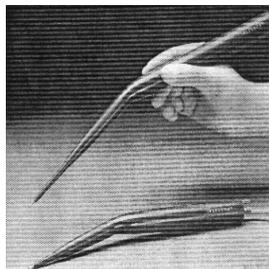
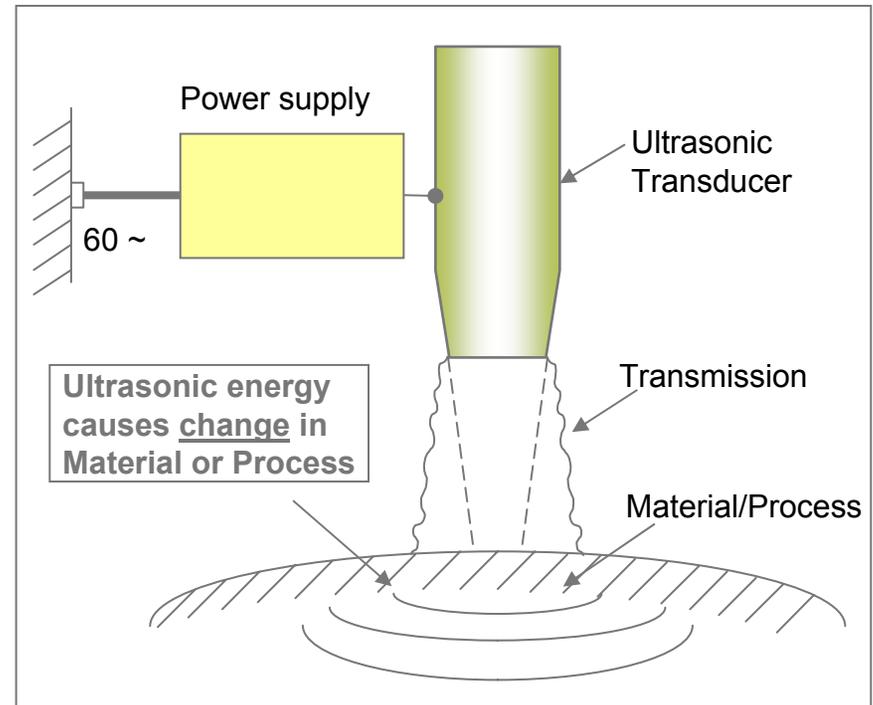
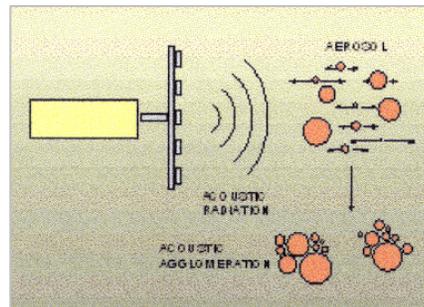
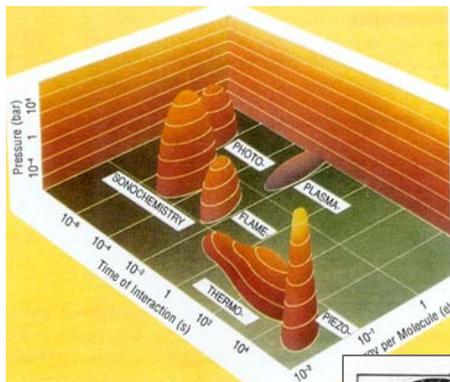
Sagittal - Right Kidney

Transverse - Upper Abdomen



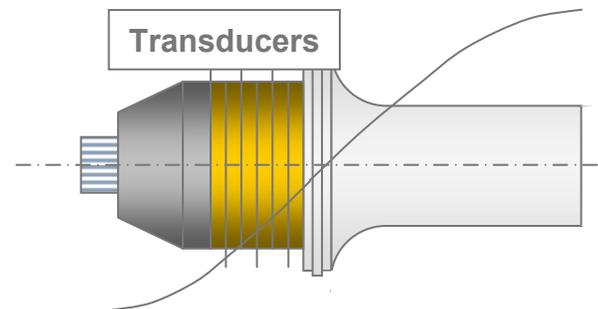
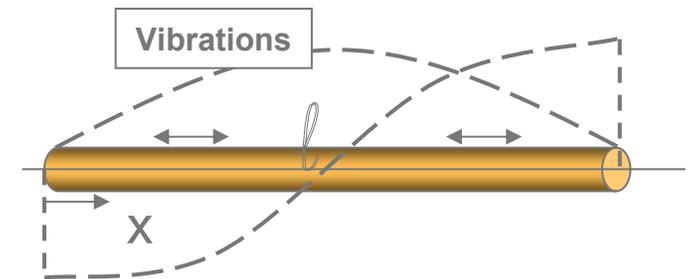
# What is High-Power Ultrasonics?

- HPU ... application of intense, high-frequency acoustic energy to change materials, processes.

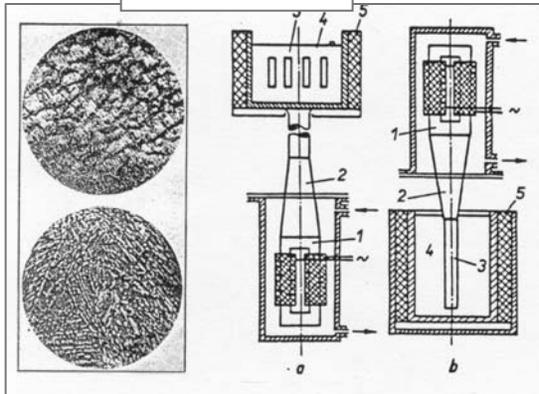


# Outline ...

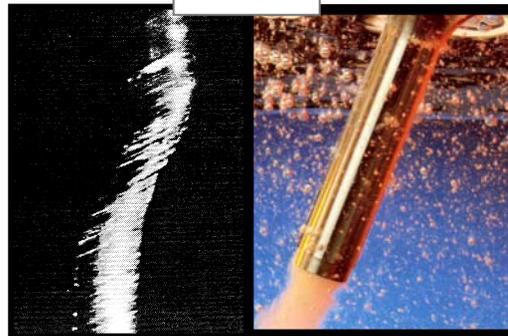
- Ultrasonic vibrations and waves
- Transducers and systems
- Physical effects of HPU
- Applications of HPU



Applications

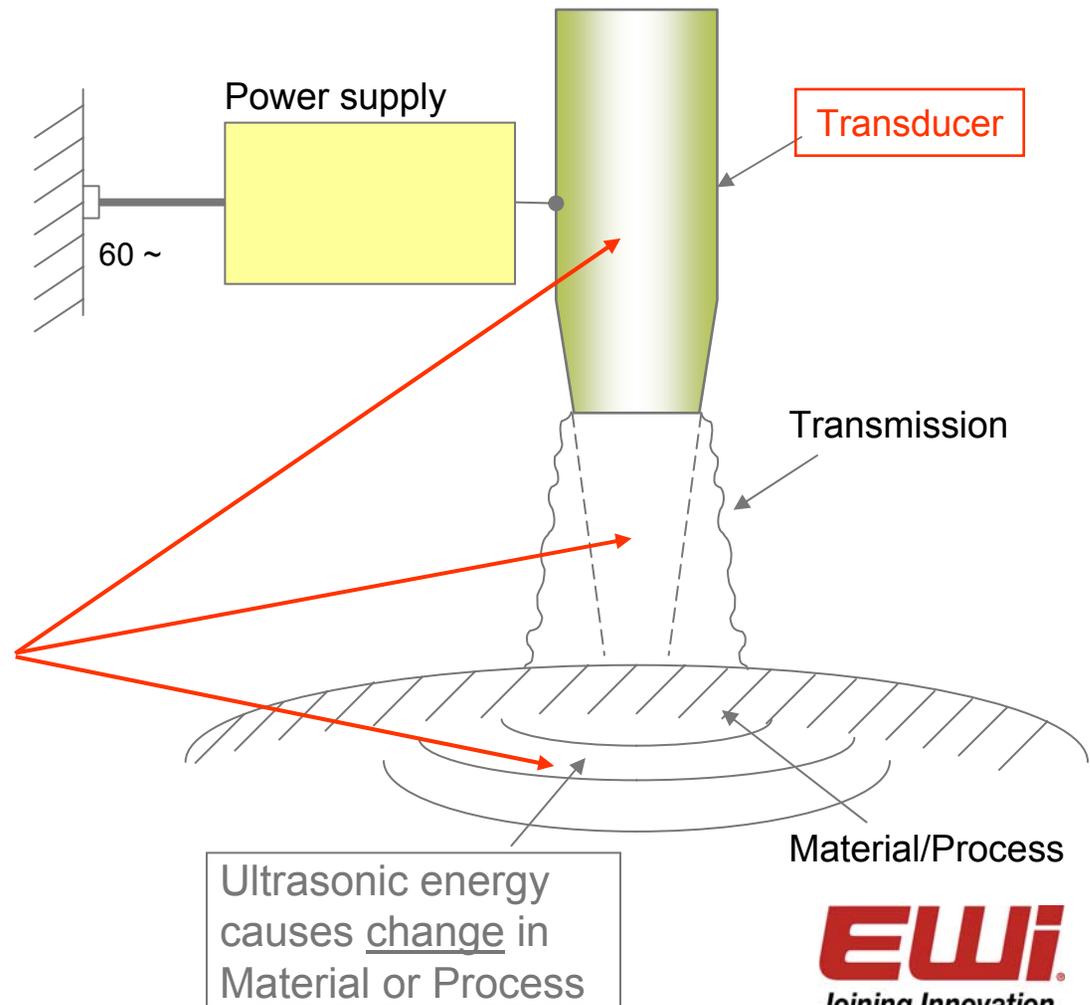


Effects

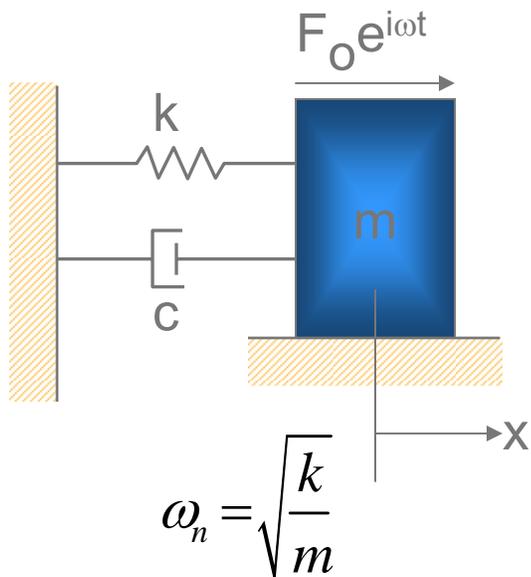


# US Vibrations & Waves

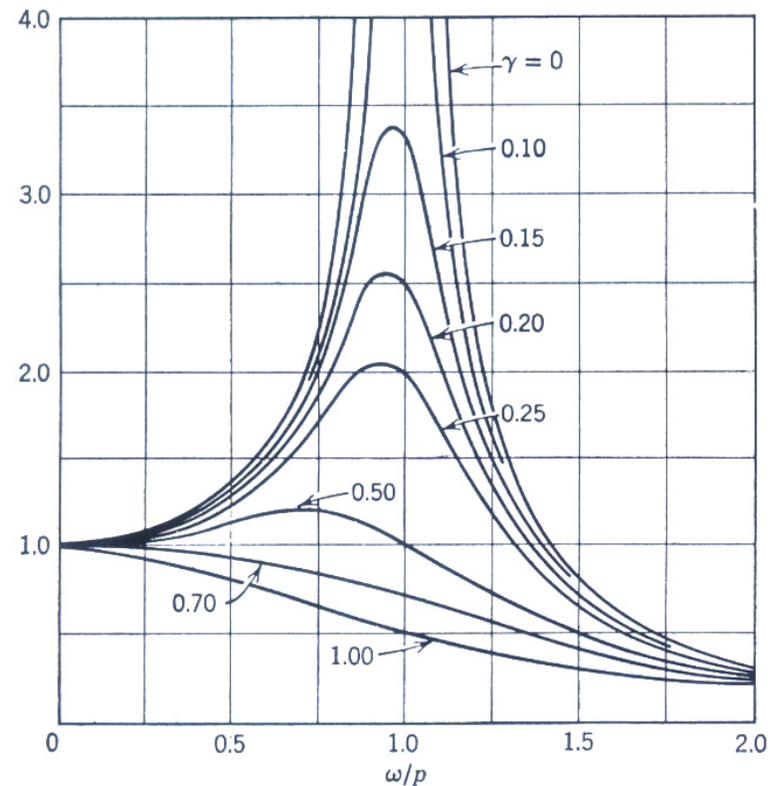
- HPU ... application of intense (i.e., high-power), high-frequency (i.e., ultrasonic) acoustic energy to create **change** in materials and processes.
- Vibrations & Waves enter at every stage of HPU



# Oscillator



$$\left| \frac{x}{\Lambda_m} \right|$$

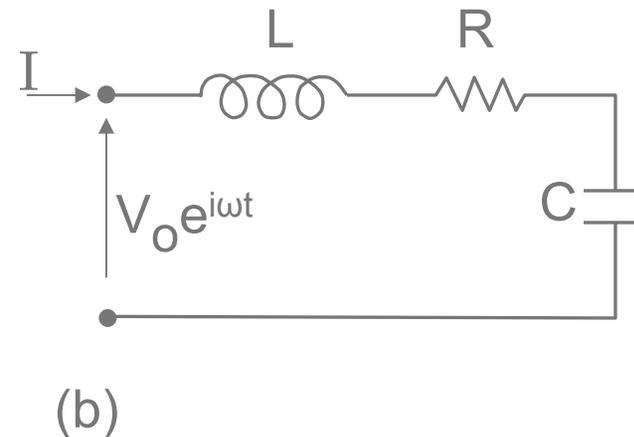
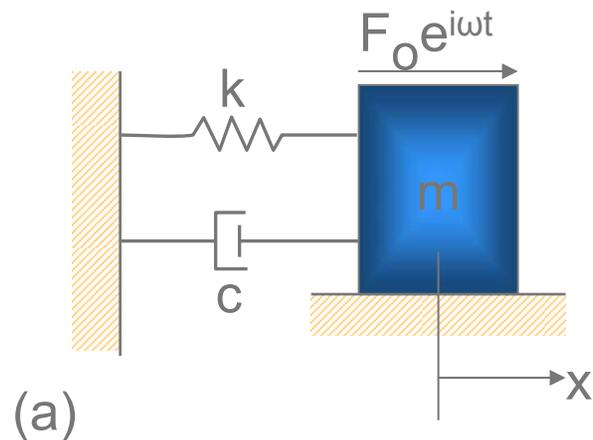


Timoshenko, S., Young, D.H. and Weaver, W. Jr., "Vibration Problems in Engineering," Fourth Edition, John Wiley & Sons, New York, 1974, Fig. 1.33.

Teaches ...

- Natural frequency
- Resonance
- High Q

# Equivalent Circuits

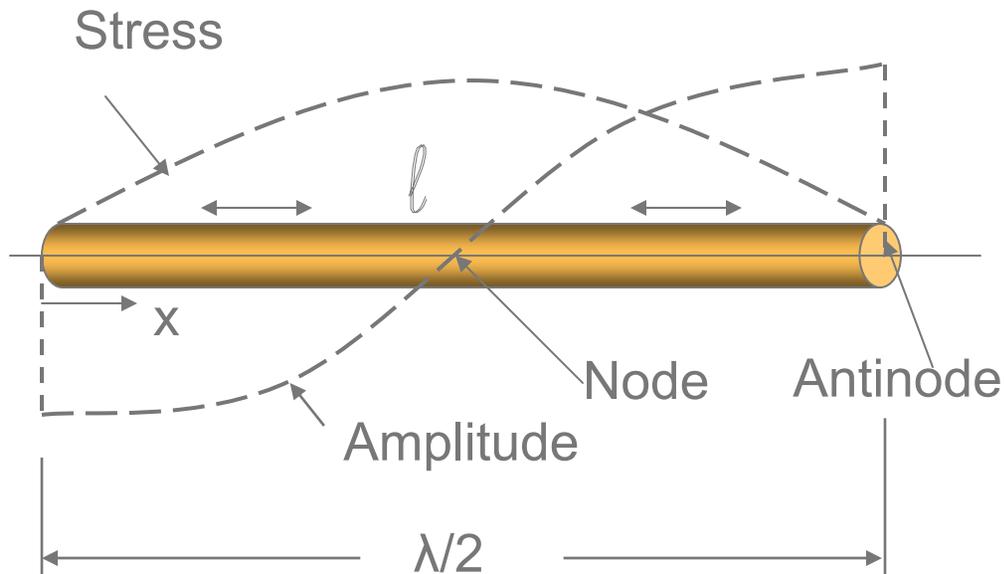


$F$  - force on the mass  
 $v$  - velocity of mass  
 $k$  - spring stiffness  
 $m$  - mass

$V$  - voltage applied to the circuit  
 $I$  - current in the circuit  
 $L$  - inductance  
 $R$  - resistance

# Longitudinal Vibrations

## Basic Vibration Concepts



- Expansion/contraction nature of longitudinal vibrations
- Natural frequency
- Nodes and antinodes
- Amplitude, stress distribution
- Wavelength -  $\lambda$

$$f = \frac{c}{2l}, c = \sqrt{\frac{E}{\rho}}$$



cylinder-20khz.avi  
(1 MB)

Steel, Al:  $c \cong 5.1 \times 10^3 \text{ m/s}$

at  $20 \times 10^3 \text{ Hz}$  (20kHz)

$$\rightarrow l = \frac{c}{2f} = \frac{5.1 \times 10^3}{2 \times 20 \times 10^3}$$

$$= 0.128 \text{ m} = 12.8 \text{ cm}$$

$$\cong 5 \text{ in.}$$

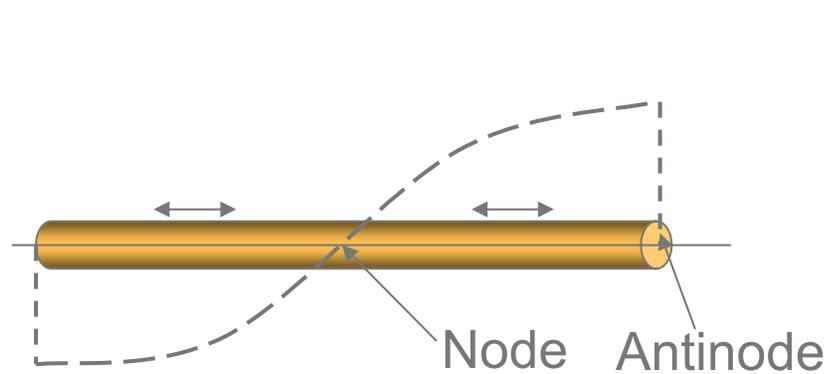
# Wave (Bar, Rod) Velocities

$$c \sim \text{Wave velocity in rod} = (E/\rho)^{1/2}$$

Material	Bar velocity	
	m s <sup>-1</sup> x 10 <sup>-3</sup>	in. s <sup>-1</sup> x 10 <sup>-4</sup>
Aluminum	5.23	20.6
Brass	3.43	13.5
Cadmi-num	2.39	9.4
Copper	3.58	14.1
Gold	2.03	8.0
Iron	5.18	20.4
Lead	1.14	4.5
Magnesium	4.90	19.3
Nickel	4.75	18.7
Silver	2.64	10.4
Steel	5.06	19.9
Tin	2.72	10.7
Tungsten	4.29	16.9
Zinc	3.81	15.0

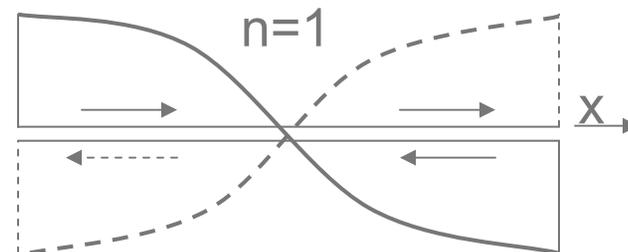
*Note: Titanium ~ steel, aluminum, magnesium*

# Longitudinal Vibration Modes

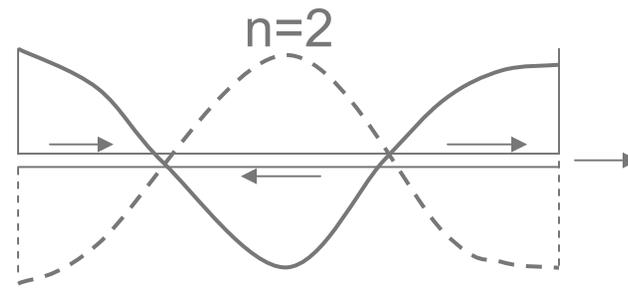


$$f_n = \frac{nc}{2l}$$

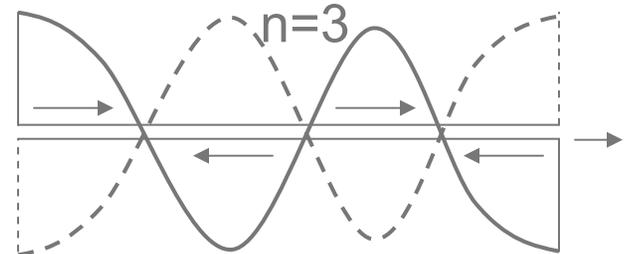
$$n = 1, 2, 3, \dots$$



$$f_1 = \frac{c}{2l}$$



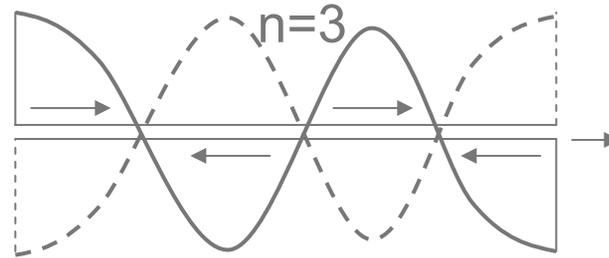
$$f_2 = \frac{c}{l}$$



$$f_3 = \frac{3c}{2l}$$

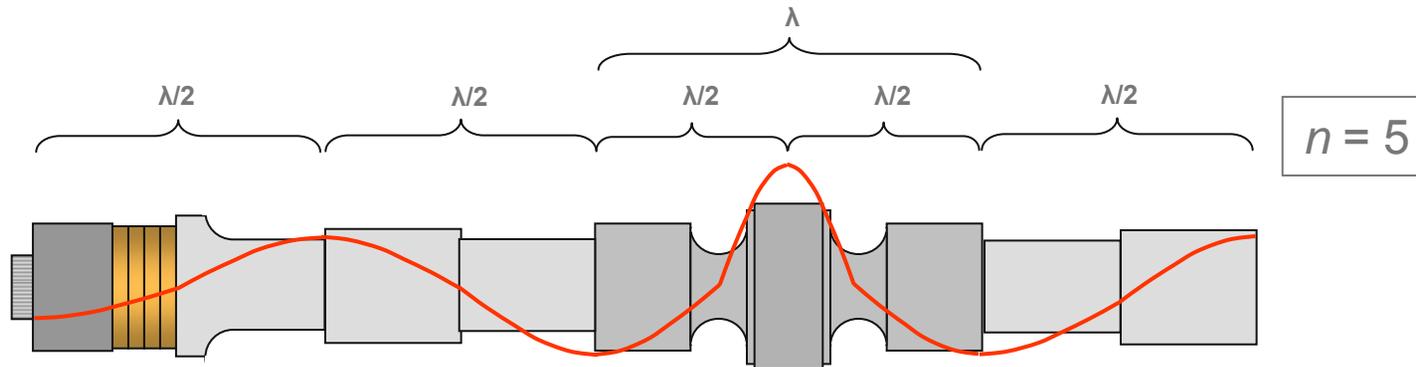
# Note on “Mode Counting”

$$f_n = \frac{nc}{2\ell}$$

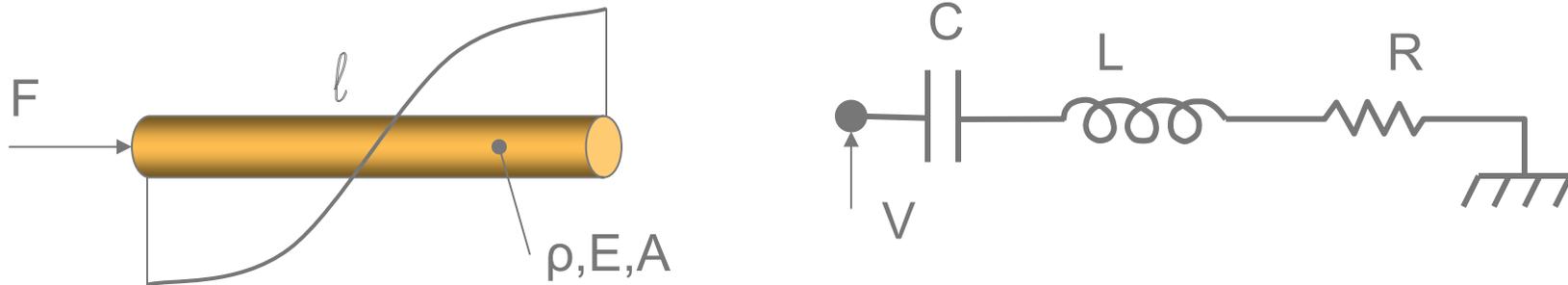


$$f_3 = \frac{3c}{2\ell}$$

Mode counting “with a vengeance”



# Equivalent Circuits



$$f = \frac{C_0}{2l}$$

$$1/C = \frac{\pi^2 AE}{2l}$$

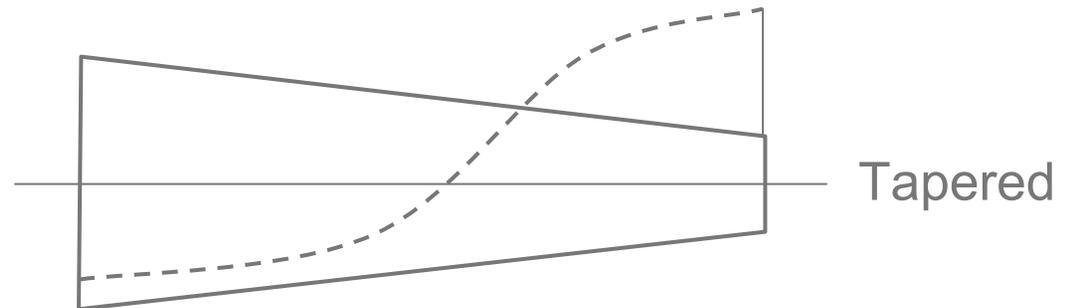
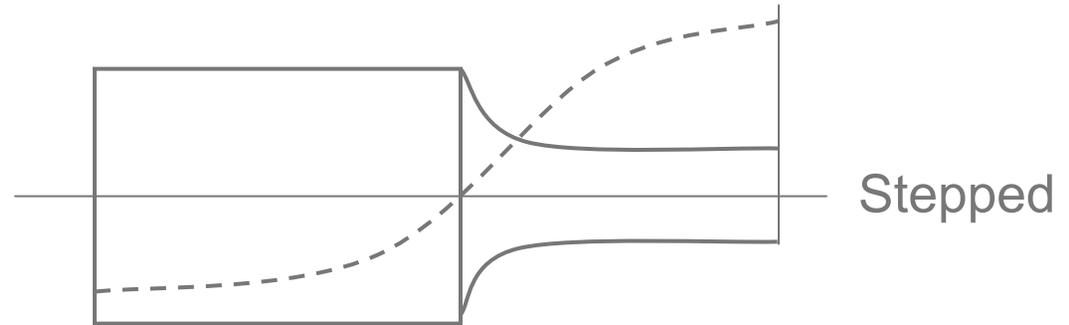
$$L = \frac{\rho Al}{2}, R = \text{loss}$$

# Ultrasonic Horns

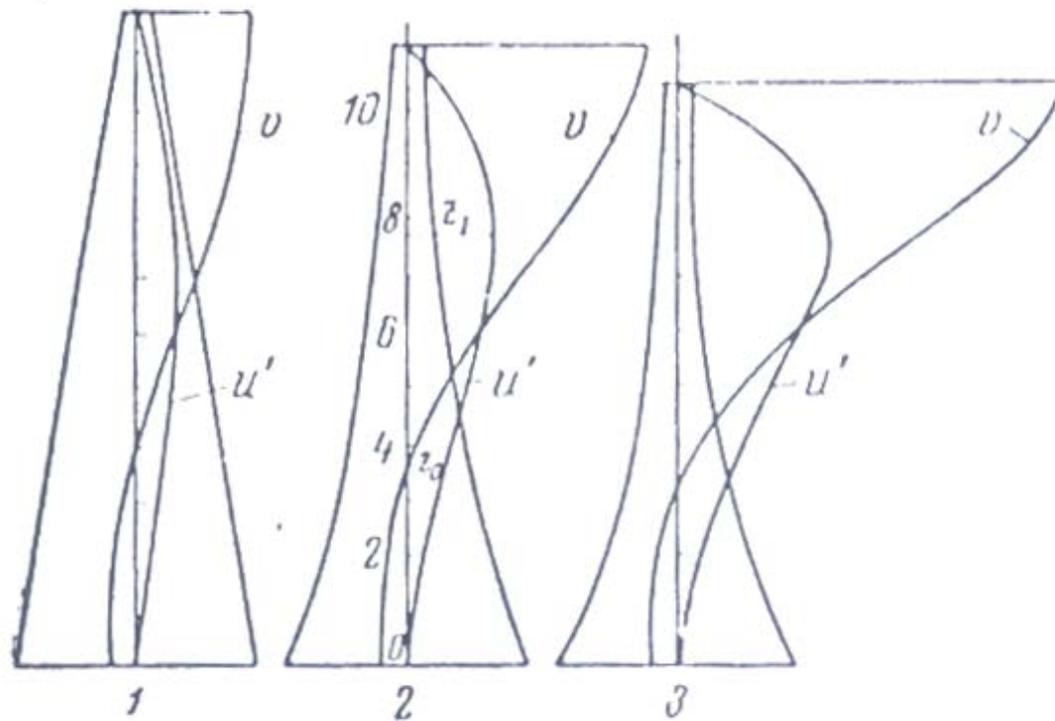
$$M = \frac{U_{\text{large}}}{U_{\text{small}}}$$

Stepped :

$$M = \frac{A_{\text{large}}}{A_{\text{small}}}$$



# Tapered Horns

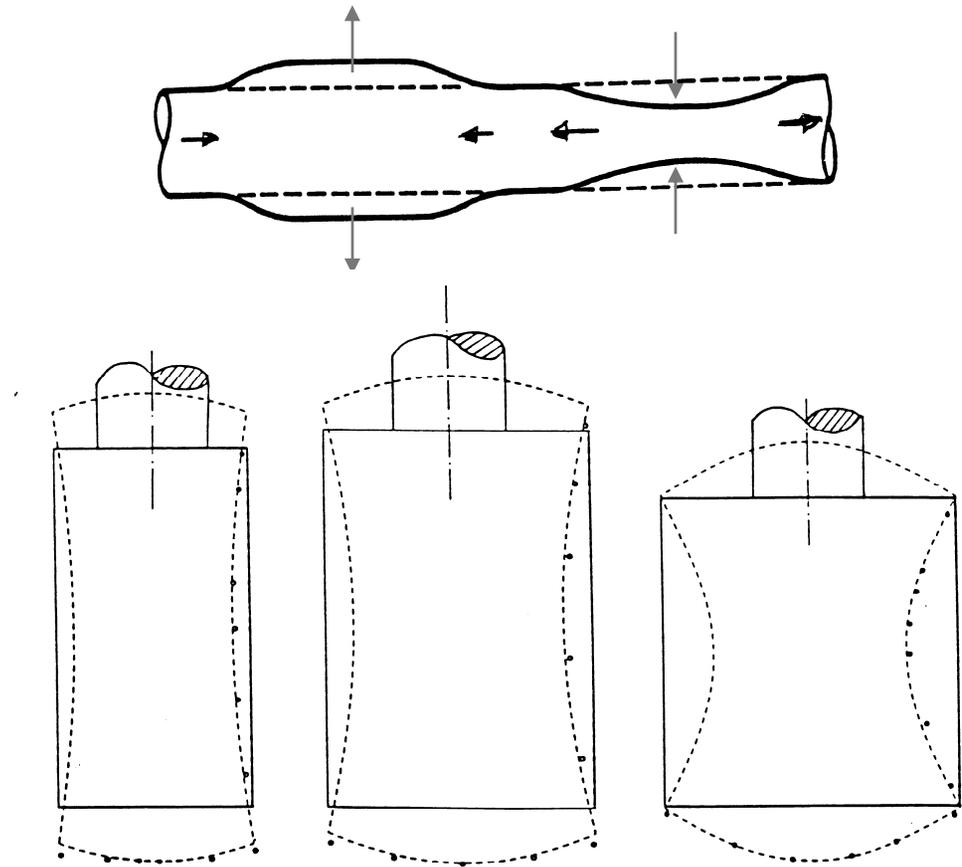
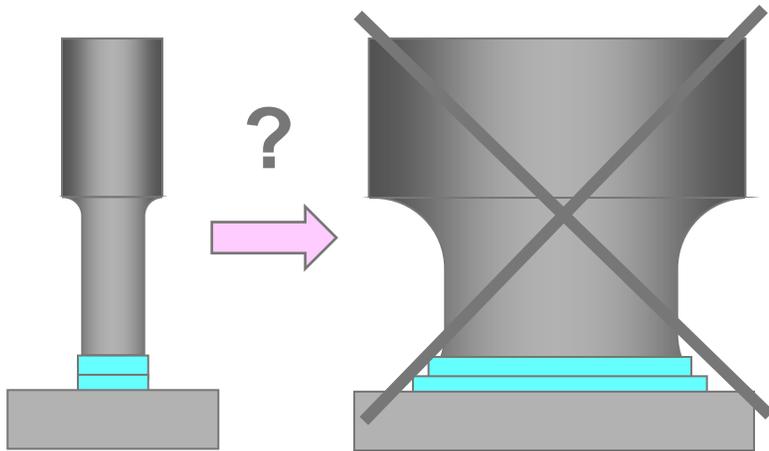


1. Conical
2. Exponential
3. Catenoidal

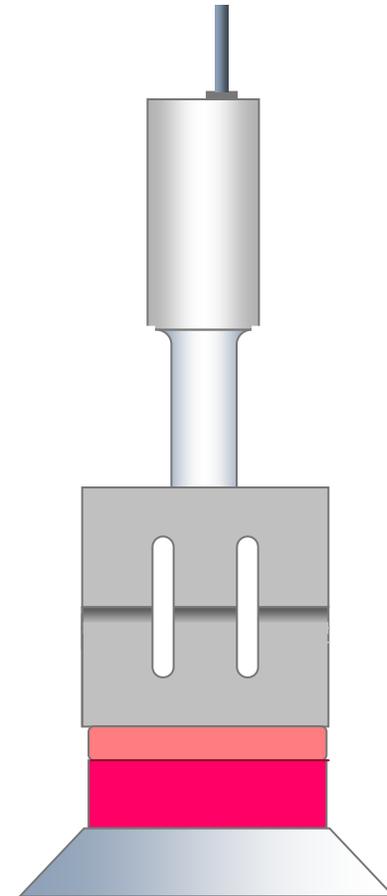
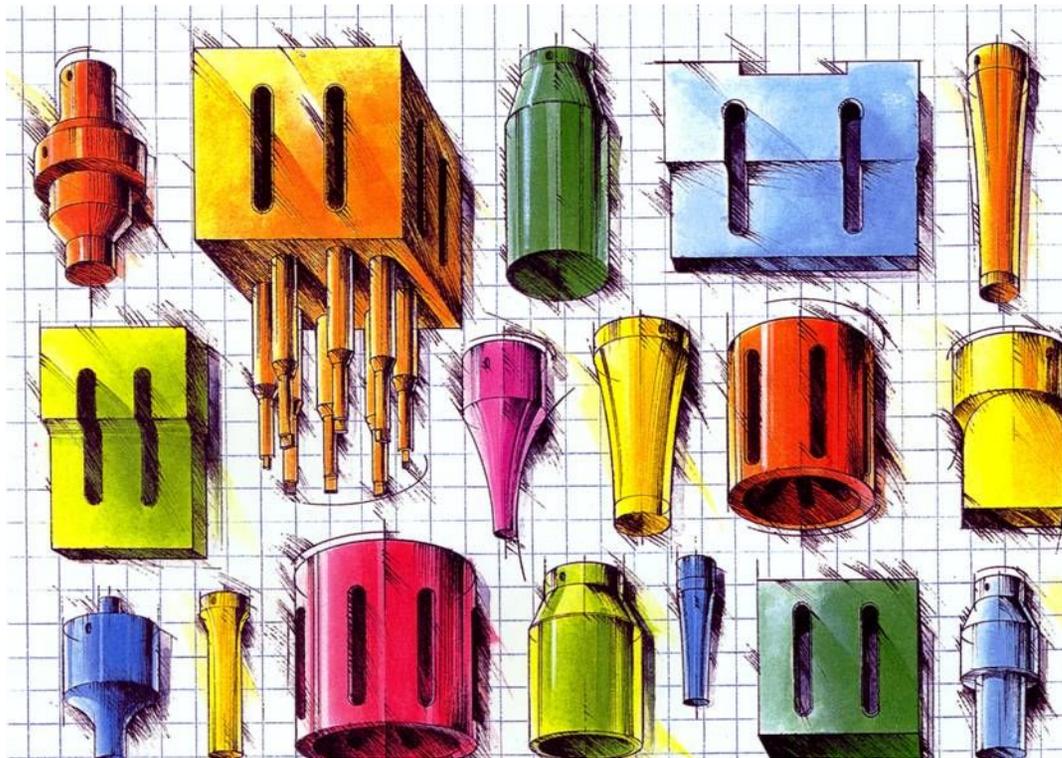
Merkulov, L.G., "Ultrasonic Concentrators," Soviet Physics- Acoustics, v. 3, no. 6, pp. 246=255, 1957, Fig. 4.

# Large Horns – Lateral Strain (“Poisson” effect), etc.

- Wide parts need wide horns, but ...

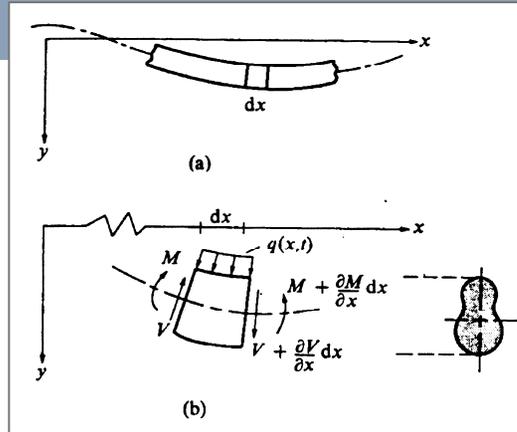


# Large Horns

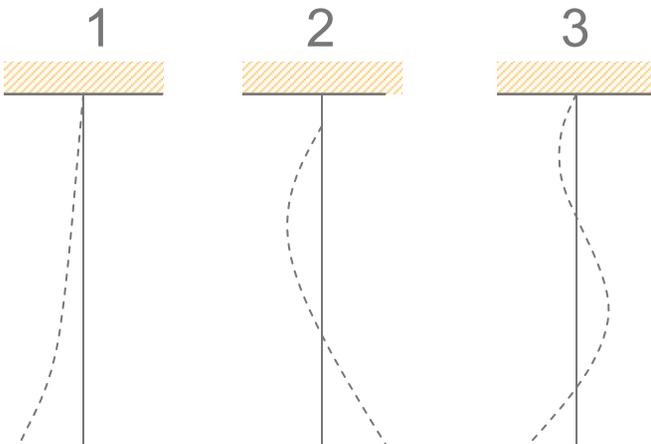


(From Branson product literature)

# Bending Vibrations



$$f = \frac{a\beta^2}{2\pi} \quad a^2 = \frac{Ic^2}{A}$$



A = Cross-section area  
I = Moment of inertia of cross-section

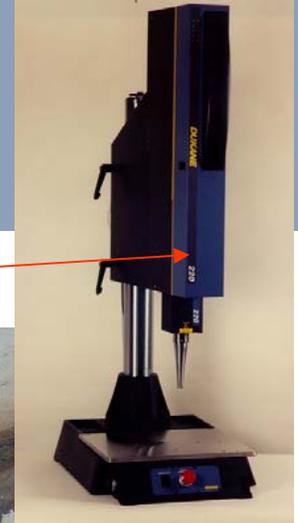
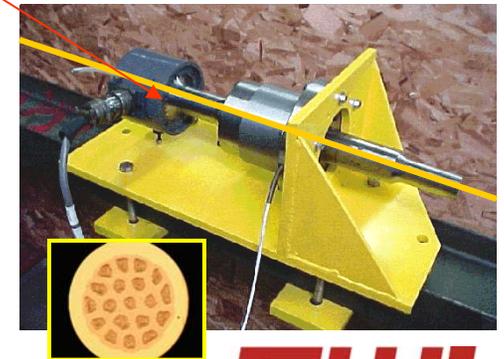
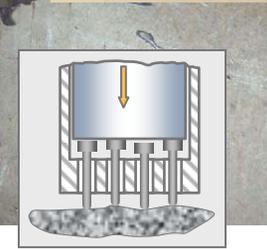
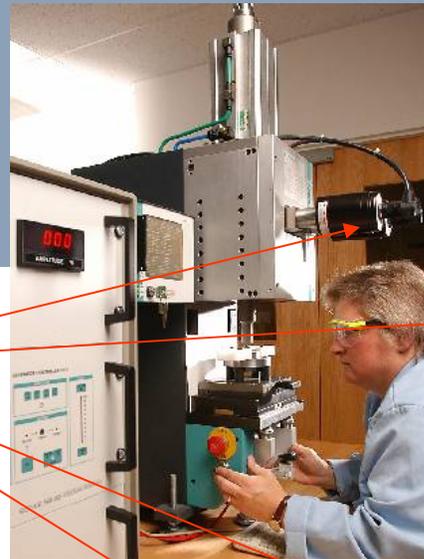
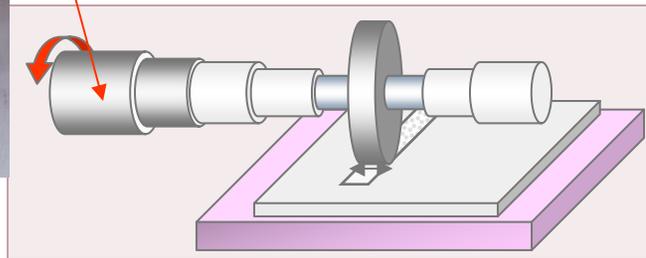
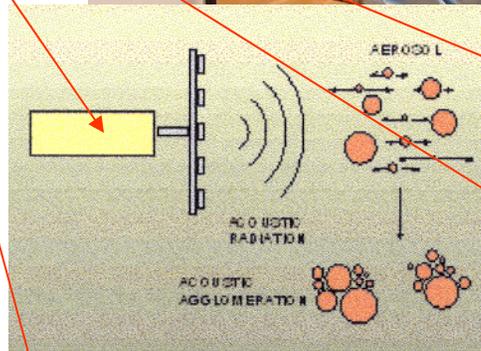
$$\beta_1 = 1.875$$

$$\beta_2 = 4.694$$

$$\beta_3 = 7.855$$

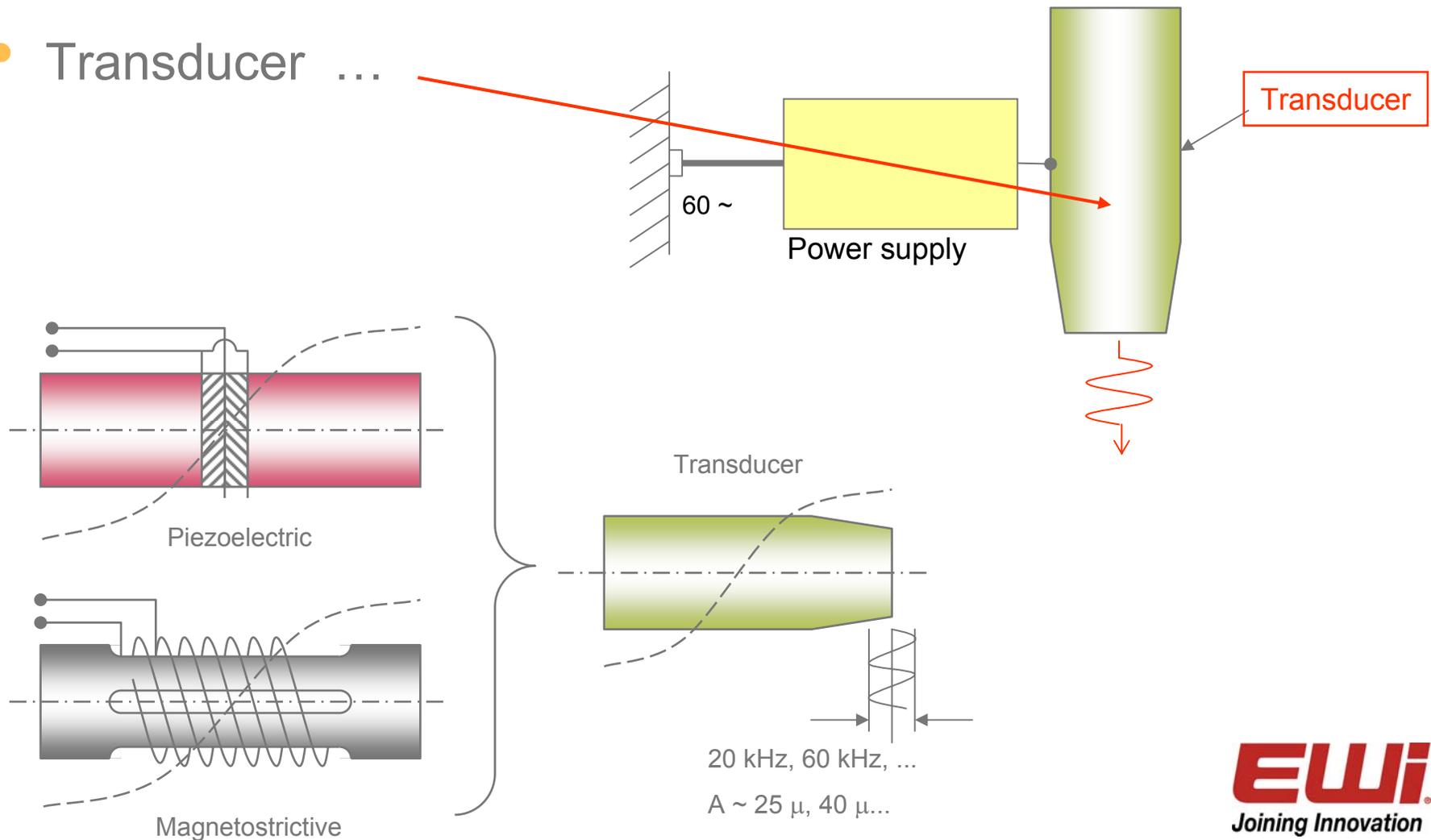
# HPU Processes

## ■ Transducers

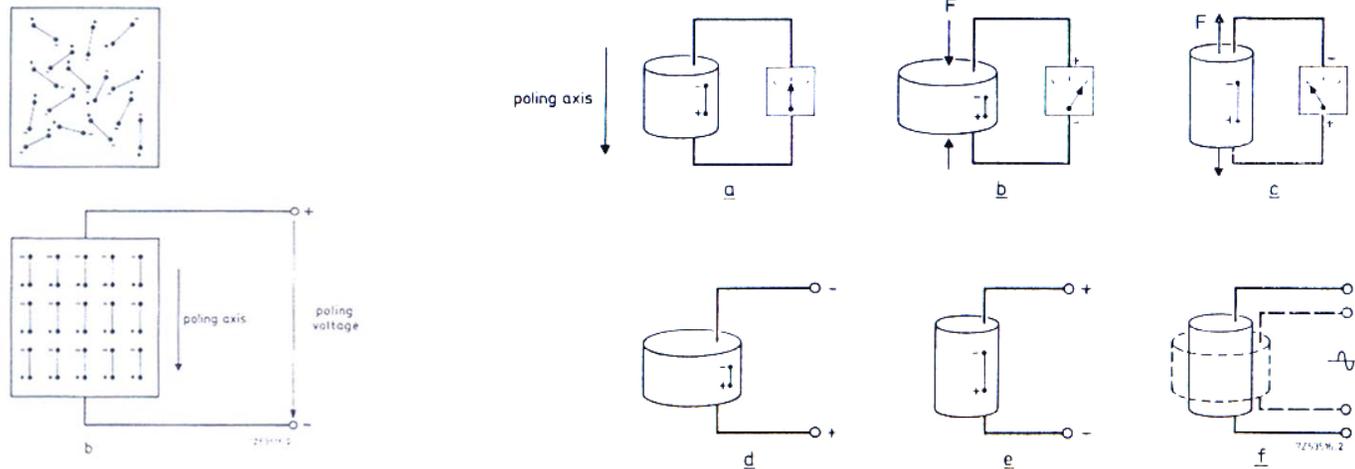
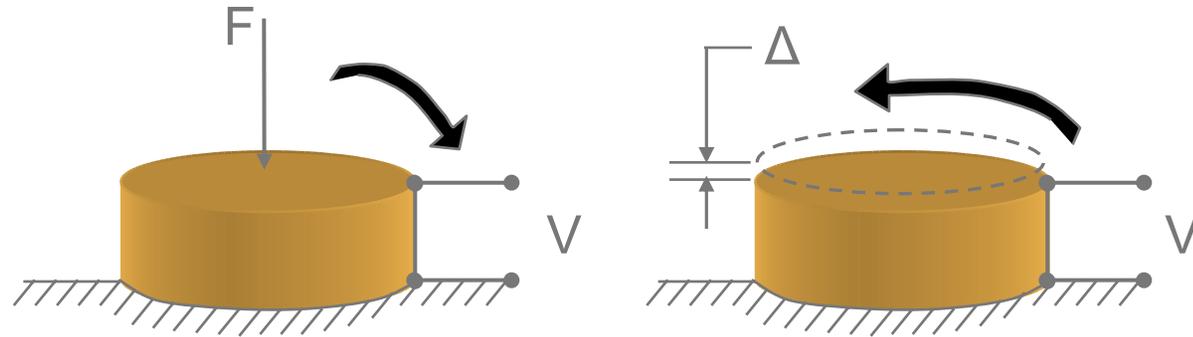


# Transducers

- Transducer ...



# Piezoelectricity, Piezoelectric Effect



Van Randeraat, J. and Settrington, R.E., Piezoelectric Ceramics, N.V. Phillips' Gloeilampenfabrieken, Eindhoven, The Netherlands, Second Edition, 1974. Fig. 2.1.

Van Randeraat, J., op. Cit., Fig. 2.2

# Piezoelectric Equations

$$\sigma = c^D \varepsilon \sim \text{Elastic solid}$$

$$E = \beta D \sim \text{Capacitor}$$

$$\begin{array}{l} \sigma = c^D \varepsilon + hD \\ E = -h\varepsilon + \beta D \end{array} \sim \text{Piezoelectric material}$$

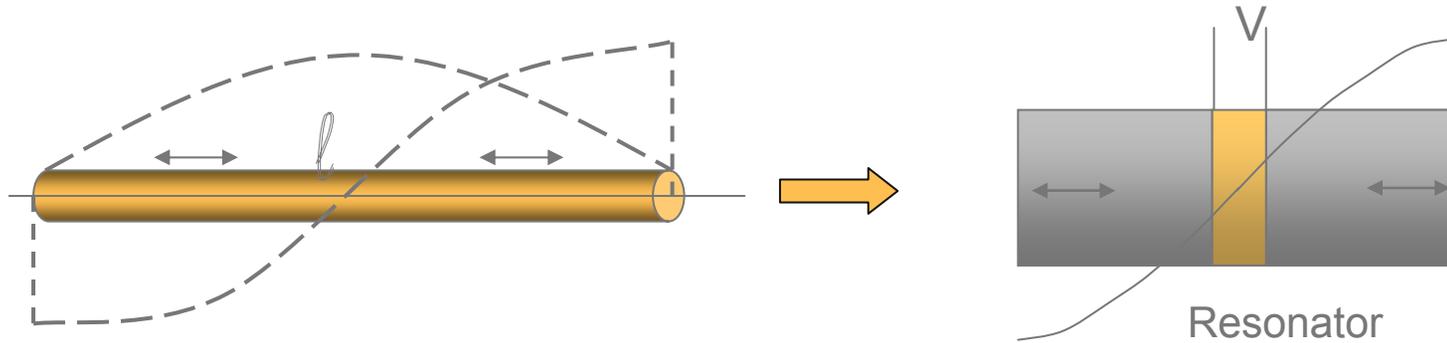
$\sigma, \varepsilon$  = stress, strain

$E, D$  = electric field, displacement

$c^D, \beta$  = elastic, dielectric constants

$h$  = piezoelectric constant

# Transducer (Converter)

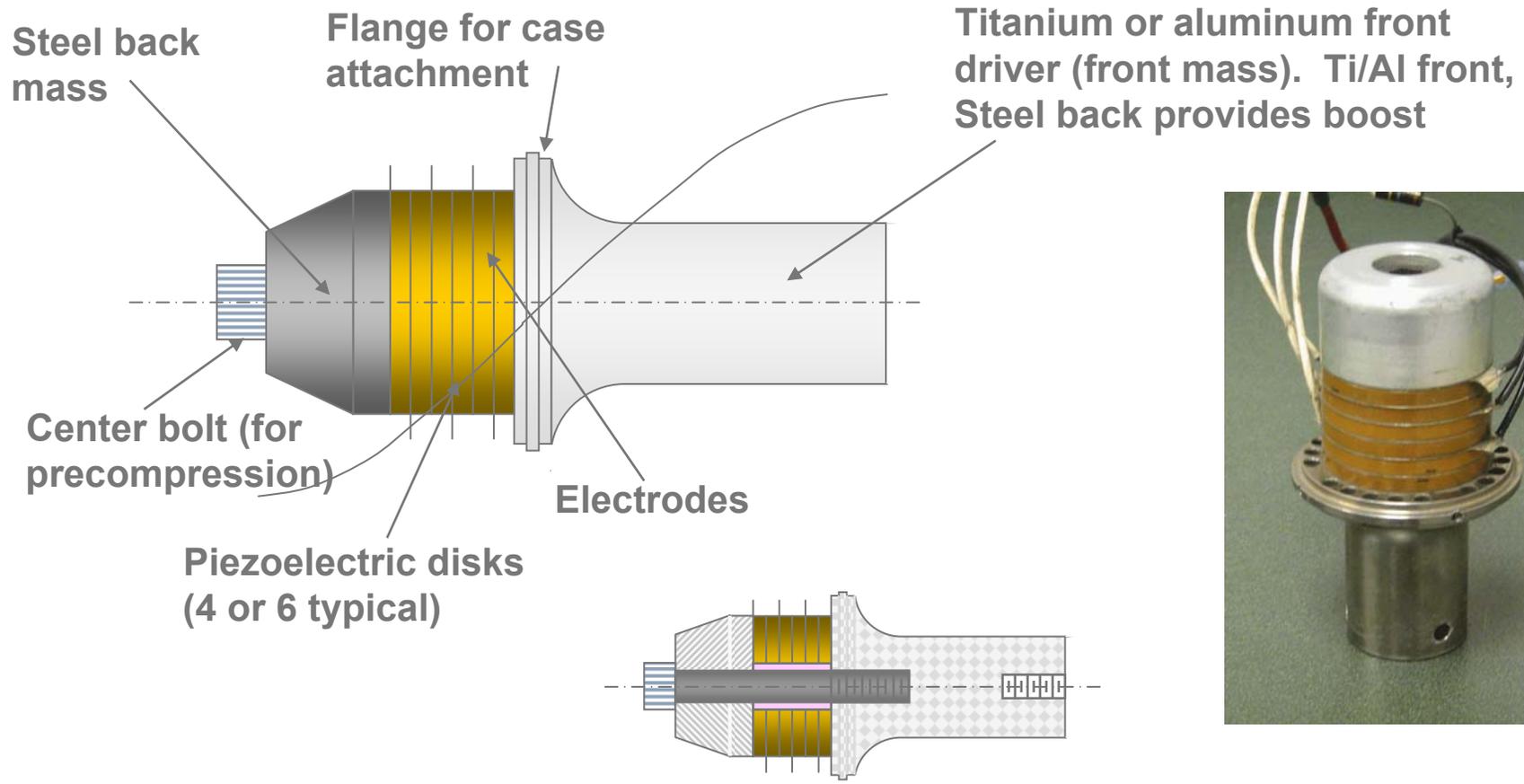


“Real” Transducer ...

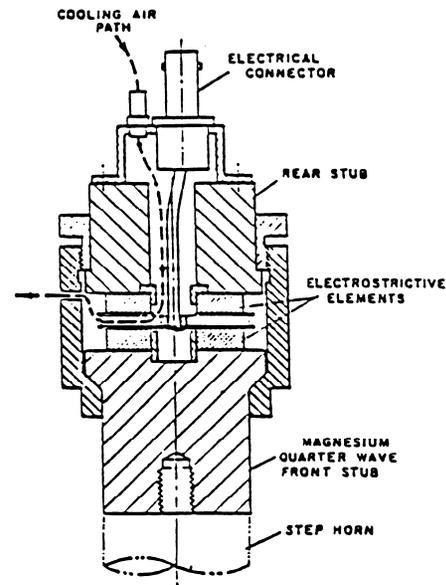
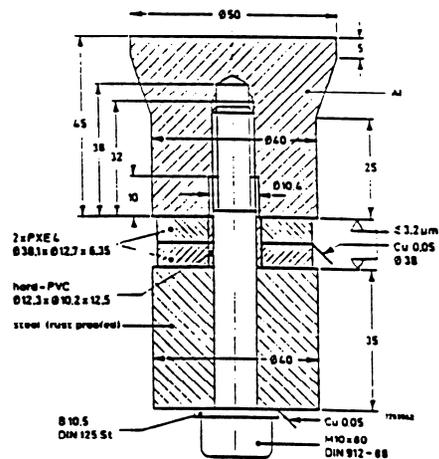
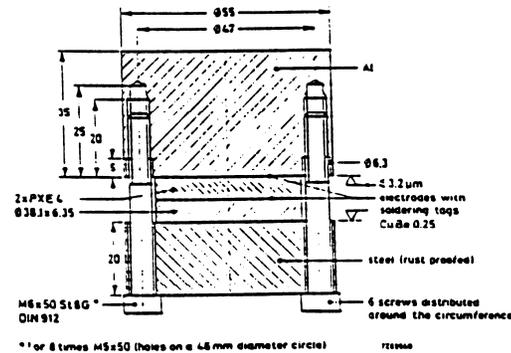
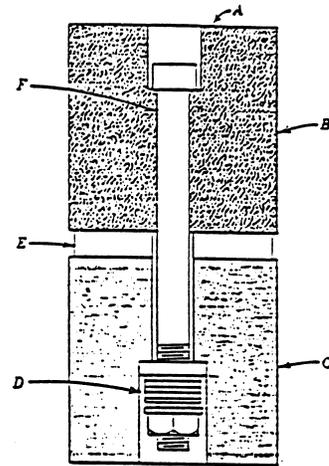
- Precompression
- Electrical safety
- Heating
- Vibration amplification
- Assembly
- Gripping/holding
- Tooling attachment



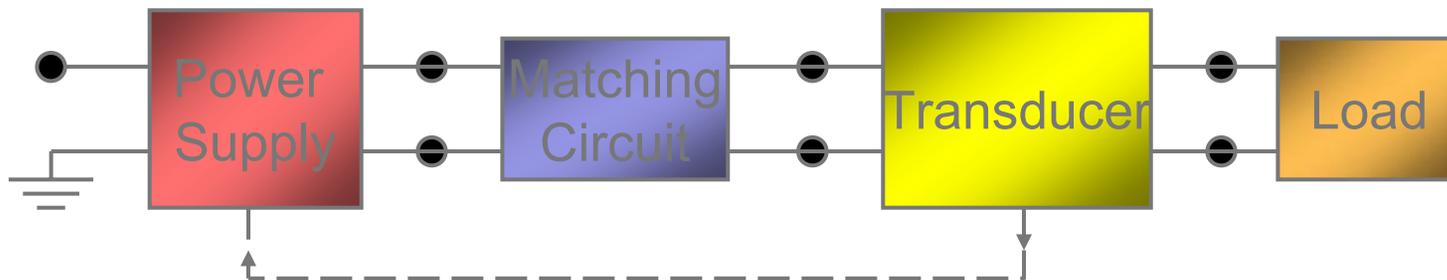
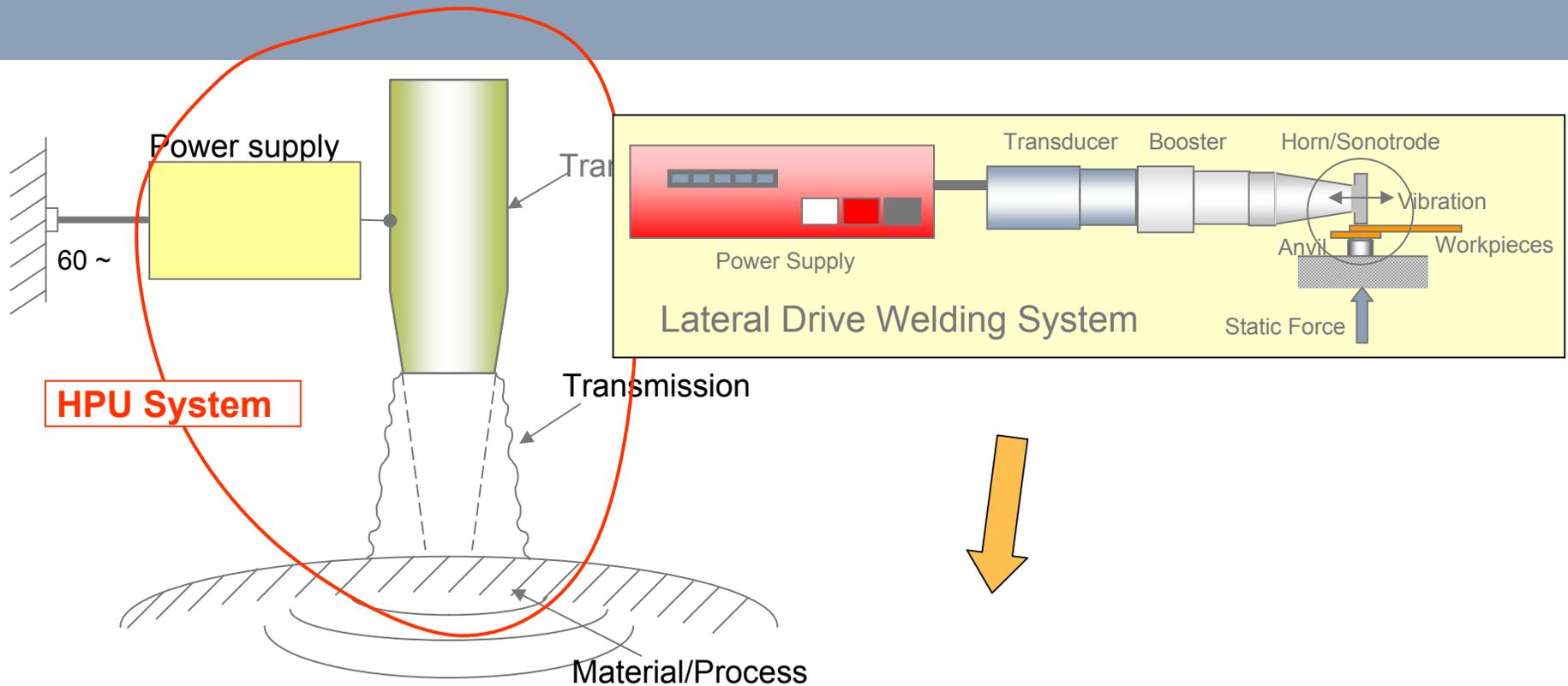
# HPU Piezoelectric Transducer



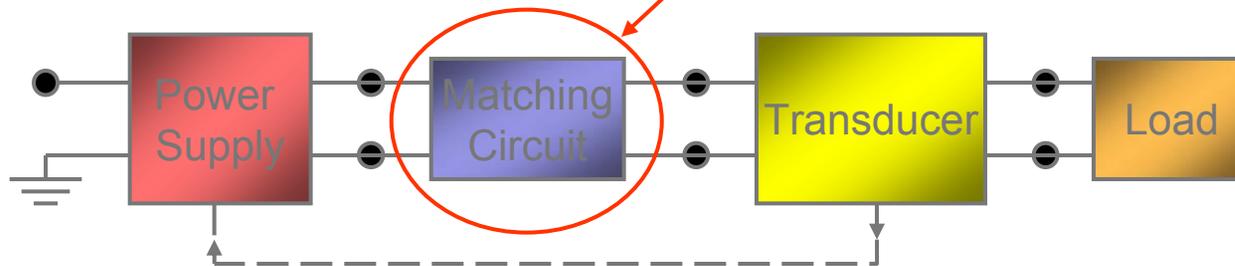
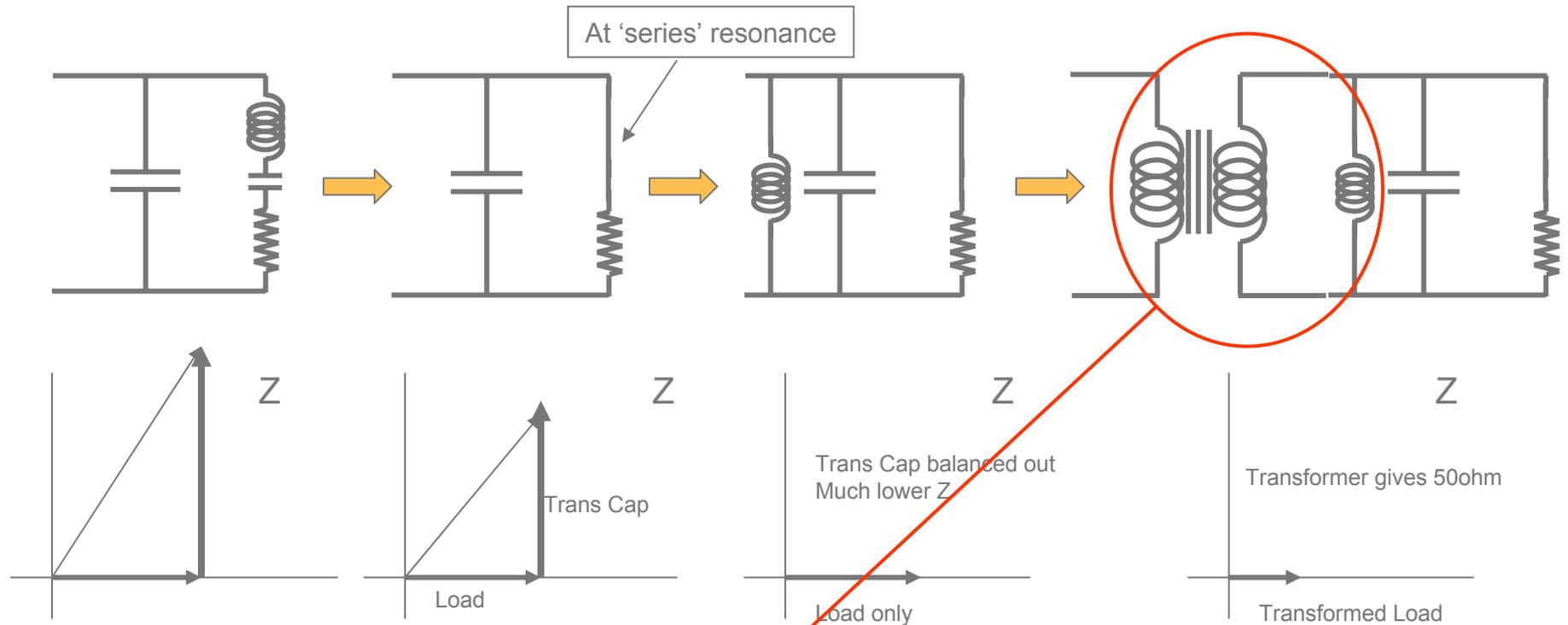
# Transducer Designs



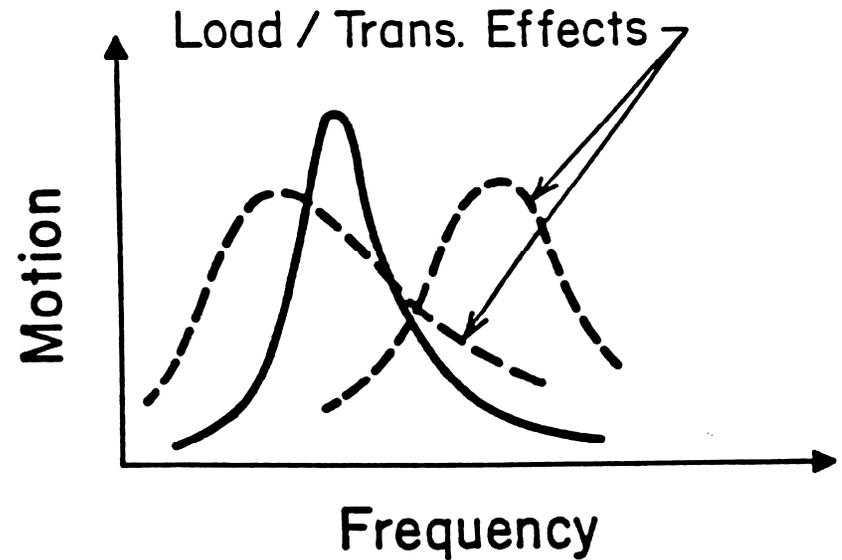
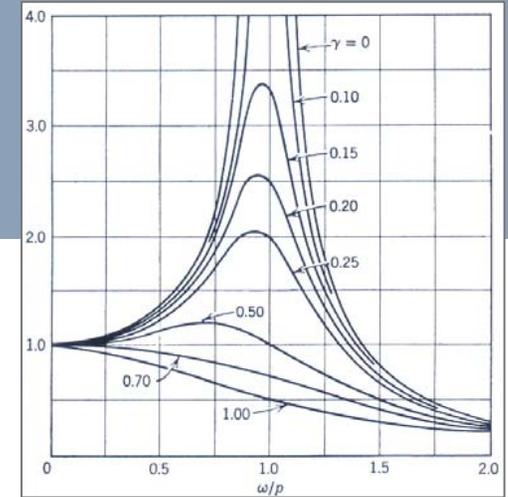
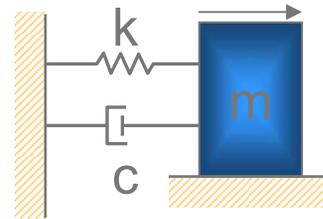
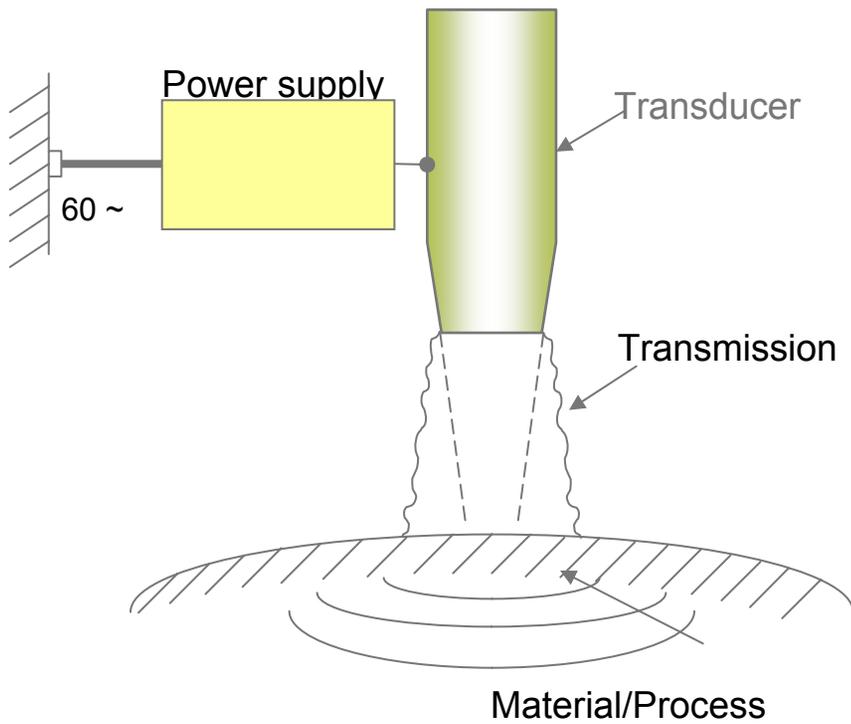
# High-Power Ultrasonic System



# Impedance matching

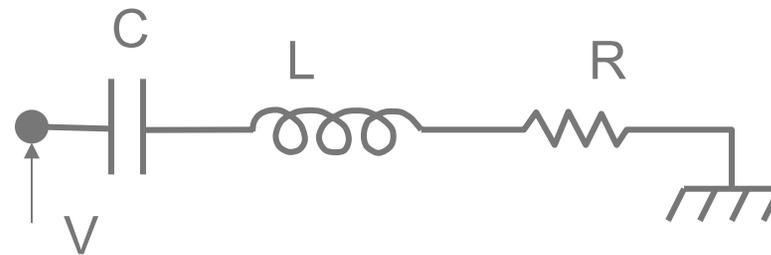
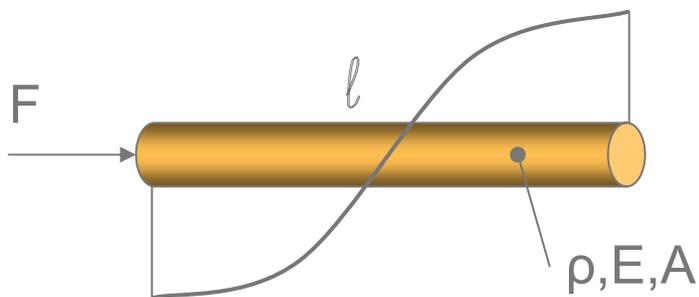
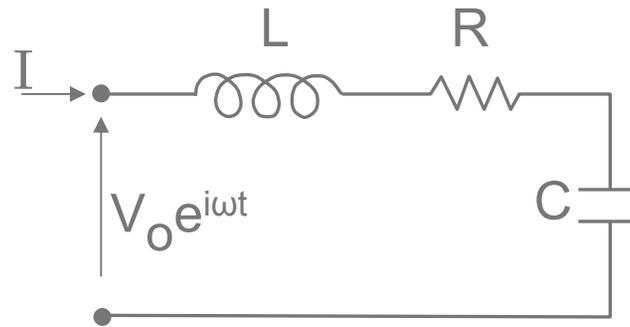
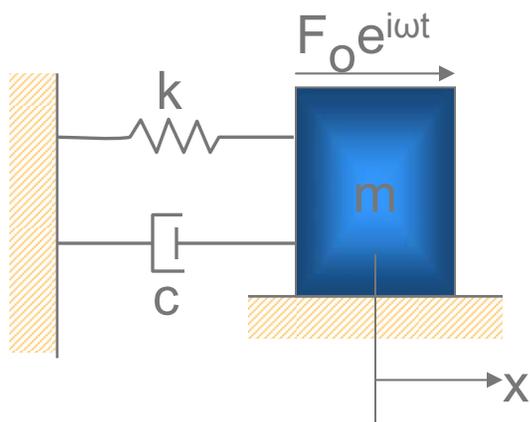


# Feedback Control

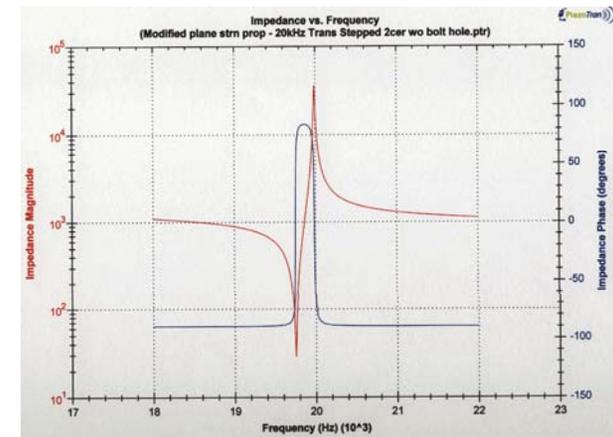
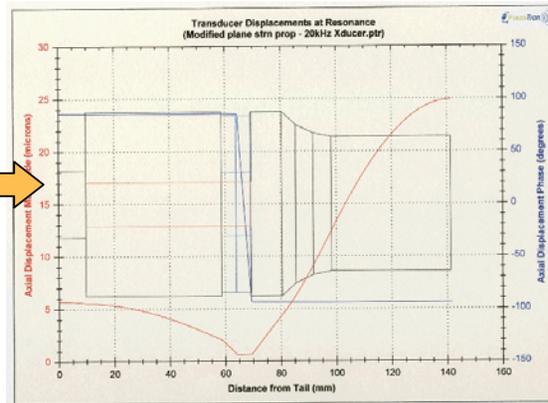
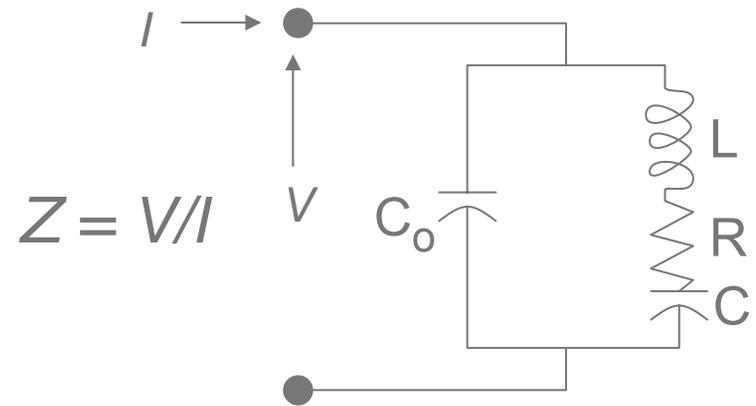
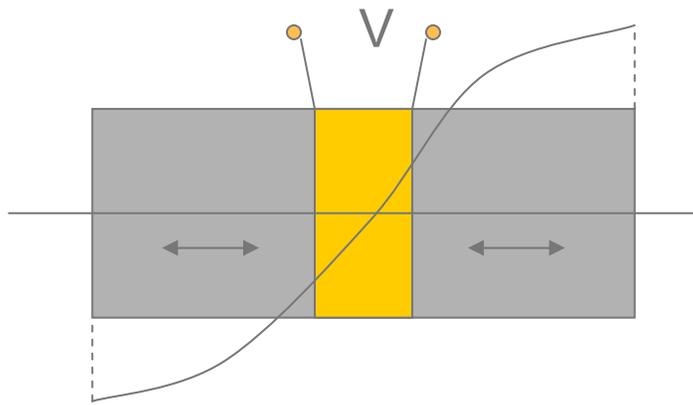


System Frequency Variation

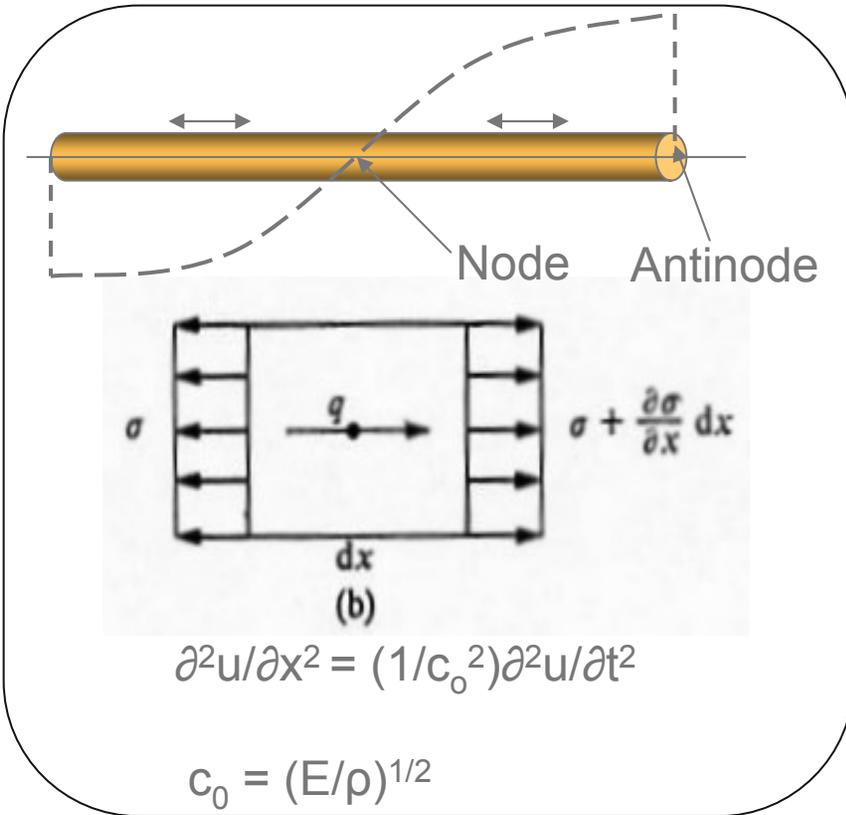
# Recall ... Equivalent Circuits



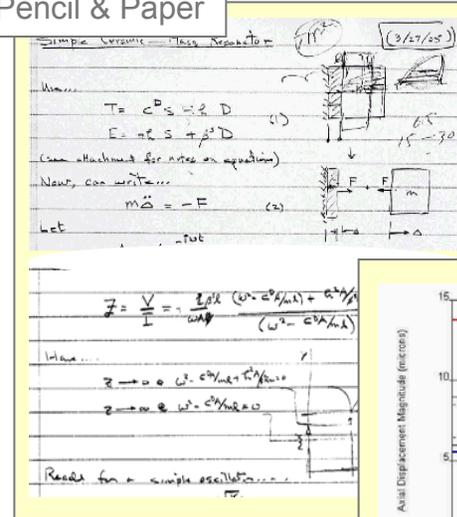
# Transducer Equivalent Circuit



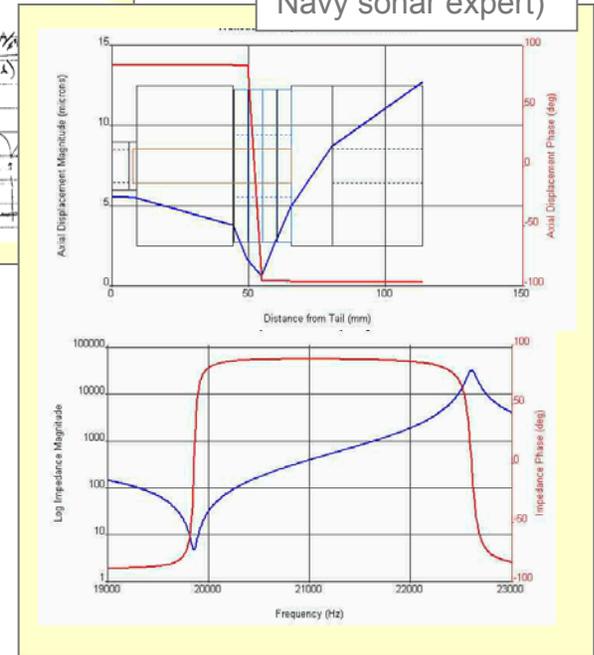
# Transducer Modeling – 1D



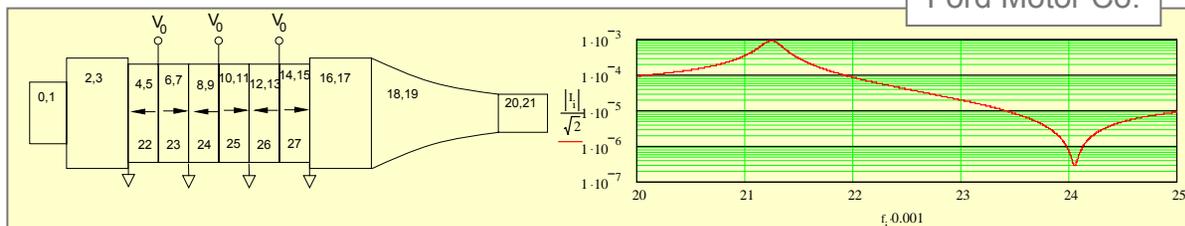
Pencil & Paper



PiezoTran (British Navy sonar expert)

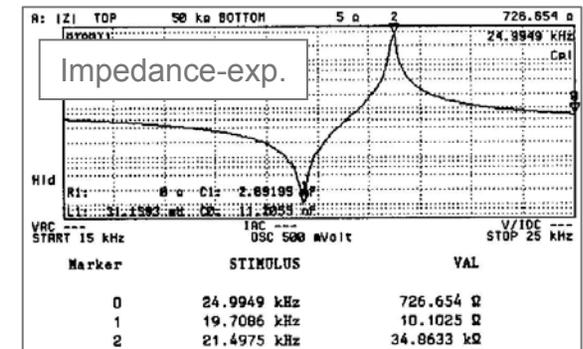
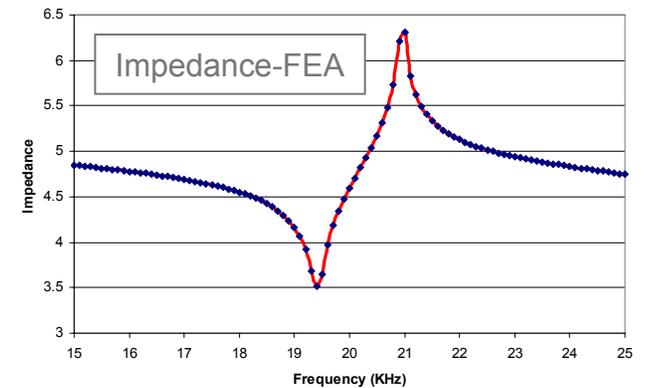
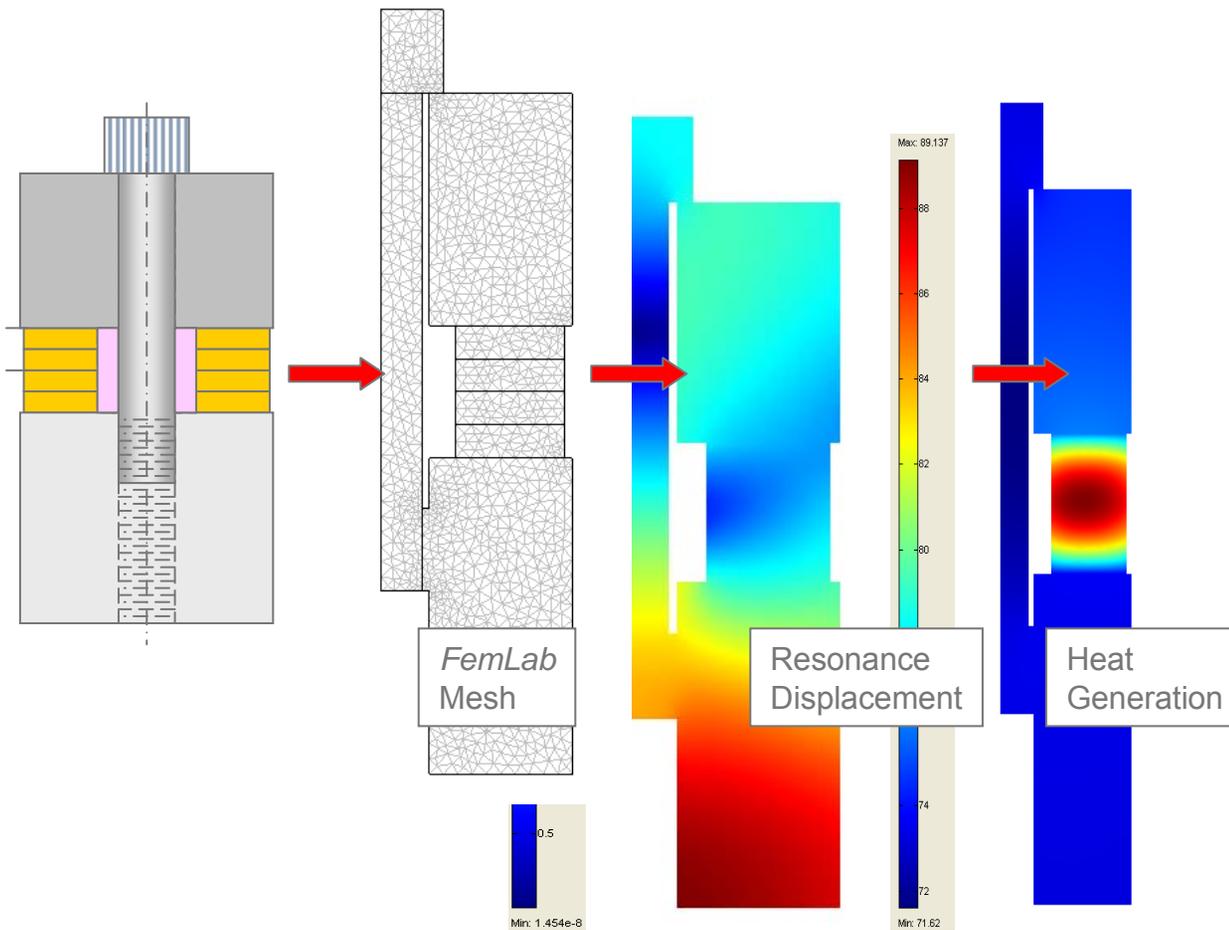


Ford Motor Co.

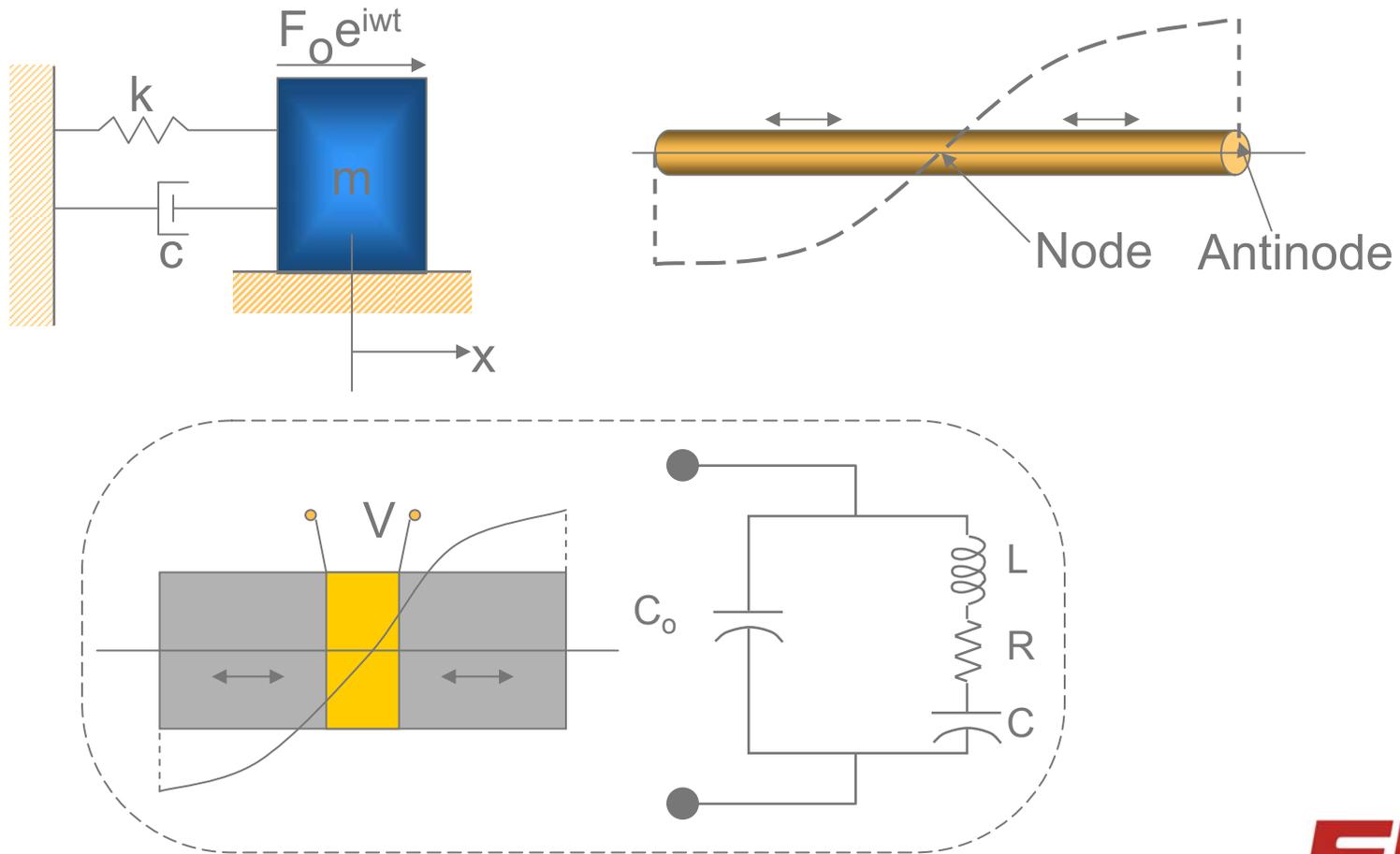


# Modeling the Transducer

- Use of advanced FEA

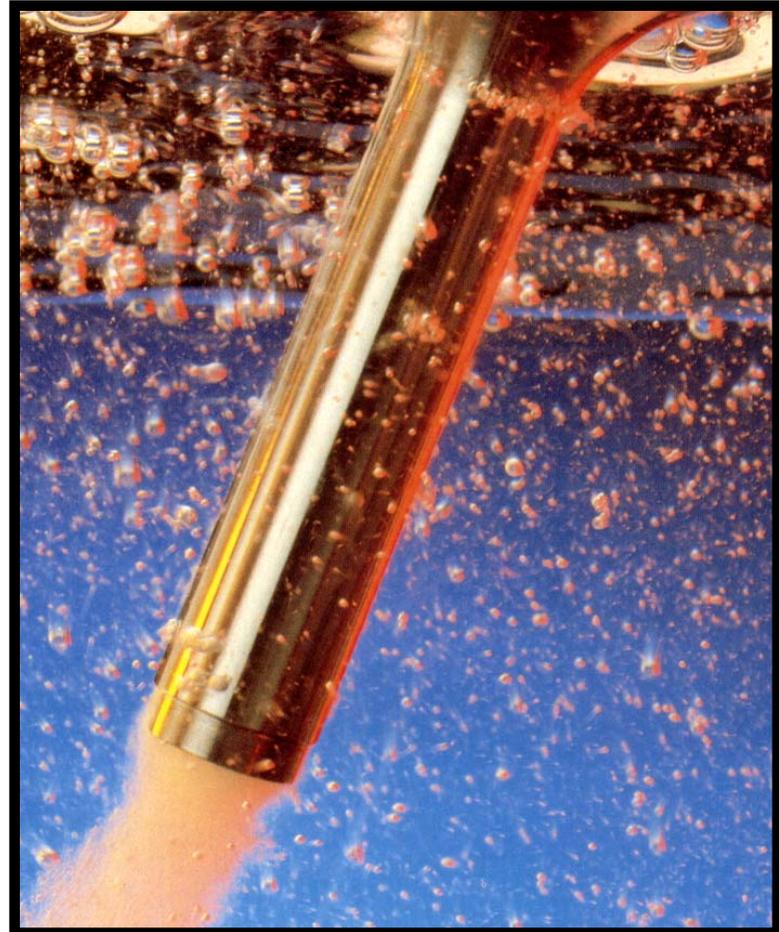


# “Three (or four) Things”



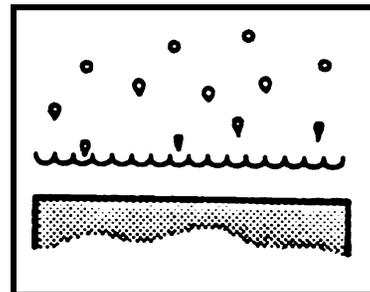
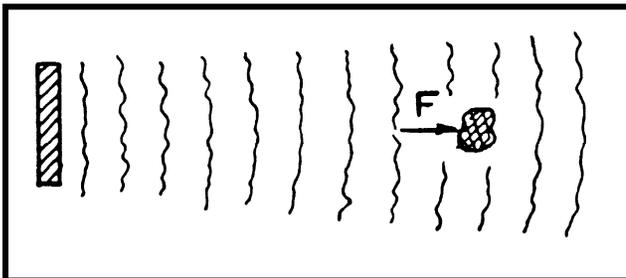
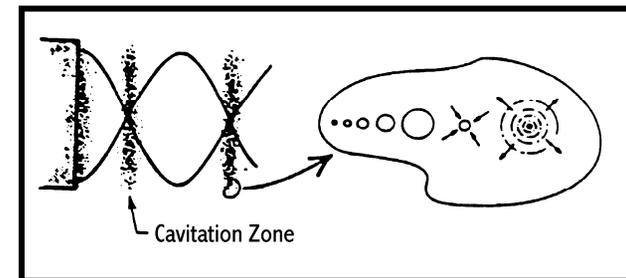
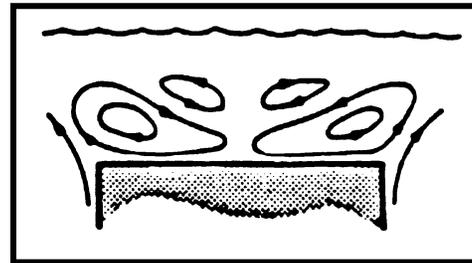
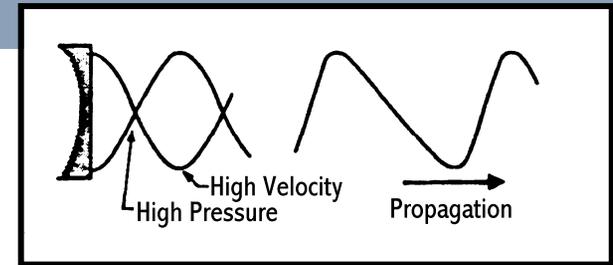
# Physical Effects of HPU

- In fluids (gases, liquids)
- In solids



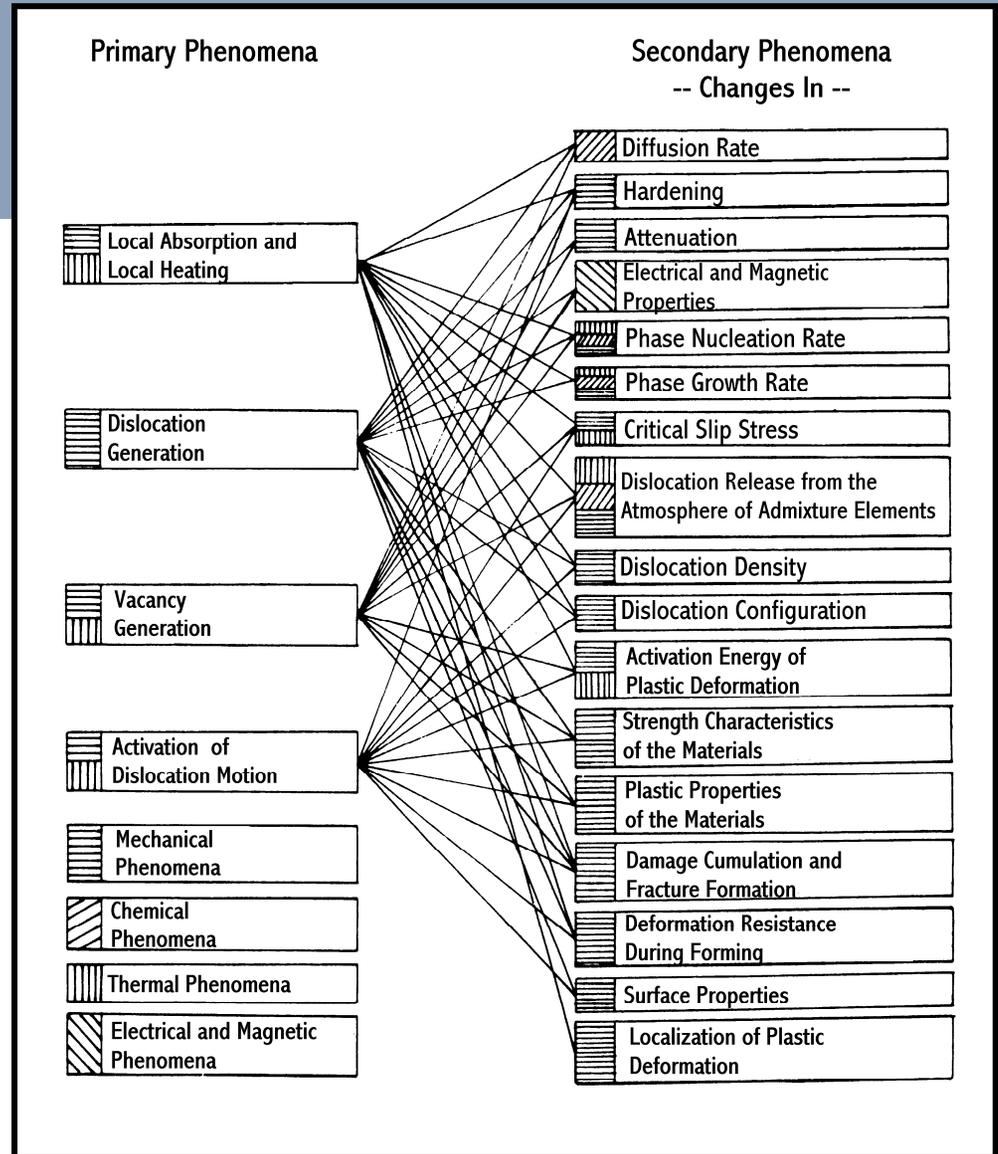
# HPU Effects in Fluids

- Absorption/attenuation
- Nonlinear waves
- Radiation pressure
- Acoustic streaming
- Atomization
- Cavitation



# HPU Effects in Solids

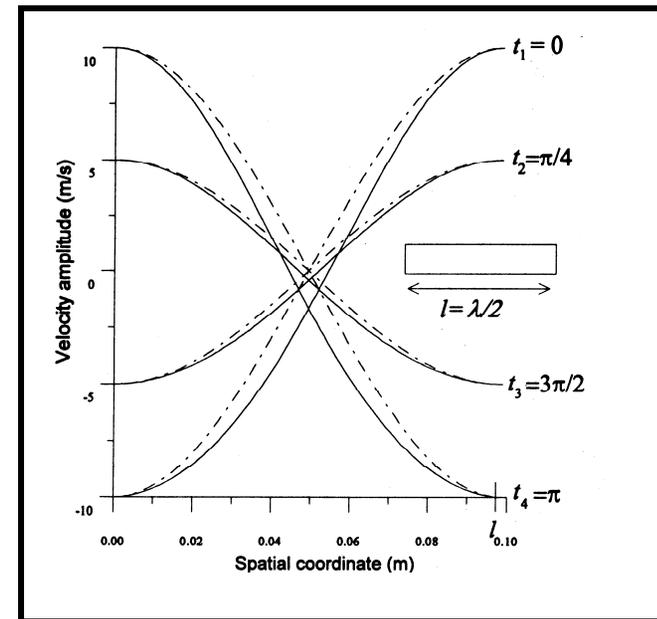
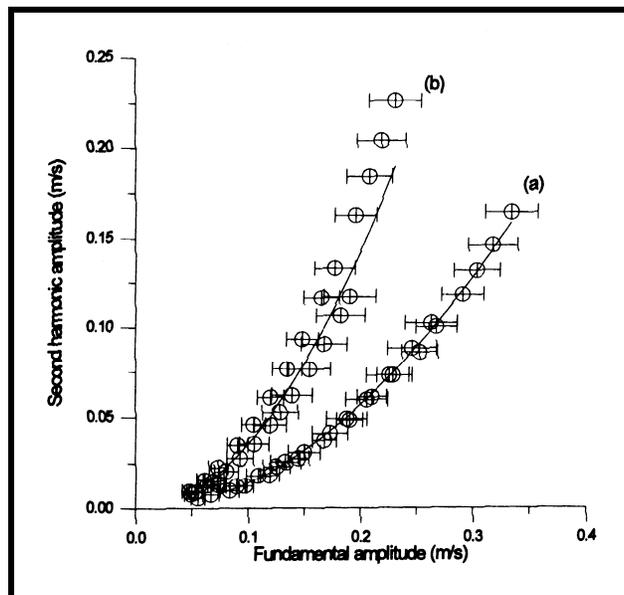
- Anelasticity, absorption/heating
- Fatigue
- Deformation
- Surface effects



# Anelasticity

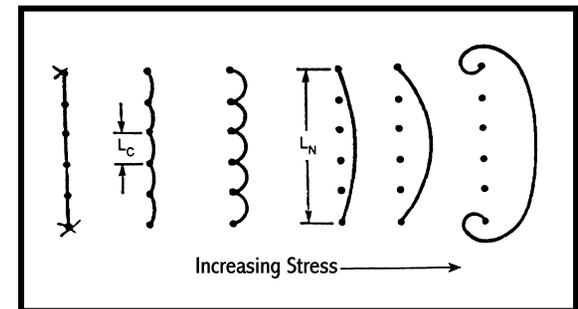
- Higher order “constants”
- Leads to harmonics
- Affects horns, transmission lines

$$T = C_1 \varepsilon + C_n \varepsilon^2$$

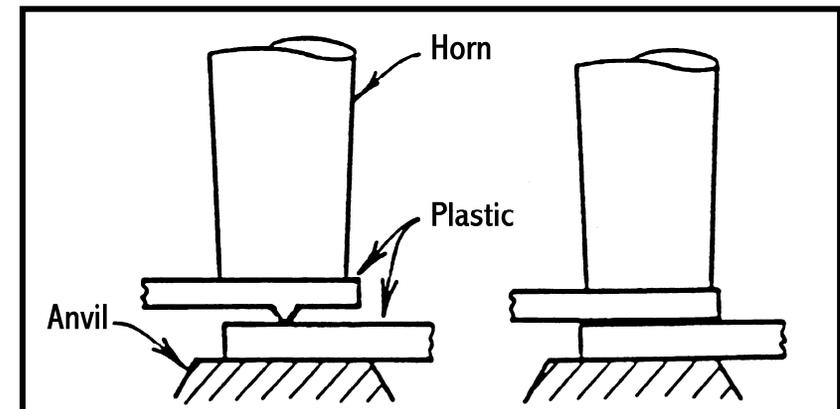
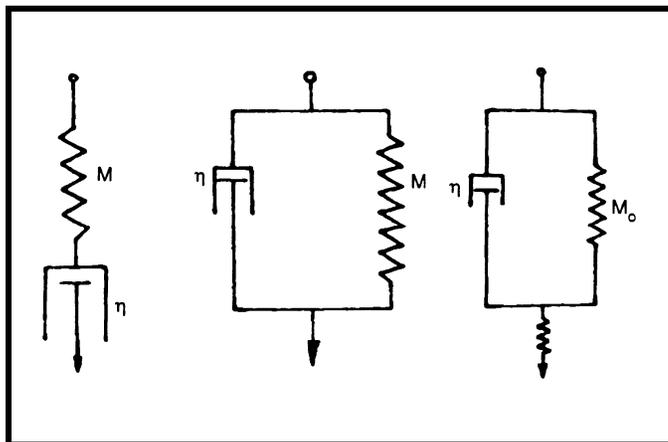


# Absorption/Heating

- Metals - grain structure  
Polymers - long chains
- “Macro description” - loss moduli, viscous moduli
- Uses: Polymers - welding, processing; metals - usually a problem

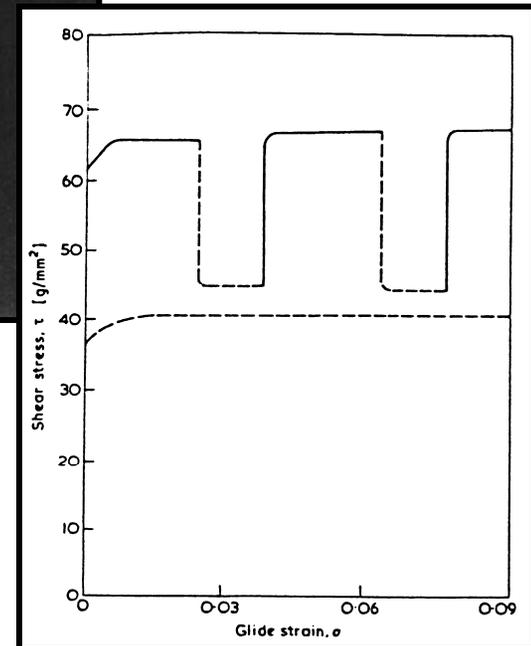
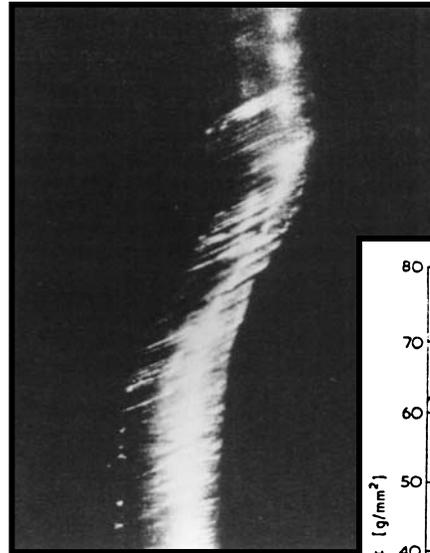
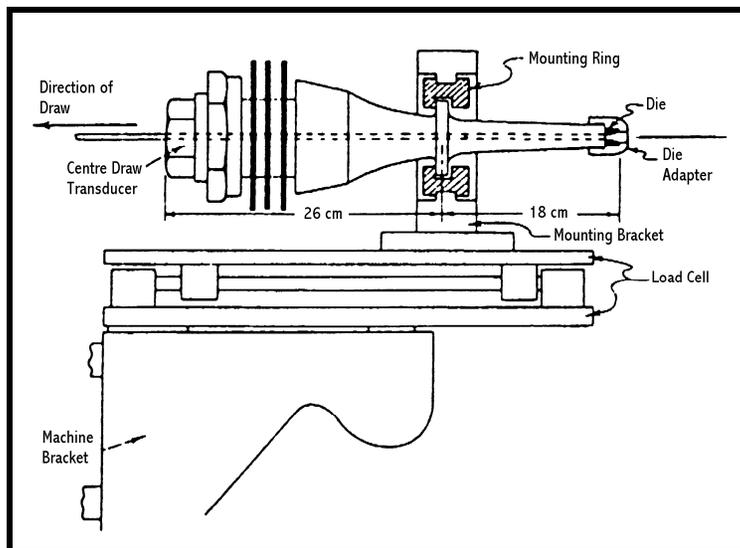


$$\mathbf{E}^* = \mathbf{E}' + i\mathbf{E}''$$



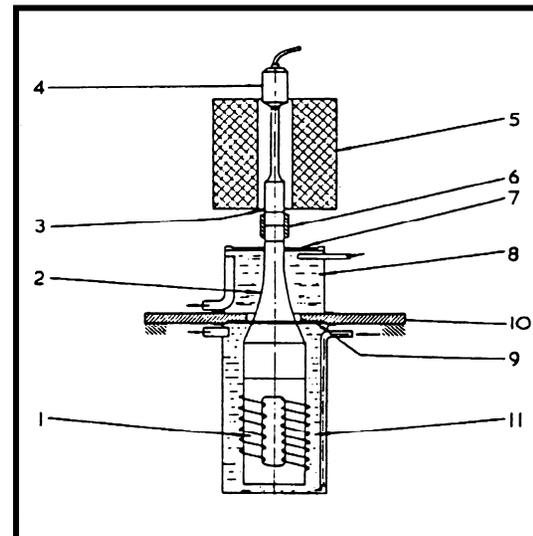
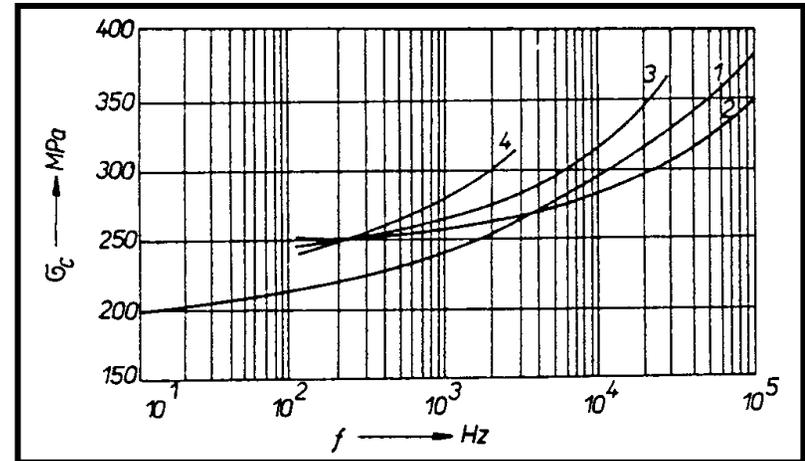
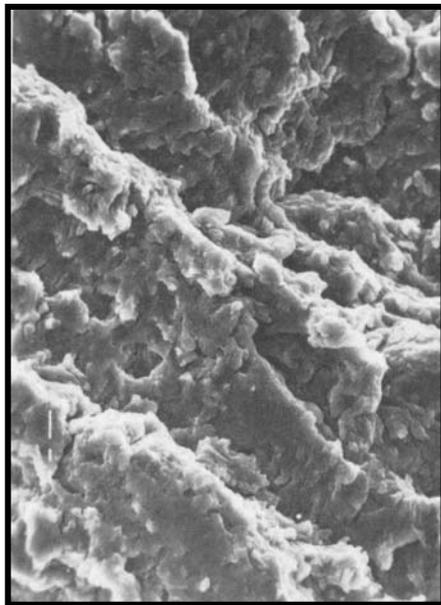
# Deformation

- Mechanism unclear (acoustic softening or superposition)
- Uses: Many potential



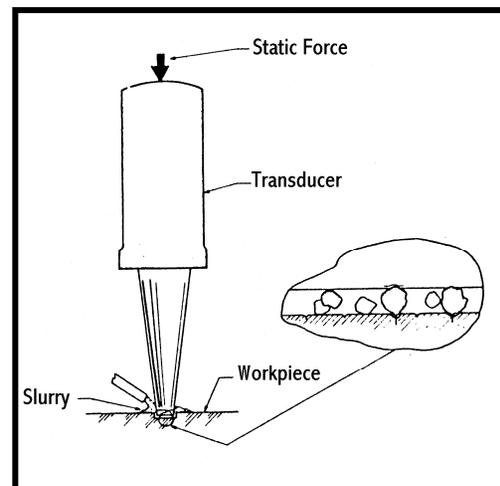
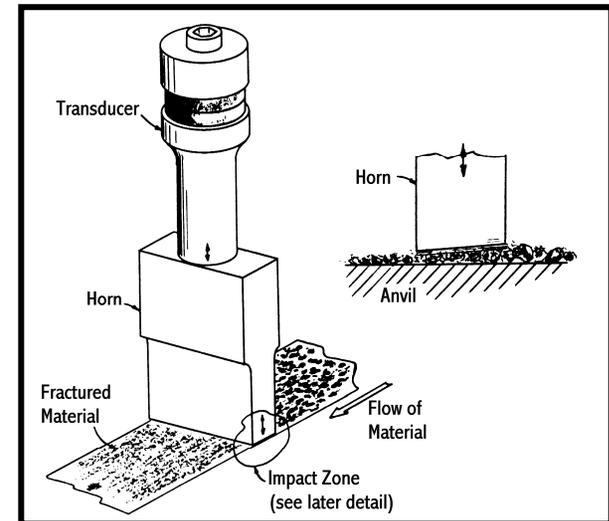
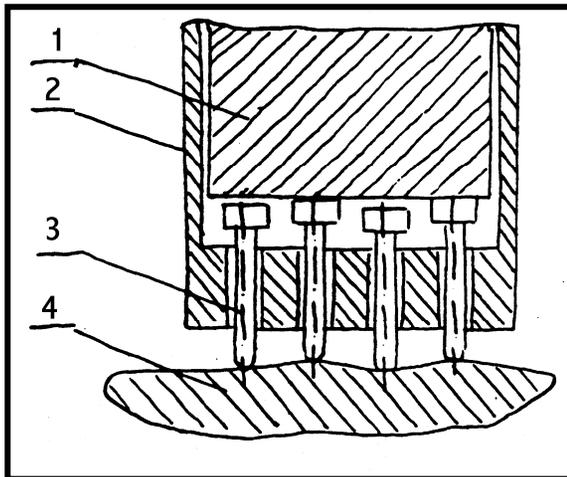
# Fatigue

- Not necessarily just “Fast” low-frequency fatigue
- Testing
- Usually a problem



# Mechanical Impact

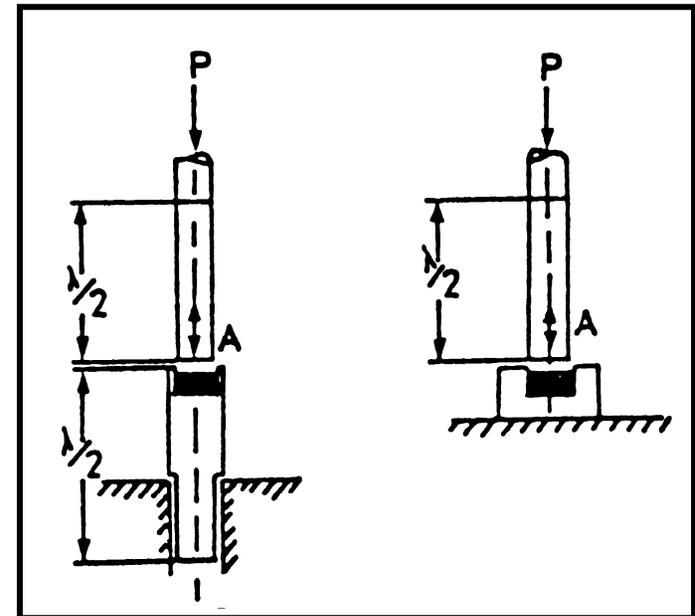
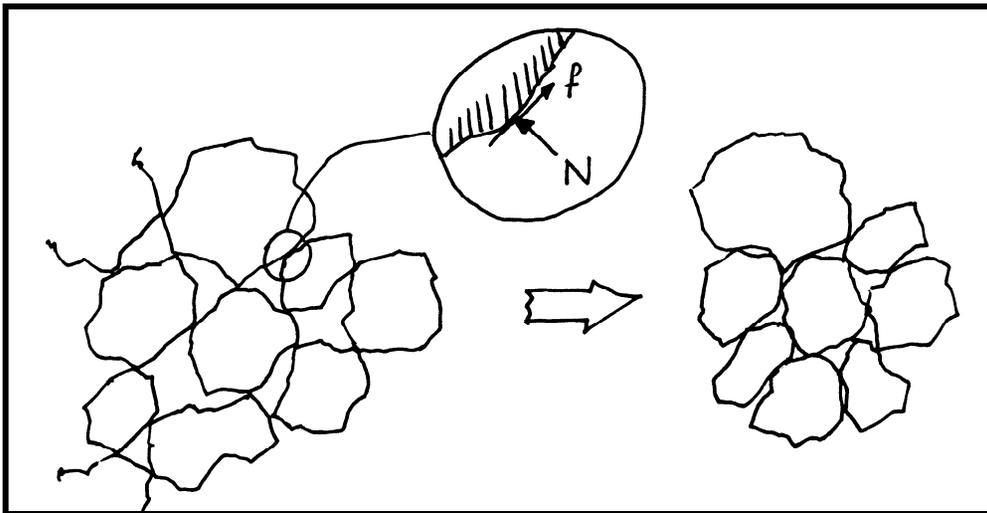
- “Hammer” action of US tool
- Uses: US machining, comminution, surface treatment, . . .





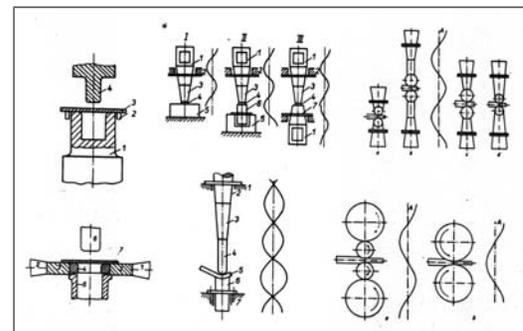
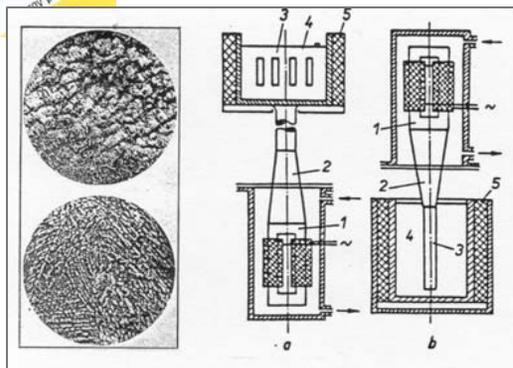
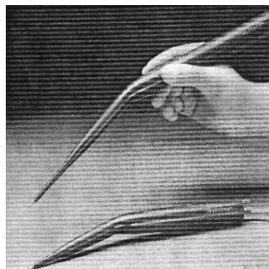
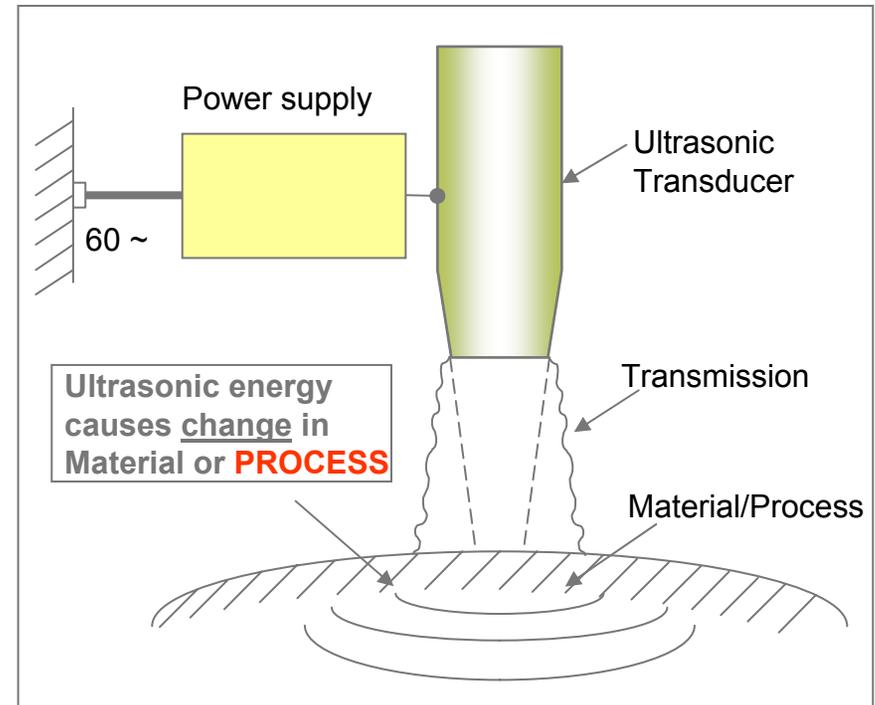
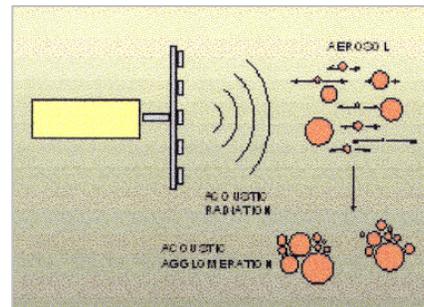
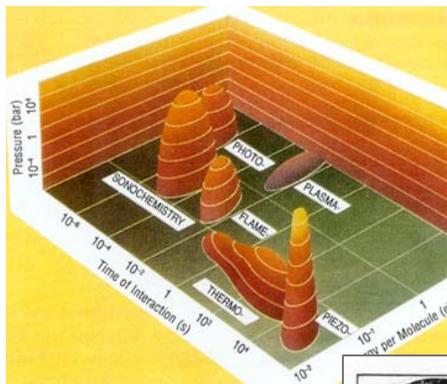
# Friction and Shear Reduction

- US vibrations disrupt friction forces
- Uses: Compaction, sieving, flow enhancement



# HPU Applications – A Reminder

- HPU ... application of intense, high-frequency acoustic energy to change materials, processes.

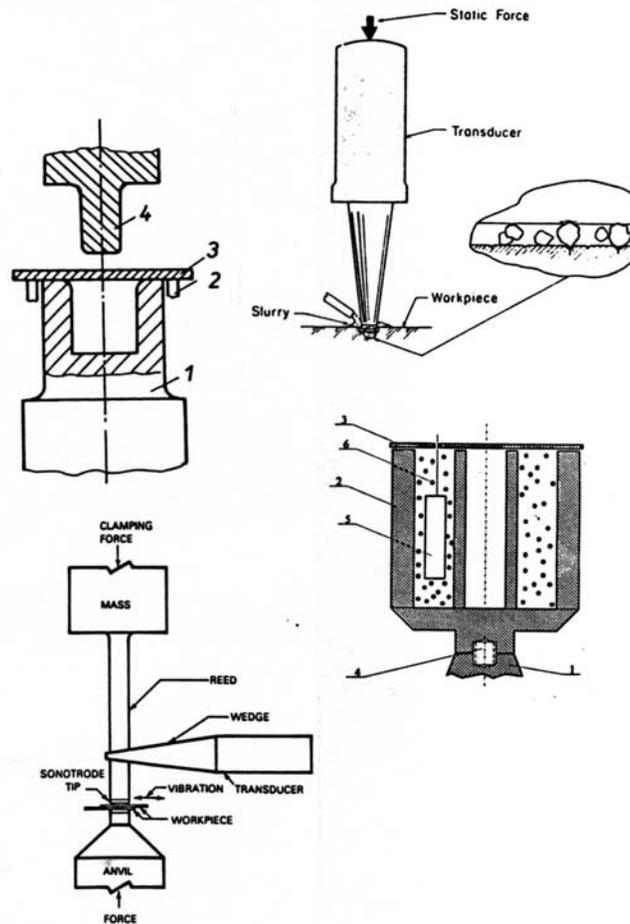


# Some Applications of HPU

- Agglomeration, coagulation of particulates
- Atomization – combustion, humidification
- Biological – cell disruption, ...
- Casting (see metal processing, molten)
- Chemical/sonochemical processing
- Cleaning
- Comminution
- Compaction, consolidation
- Cutting, drilling, machining
- Defoaming
- Drying
- Emulsification/dispersion
- Filtering, sieving, separation, flow enhancement
- Food processing – cutting, drying, ...
- Forming of materials (see also metals)
- Joining-welding, soldering
- Liquid processing (non chemical)
- Medical – surgical, therapeutic
- Metal processing – molten metals
  - Melt degassing
  - Solidification
  - Crystal growth
  - Composites
  - Atomization (powdered metals)
- Metal processing – solid metals
  - Forming
  - Heat treatment, annealing
  - Surface hardening
- Mineral processing
  - Flotation, emulsification
  - Disintegration of minerals, surface films
  - Defrothing, dehydration of ores
  - Hydrometallurgy
- Mixing
- Motors, ultrasonic
- Stress relief
- Surface treatment, cladding, plating
- Testing – erosion, fatigue, hardness
- Transport/positioning – uses of levitation

# Manufacturing Applications

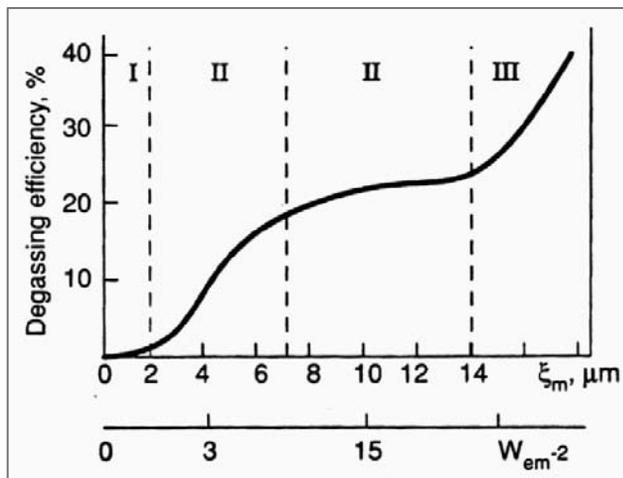
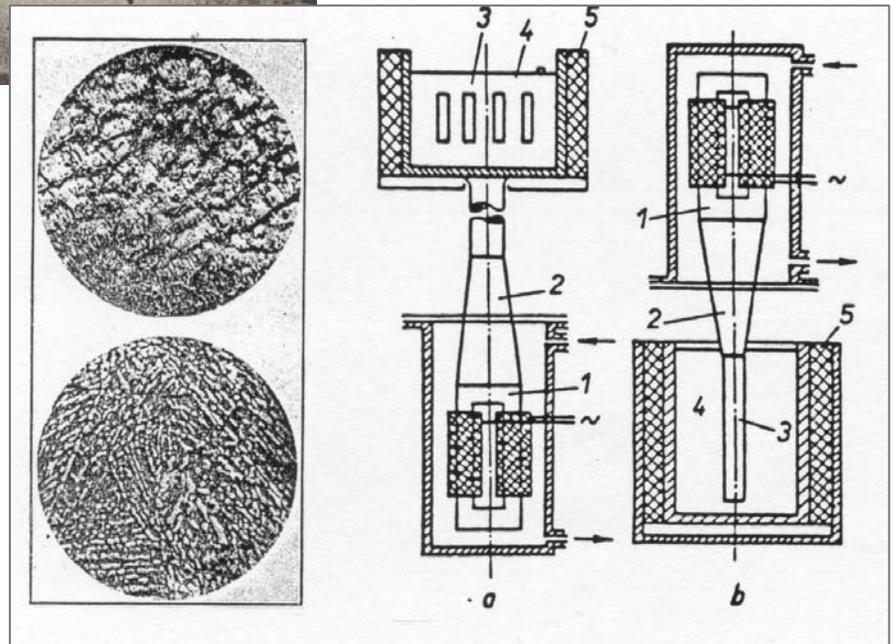
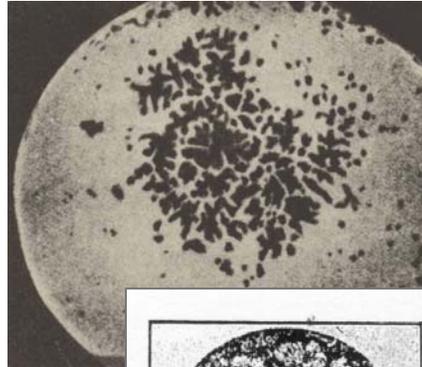
- Molten metal
- Metal deformation
- Machining
- Materials joining
- Cleaning/compaction
- Airborne US
- Liquid processing



# HPU in Molten Metals

## Key Effects ...

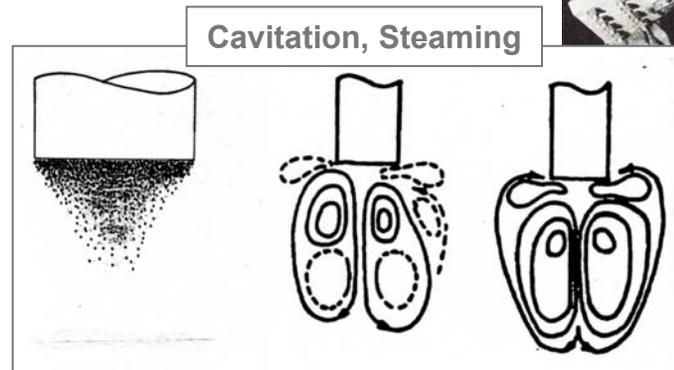
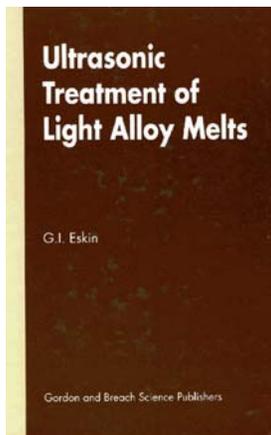
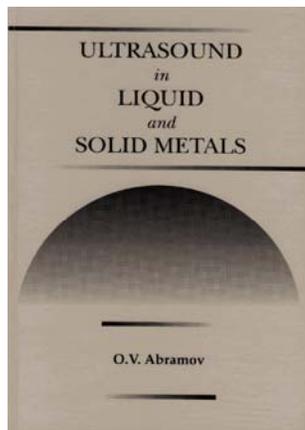
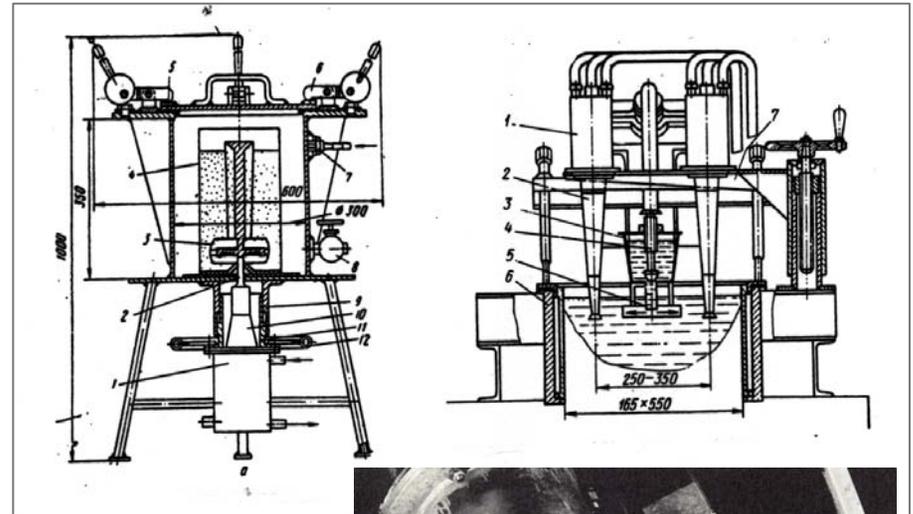
- Degassing
- Reduce grain size
- Control columnar structure
- Vary phase distribution
- Improve homogeneity
- Disperse inclusions



# Extensive Work in This Field

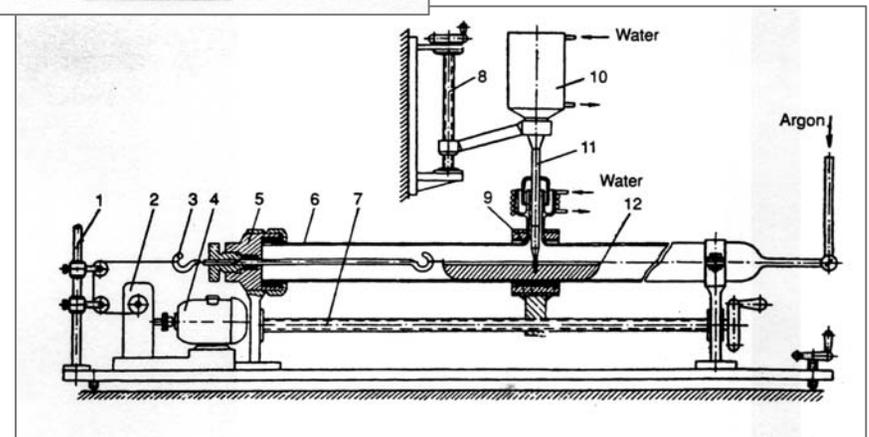
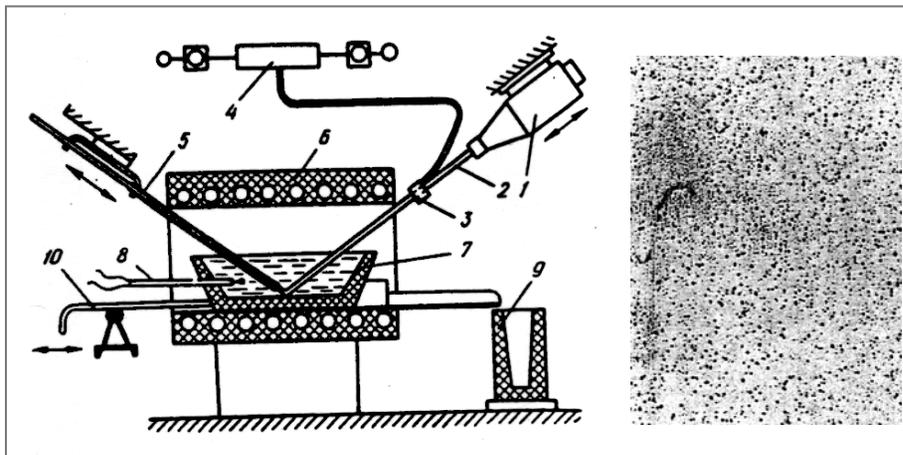
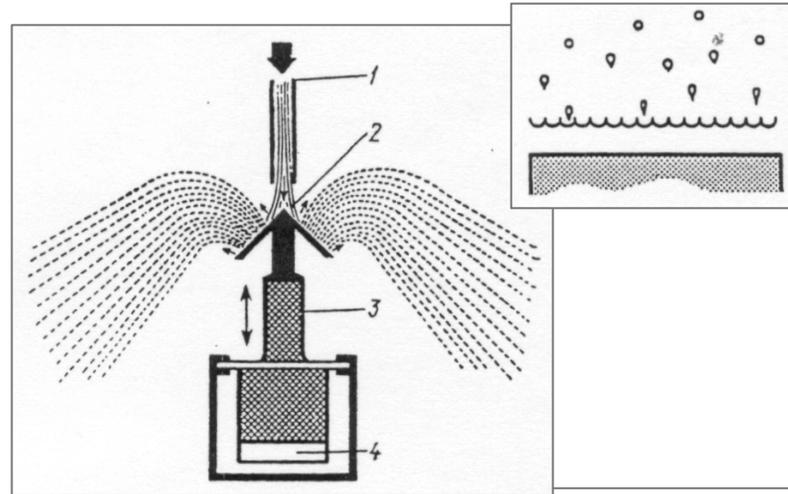
Metals Treated with US ...

- Pure metals (Fe, Al, Co, Zn, Sn, Bi)
- Low Melting (Bi-Pb-Sn-Cd, Bi-Cd, ...)
- Aluminum, magnesium alloys
- Copper, silver
- Steels, cast irons
- Nickel-based superalloys



# Allied Processes

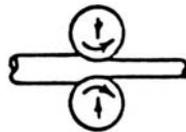
- US-assisted crystal growth
- Plating
- Powder metallurgy – atomization
- Composites



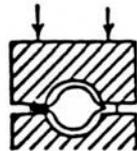
# Metal Deformation Processes

## Traditional Forming Processes

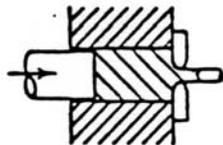
Rolling



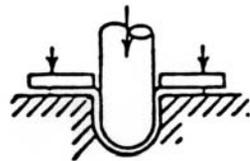
Forging



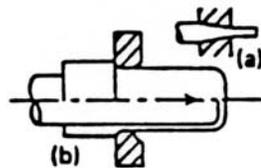
Extruding



Deep drawing



Wire & tube drawing



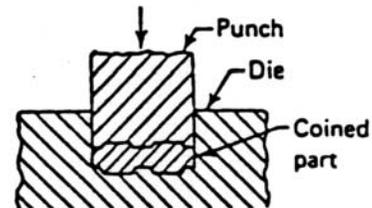
Stretching



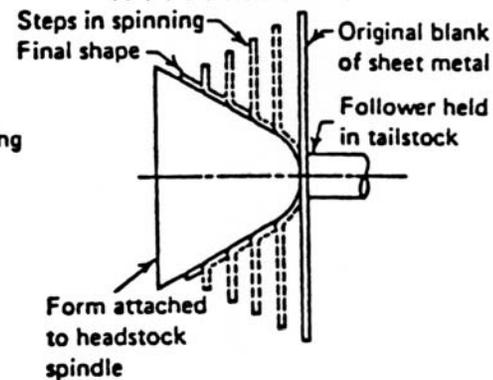
Straight bending



Coining

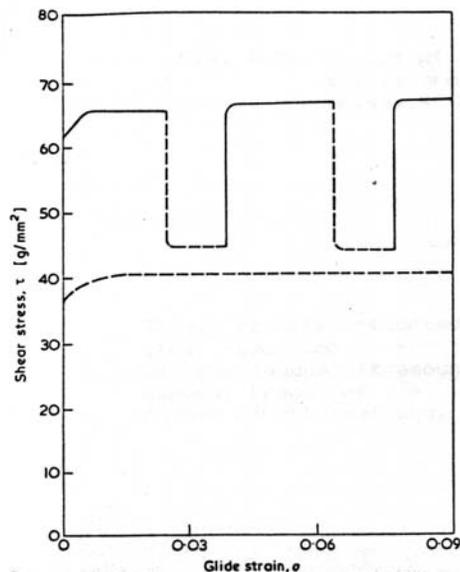


Spinning

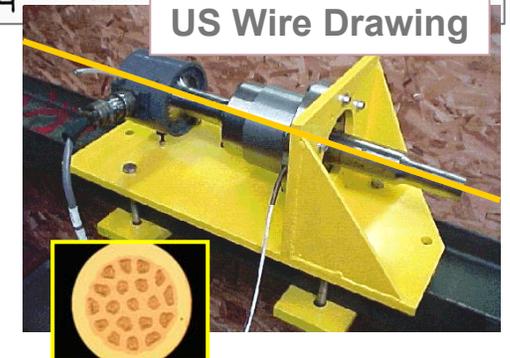
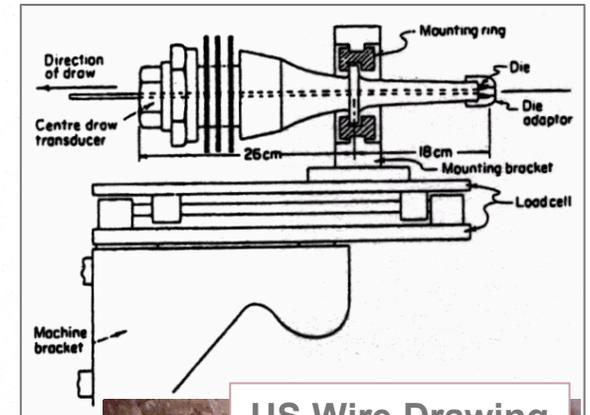
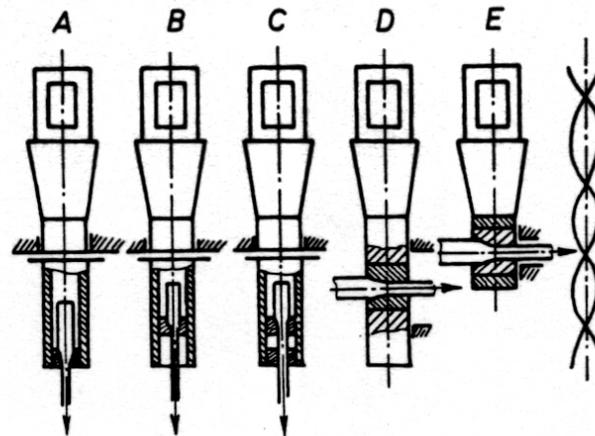
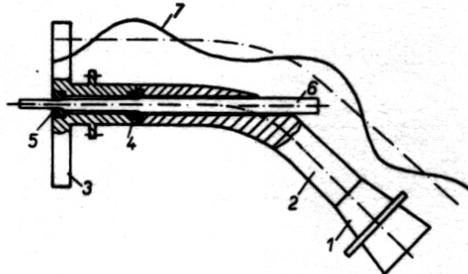
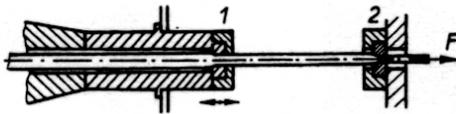
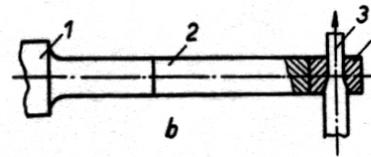
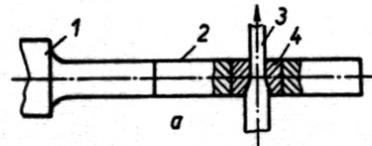
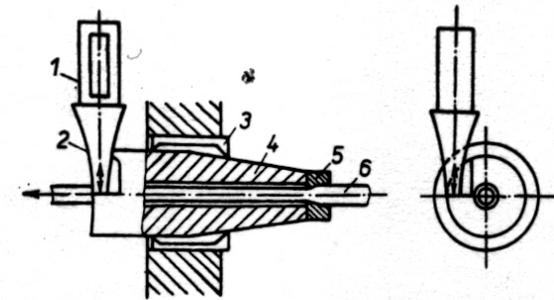


# Historical Note on US Forming

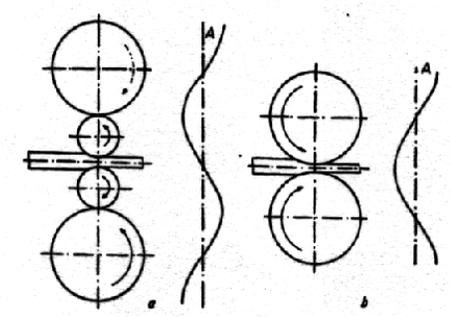
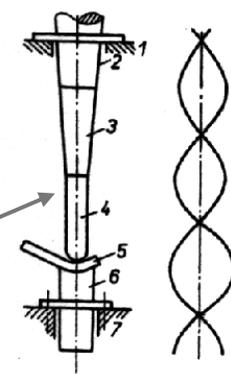
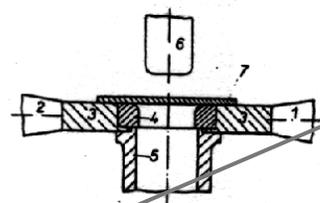
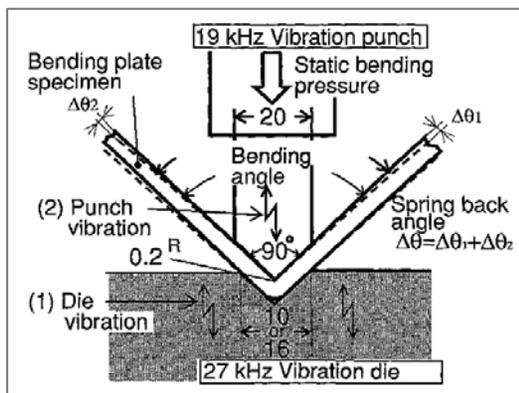
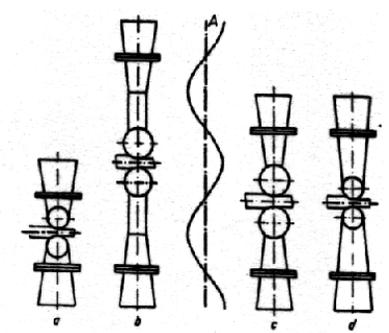
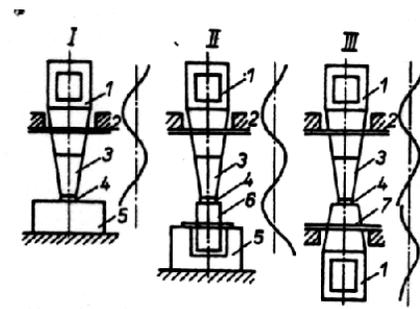
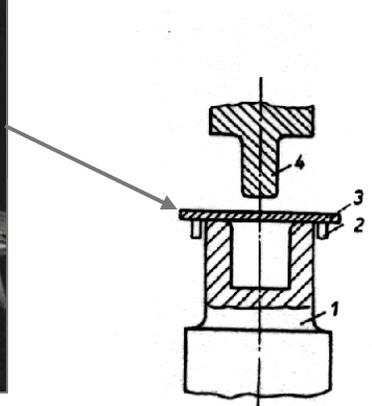
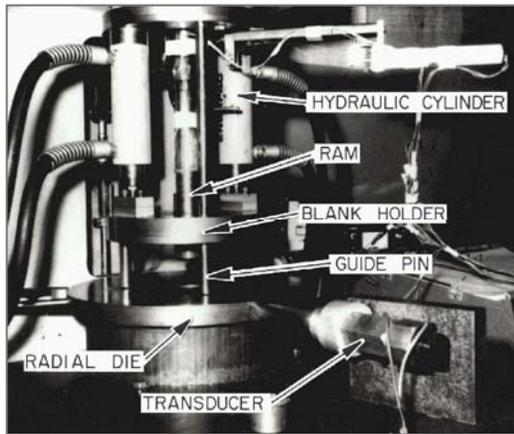
- Blaha/Langenecker (1955) introduced concept of 'acoustic softening' - launched extensive research in metal forming
- Question of 'acoustic softening' vs. 'stress superposition' not conclusively resolved – latter most supported
- US forming continues as active field – recent search shows 65 citations since '85



# US Wire and Tube Drawing

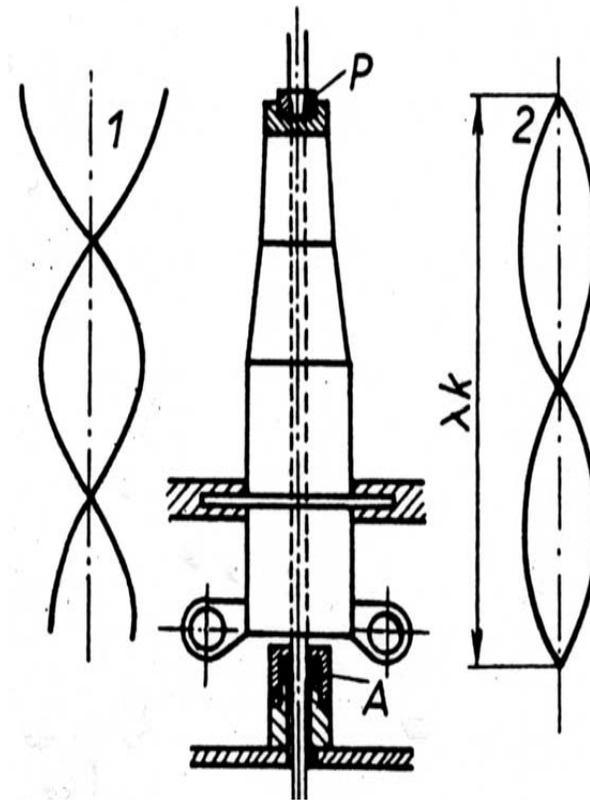


# US Forming Operations



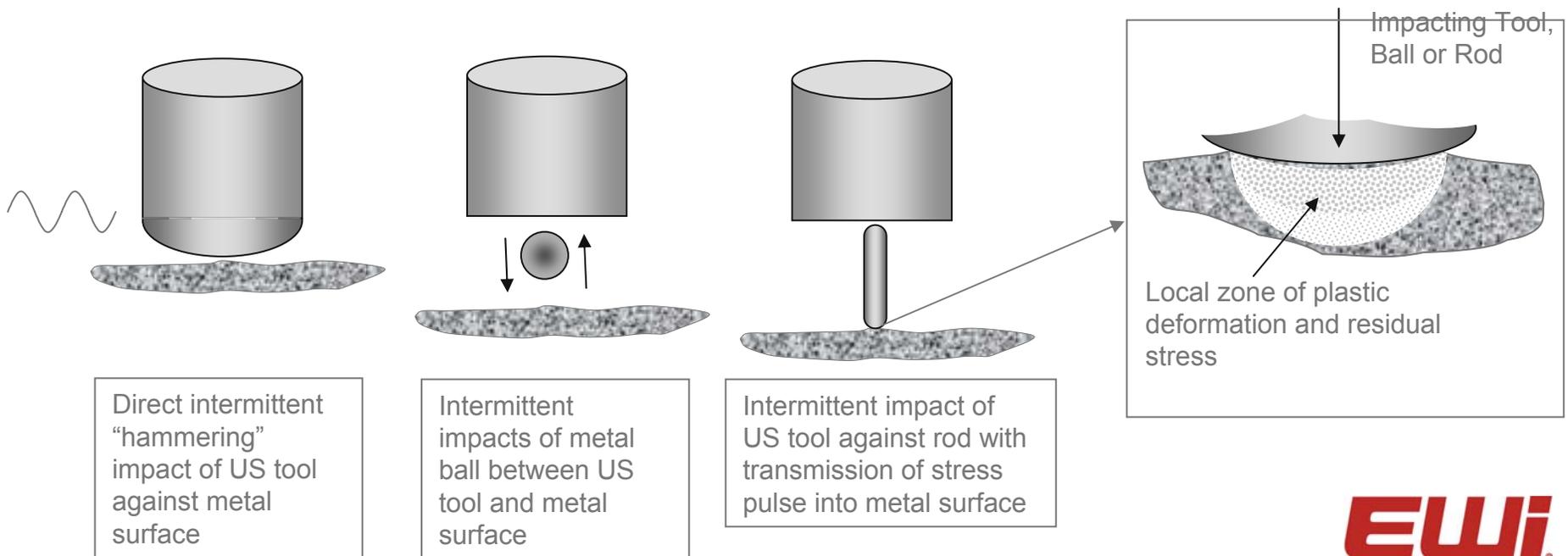
# Benefits of US Forming

- Increased draw speed
- Improved surface finish
- Reduced draw force
- Greater area reduction
- Longer tool life



# US Impact Treatment (UIT)

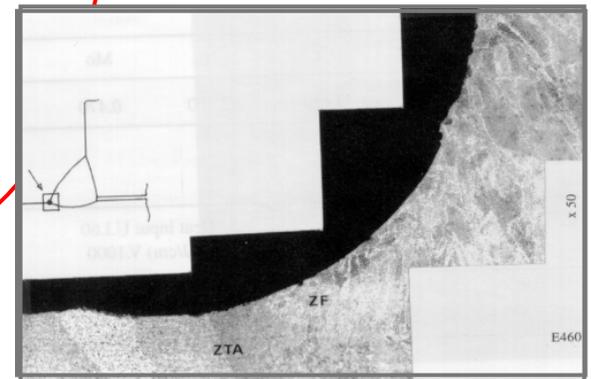
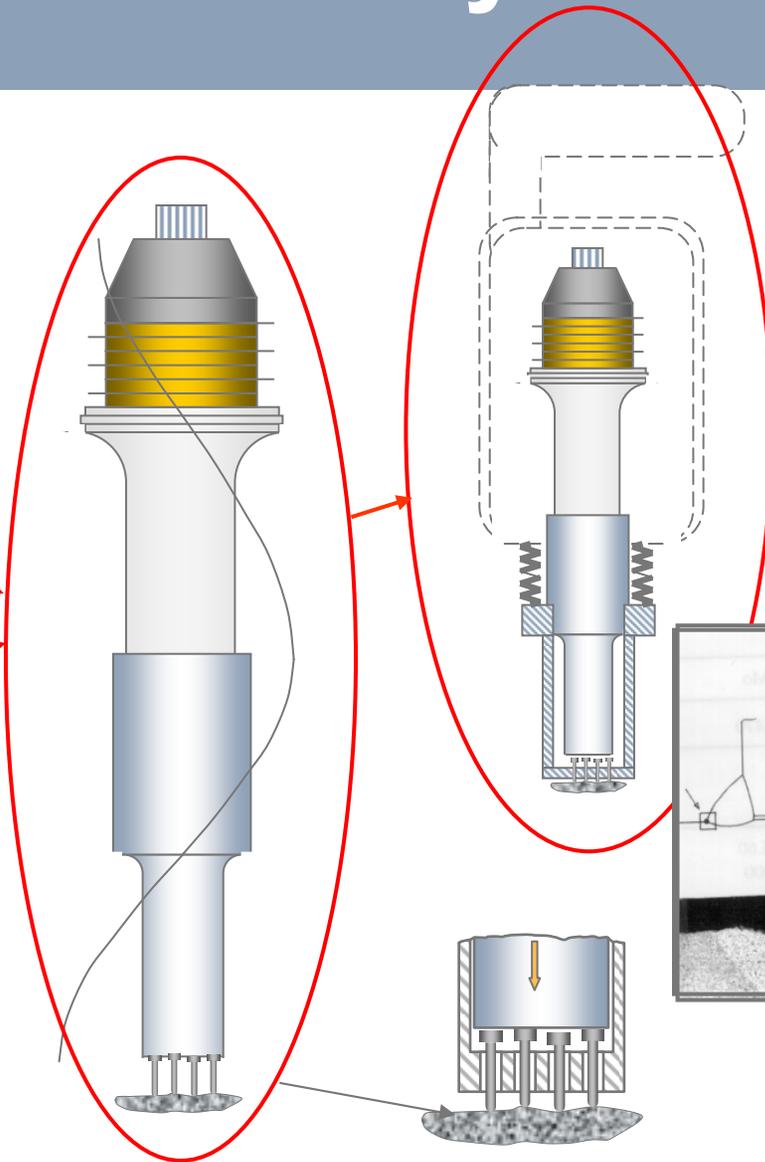
- UIT – means of creating US “impact” against metal surface – and resulting local plastic deformation and residual stresses in the metal.
- Three primary means of creating US impact ...



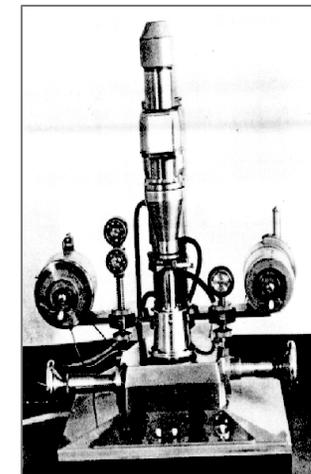
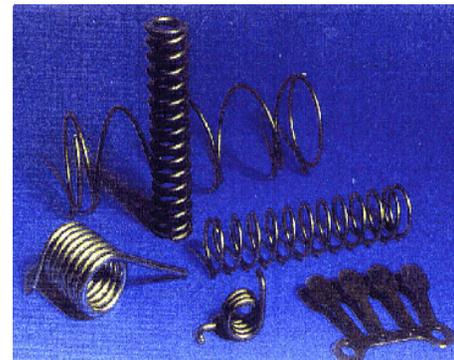
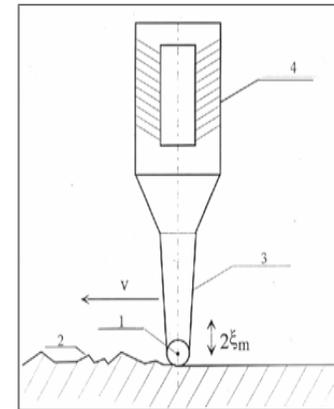
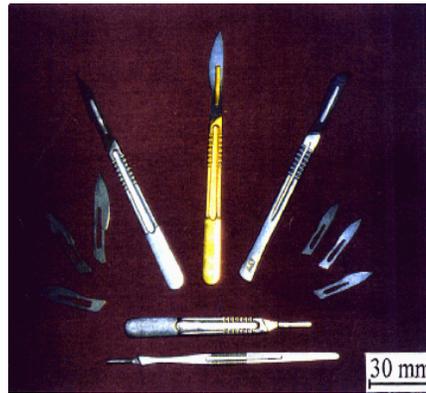
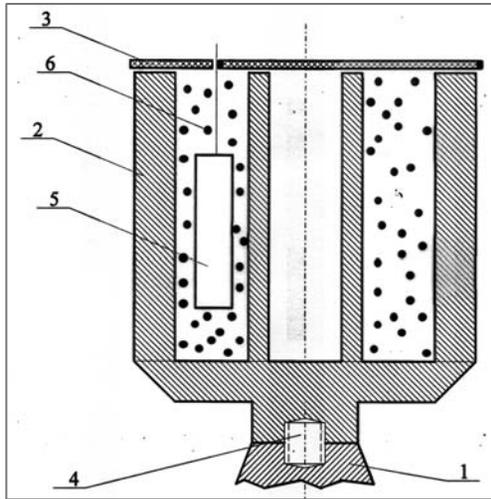
# Features of UIP System



ITL System

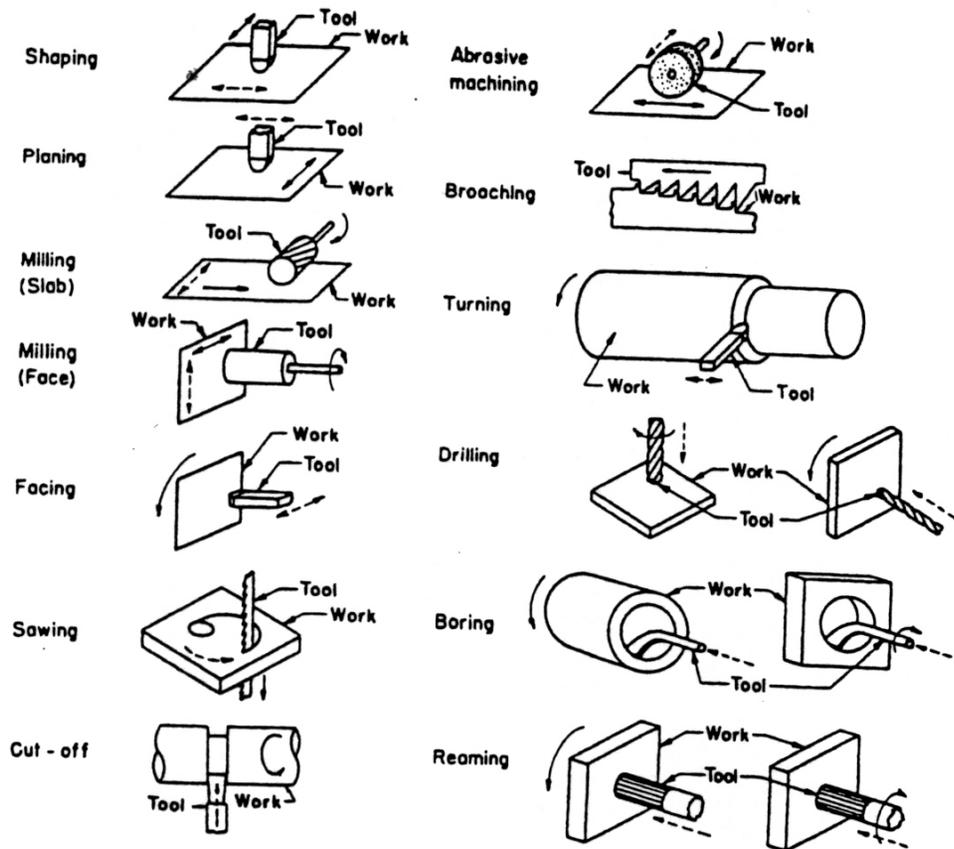


# Other US Impact Technology - Surface Hardening, Microalloying

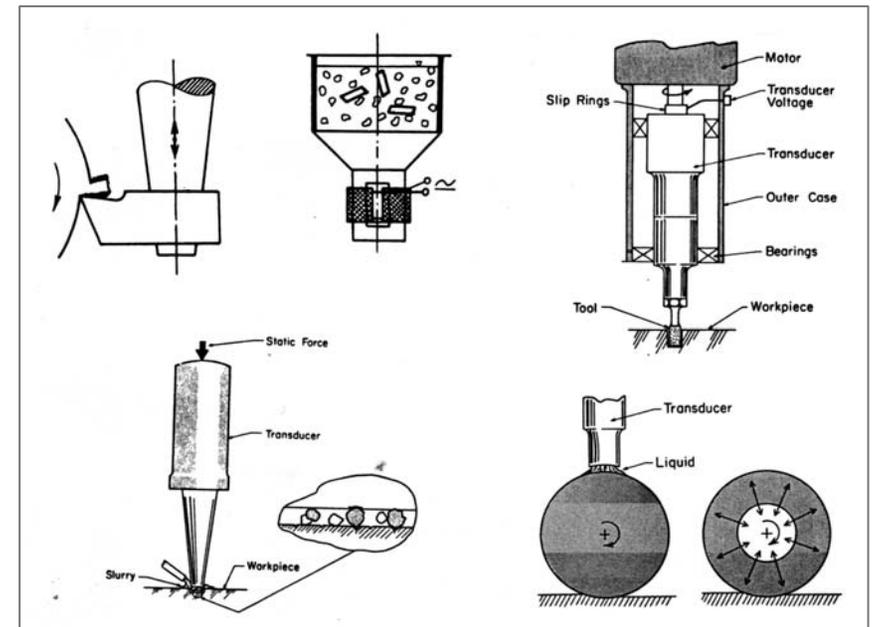


# Machining Processes

## Traditional Machining Processes



## US Machining Processes

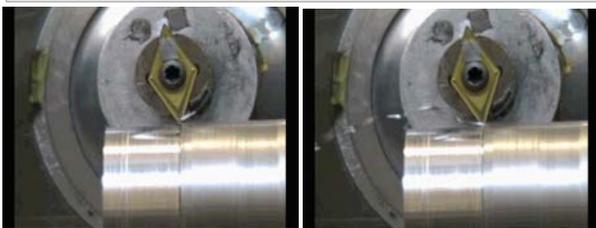
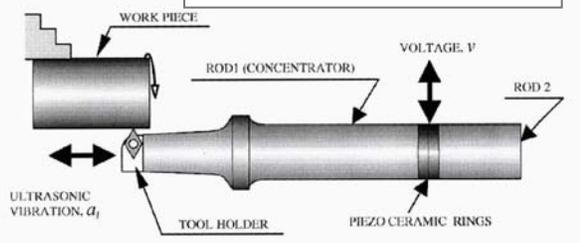


# US Machining Applications

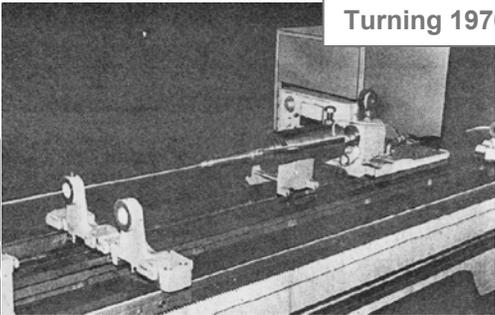
- Extensive work over 50+ years
- Re-emergence in 1990's to present – issues of materials, productivity as in 60', 70's



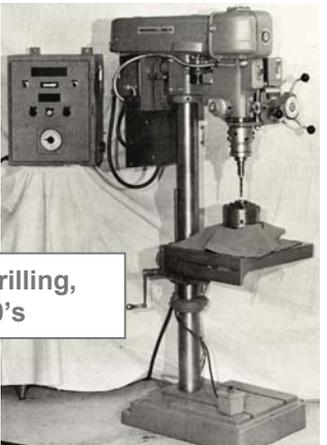
Babitsky at Loughborough



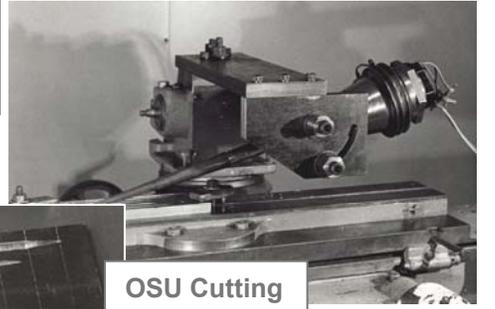
Cincinnati Milacron US turning, 1960's



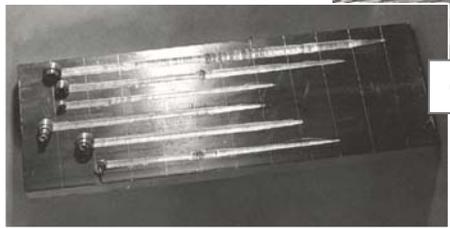
Sonobond Drilling, Turning 1970's



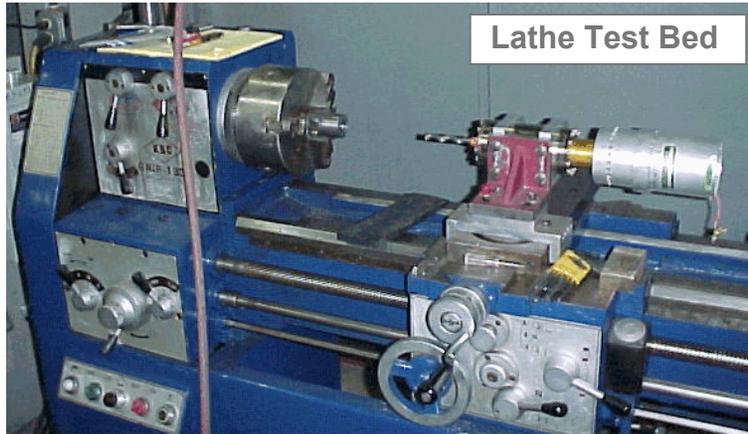
Balamuth mill



OSU Cutting



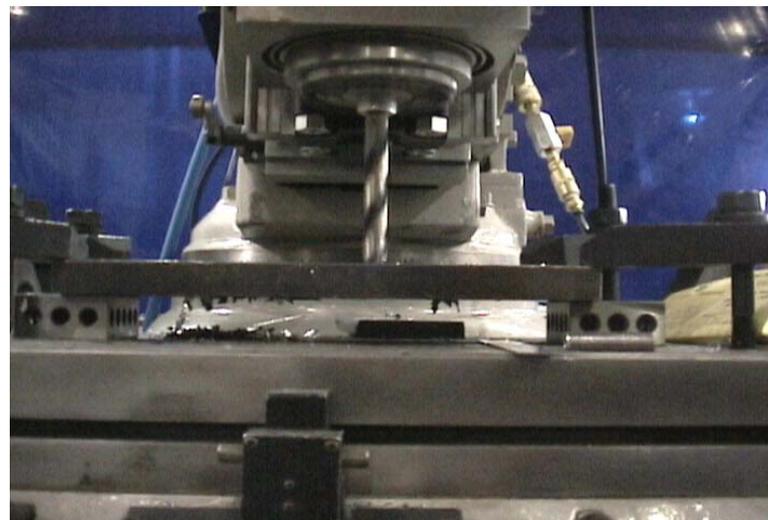
# Recent Work



Lathe Test Bed

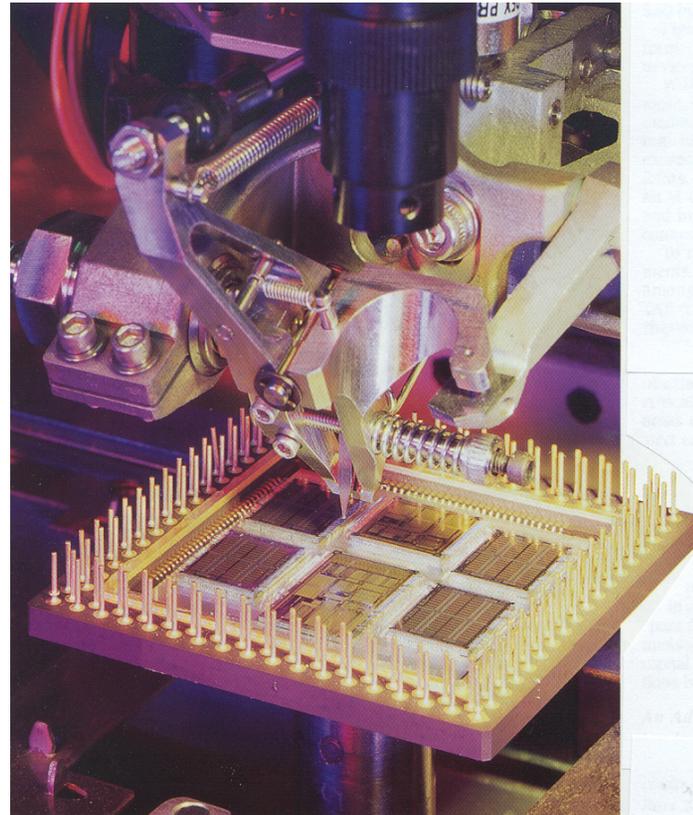


EWI Drill #1

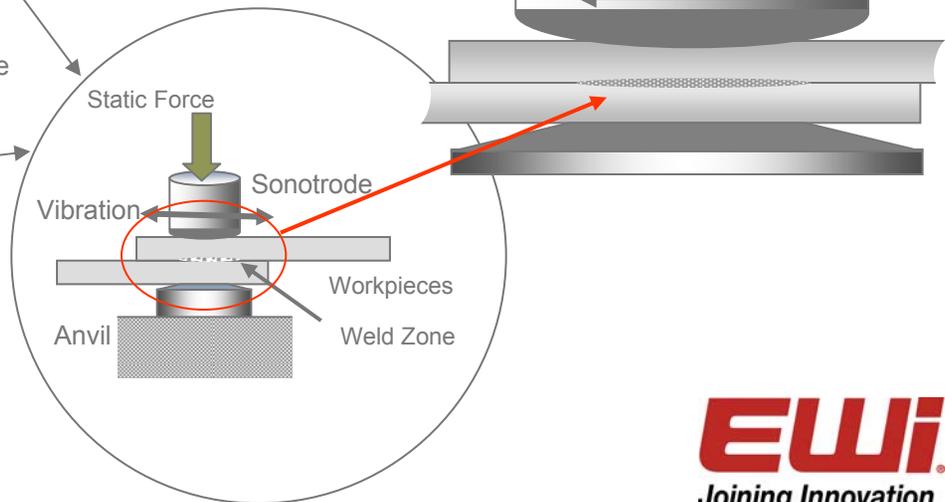
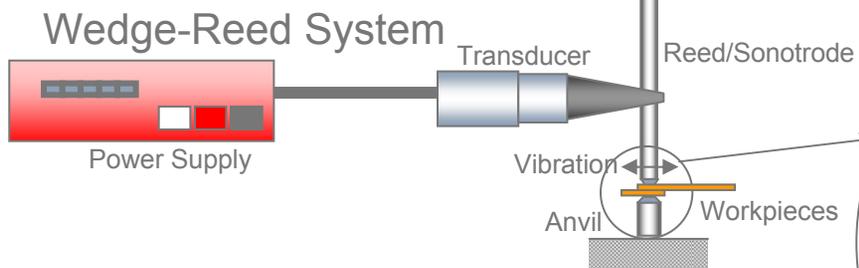
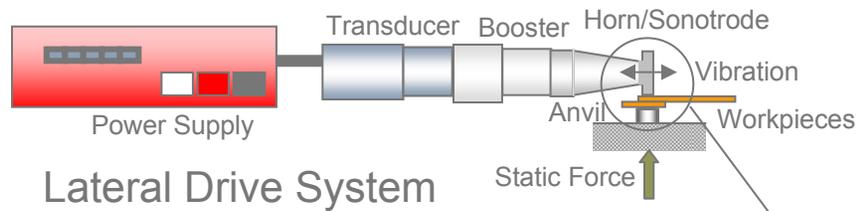


# US Materials Joining

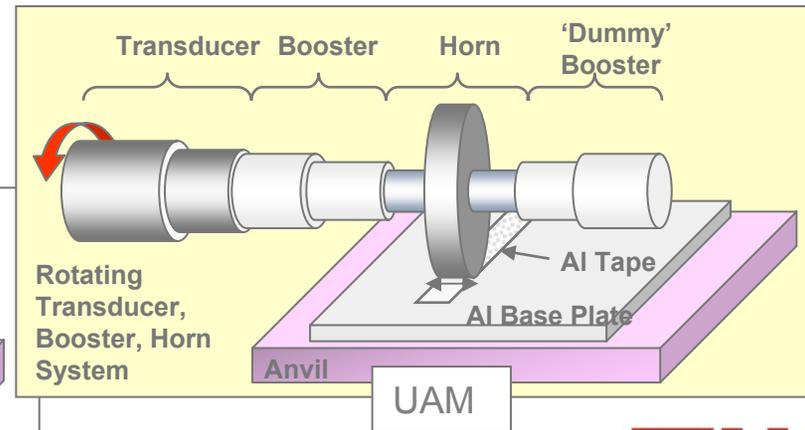
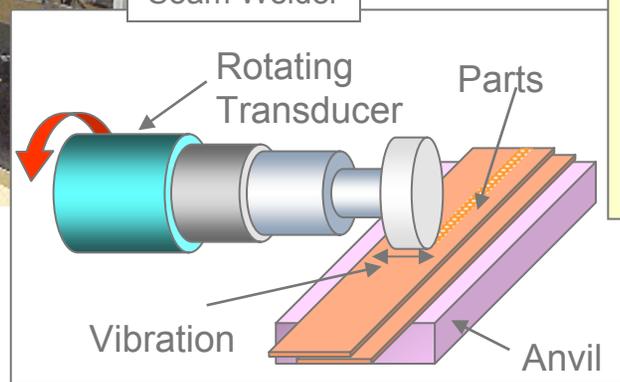
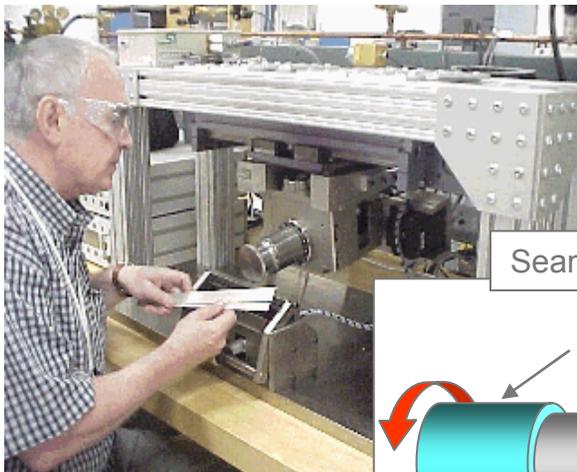
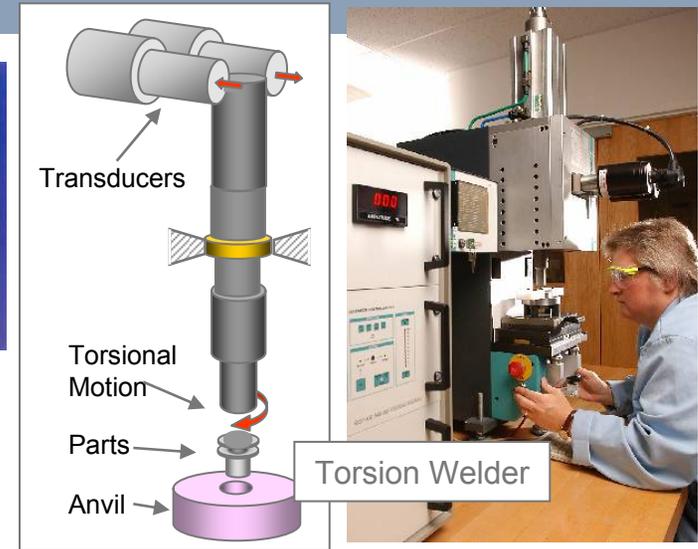
- Metal welding
- Plastic welding
- Wirebonding
- Soldering
- Adhesives
- Fusion welding



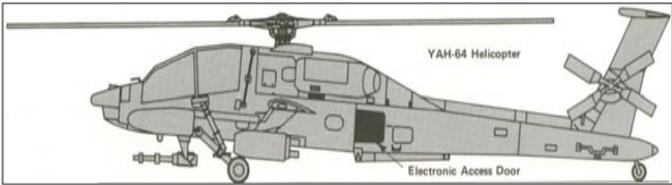
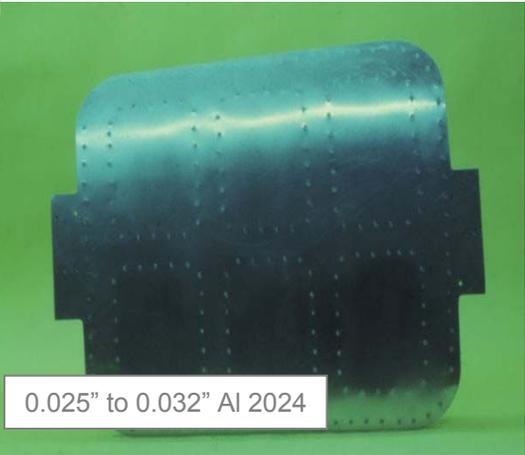
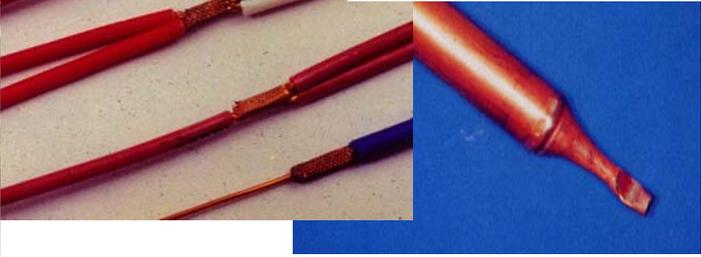
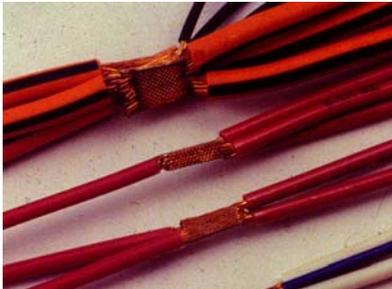
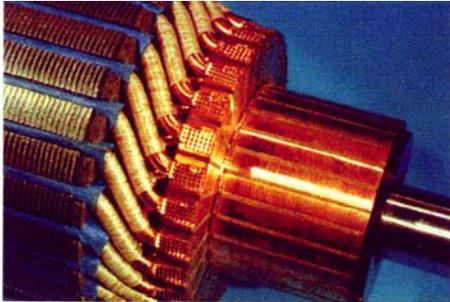
# US Metal Welding (UMW)



# Additional UMW Processes

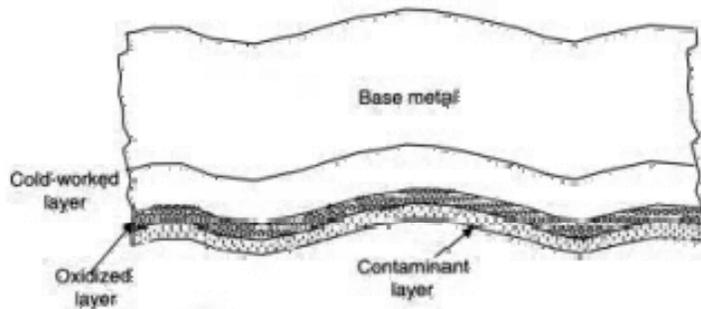


# Applications



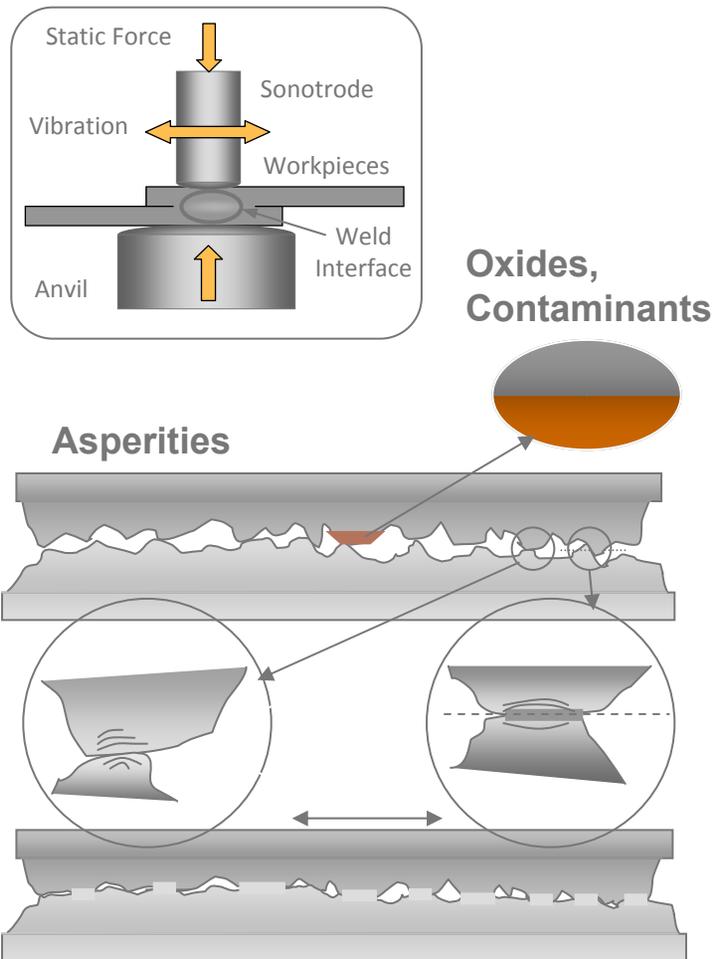
# Advantages/ Disadvantages

- High conductivity materials
- Solid state bond, low heat input
- Weld through oxides, contaminants
- Thick-thin material combinations
- Dissimilar materials
- No filler materials, special atmospheres
- Low power requirements
- Easily automated

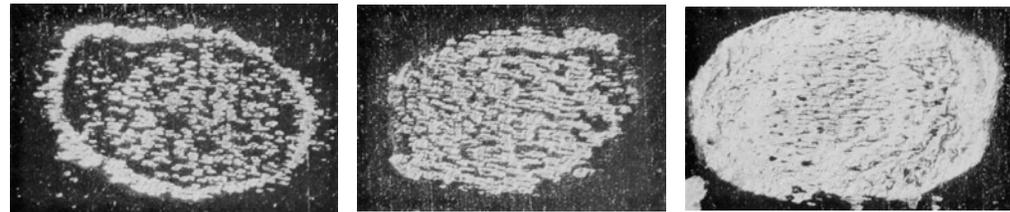


- Lap joints
- Joint thicknesses
- Restricted materials
- Deformation
- Noise, parts resonance
- Unfamiliarity
- Tool wear

# Bonding Mechanism

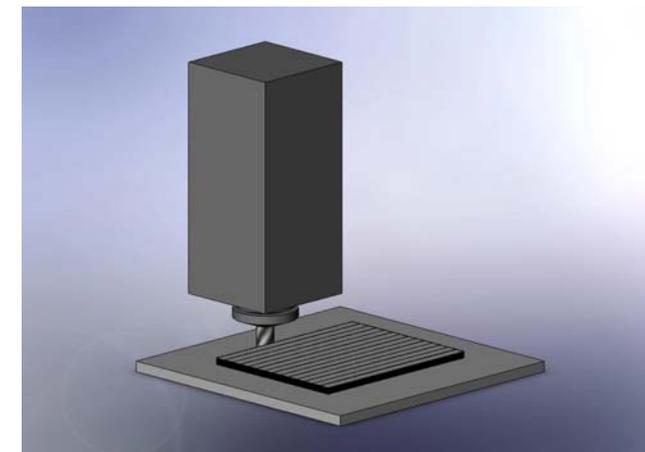
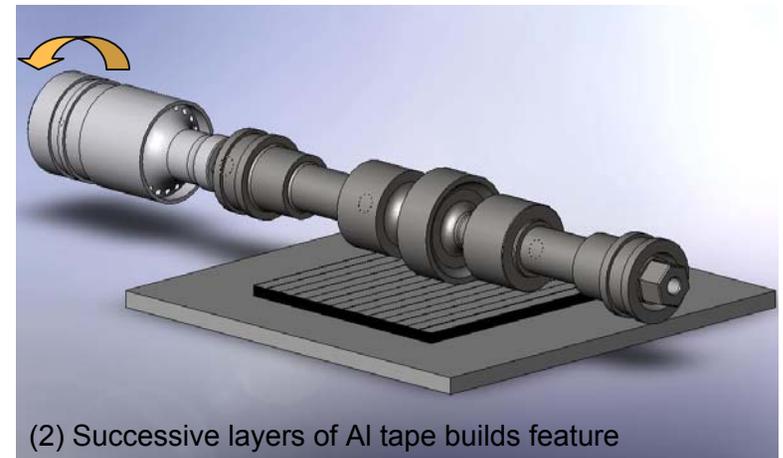
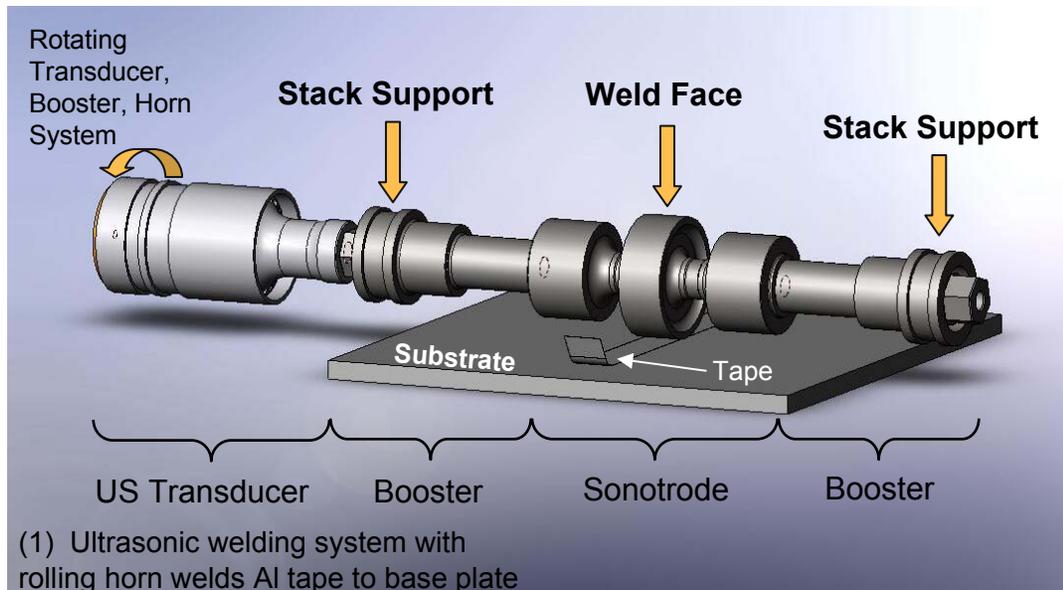


- Transverse friction-like action
- Pressure, deformation and temperature
- Surface asperities sheared and collapsed
- Oxides, surface contaminants are dispersed, or fragmented – permits intimate contact, metallic bond
- Crystallographic matching across boundary must occur
- Local bond line stresses relieved by thermal energy



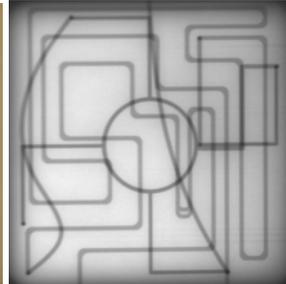
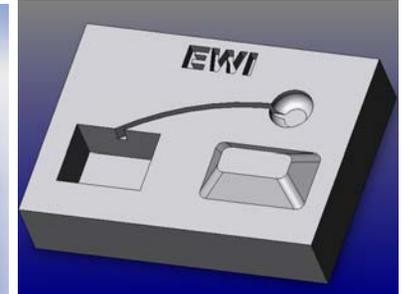
# US Additive Manufacturing (UAM)

- UMW basis for UAM
- Sequence of bonding and shaping to produce solid metal parts

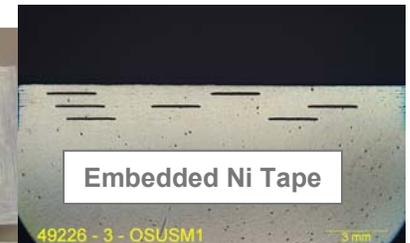


(3) Periodic machining operations to shape feature and maintain uniform welding surface

# Results ...

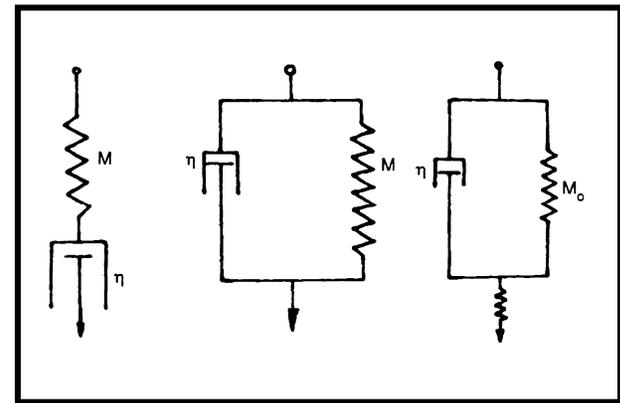
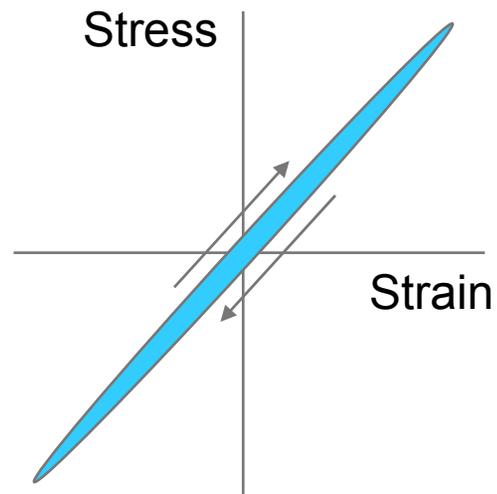
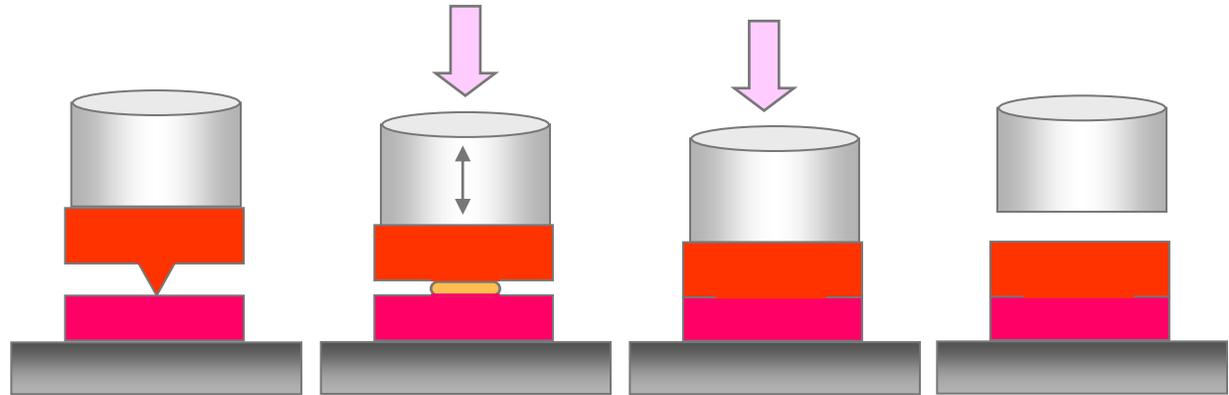
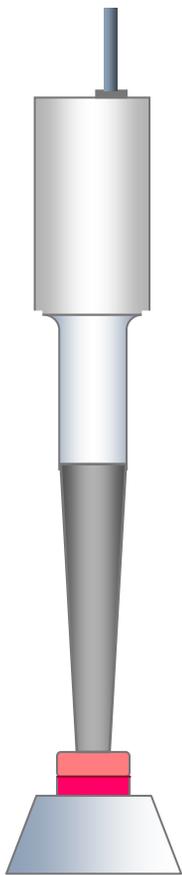


- Many emerging applications ... rapid prototyping, low volume tooling, direct parts manufacture, tailored materials, MMC, embedded fibers, smart materials, sensors, cladding, armor, thermal management



**EWI**  
Joining Innovation

# US Plastic Welding



# Things Welded with US

- Wide variety of materials, thicknesses and shapes demands varied systems and tooling



# Weldability of Thermoplastics

- Commonly welded thermoplastics

Polystyrene

ABS

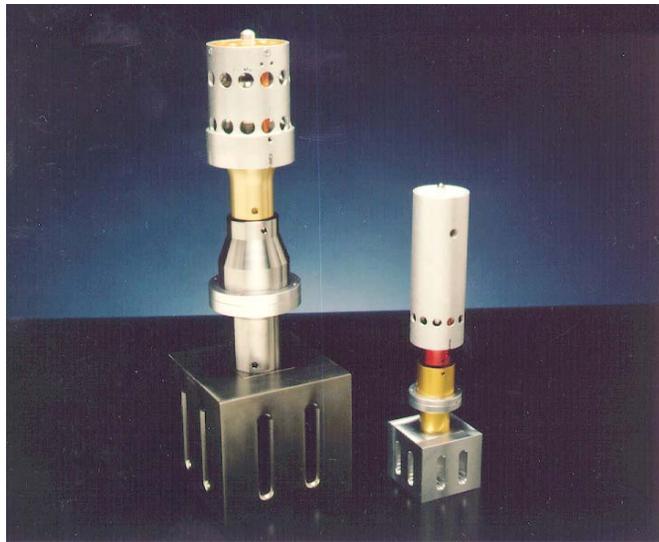
Polycarbonate

Nylon, etc.

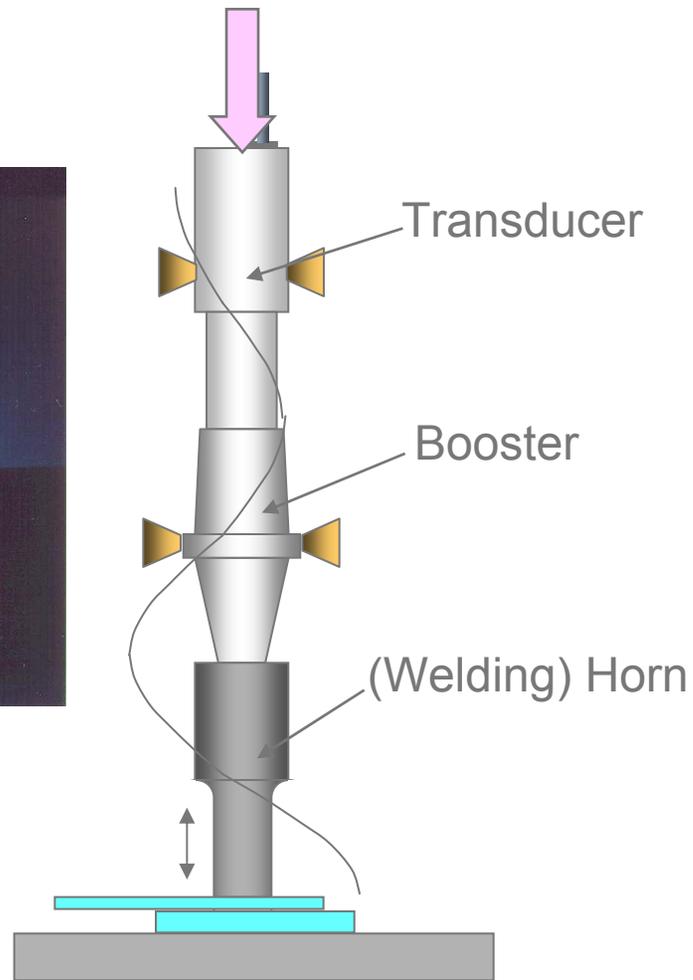
	ABS (Cyclocac)	ABS/Polycarbonate (Cycloloy)	Acetal (Delrin, Celcon)	Acrylic (Plexiglass, Perspex)	Acrylic Multipolymer (XT)	Liquid Crystal Polymers (Xydac)	Nylon (Zytel)	Phenylene Oxide (Noryl)	Polycarbonate (Lexan)	Polycarbonate/Polyester (Xenoy)	Polyester PBT (Celanex, Valox)	Polyester PET (Rynite)	Polyetherether Ketone (PEEK)	Polyetherimide (Ultem)	Polyethylene P/E	Polyphenylene Ether Oxide (Prevex)	Polyphenylene Sulfide PPS (Ryton)	Polypropylene P/P	Polystyrene	Polysulfone (Udel)	Polyvinylchloride (Rigid PVC)	SAN/NAS	Styrene Block Copolymers (K-Resin)
ABS (Cyclocac)	■																						
ABS/Polycarbonate (Cycloloy)		■																					
Acetal (Delrin, Celcon)			■																				
Acrylic (Plexiglass, Perspex)				■																			
Acrylic Multipolymer (XT)					■																		
Liquid Crystal Polymers (Xydac)						■																	
Nylon (Zytel)							■																
Phenylene Oxide (Noryl)								■															
Polycarbonate (Lexan)									■														
Polycarbonate/Polyester (Xenoy)										■													
Polyester PBT (Celanex, Valox)											■												
Polyester PET (Rynite)												■											
Polyetherether Ketone (PEEK)													■										
Polyetherimide (Ultem)														■									
Polyethylene P/E															■								
Polyphenylene Ether Oxide (Prevex)																■							
Polyphenylene Sulfide PPS (Ryton)																	■						
Polypropylene P/P																		■					
Polystyrene																			■				
Polysulfone (Udel)																				■			
Polyvinylchloride (Rigid PVC)																					■		
SAN/NAS																						■	
Styrene Block Copolymers (K-Resin)																							■

■ Good compatibility      ● Compatible at times based on material composition

# US 'Stack' and Press

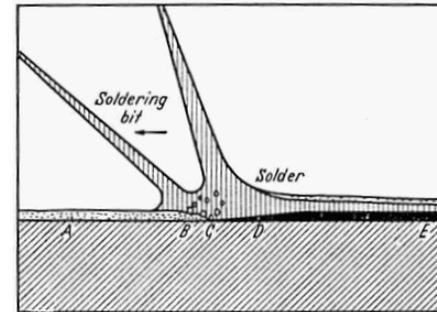


20 kHz, 40 kHz stacks

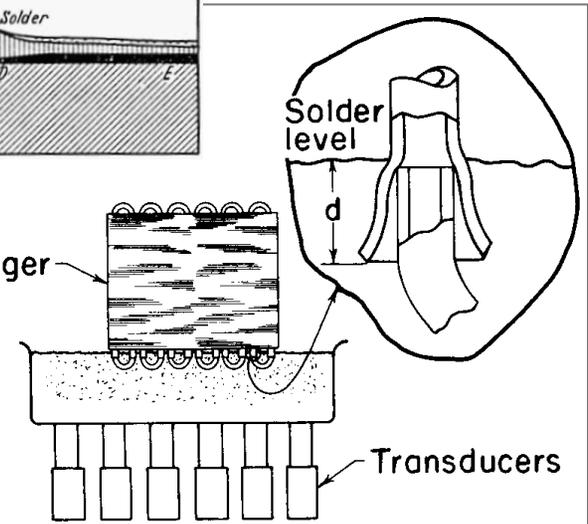


# US Soldering

- Process of pretinning surface using US vibrations to remove oxides – instead of flux

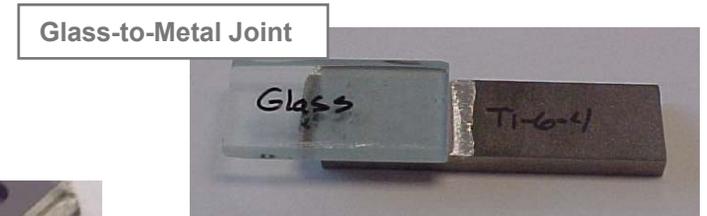
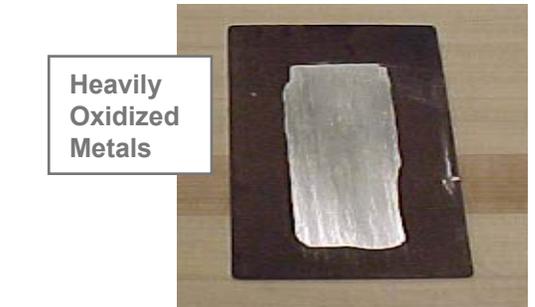
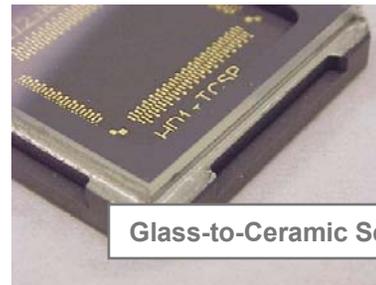
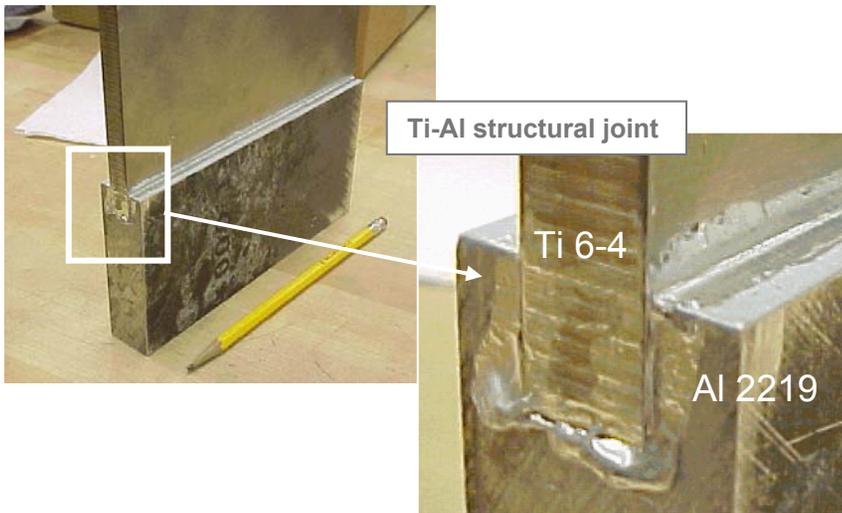


Heat exchanger



# Special Ultrasonic Solder

- EWI patented Sn-based (lead free) soldering alloy\* for difficult-to-wet materials
  - Ti, Ni, Au, Ag, Pt, Al, Cu, SS, Fe, etc.
  - $\text{Al}_2\text{O}_3$ , SiC, WC, BC, nitrides, etc.
  - Heavily oxidized or anodized metals
  - Glass, Carbon Foams, Exotic Materials

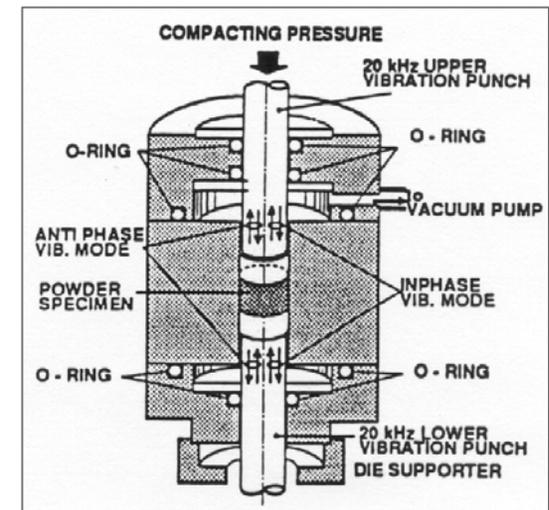
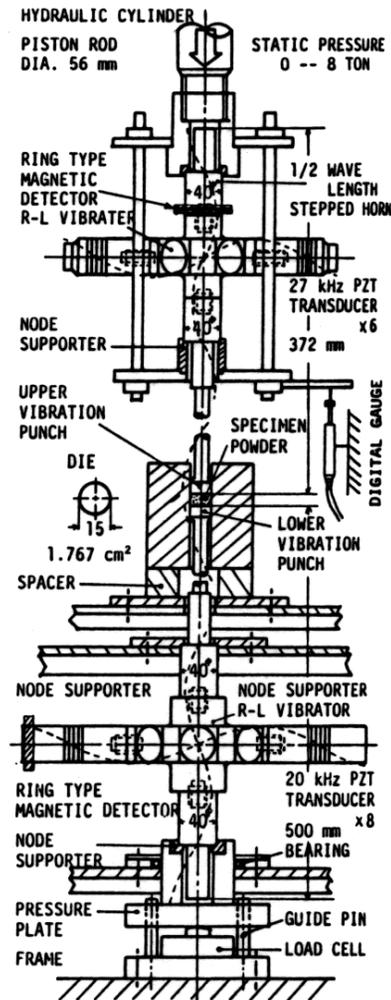
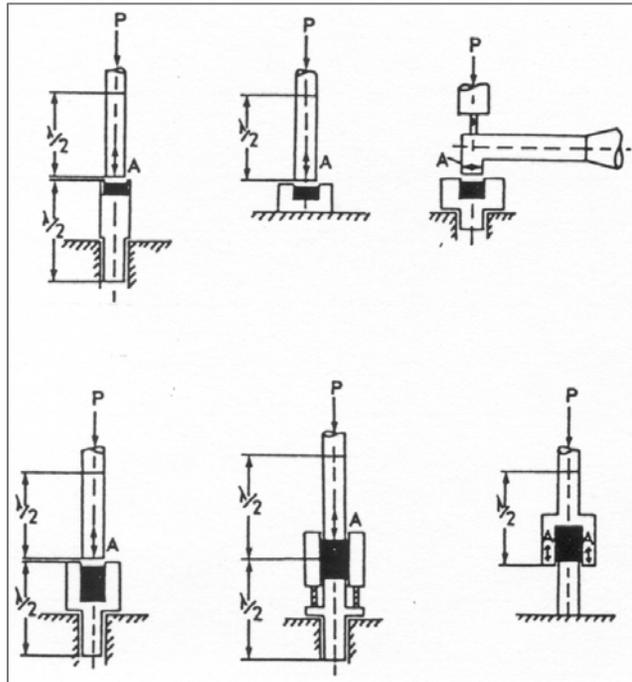


# Scale-up

- Wide blade horns
- Large area horns
- Arrays



# US Compaction

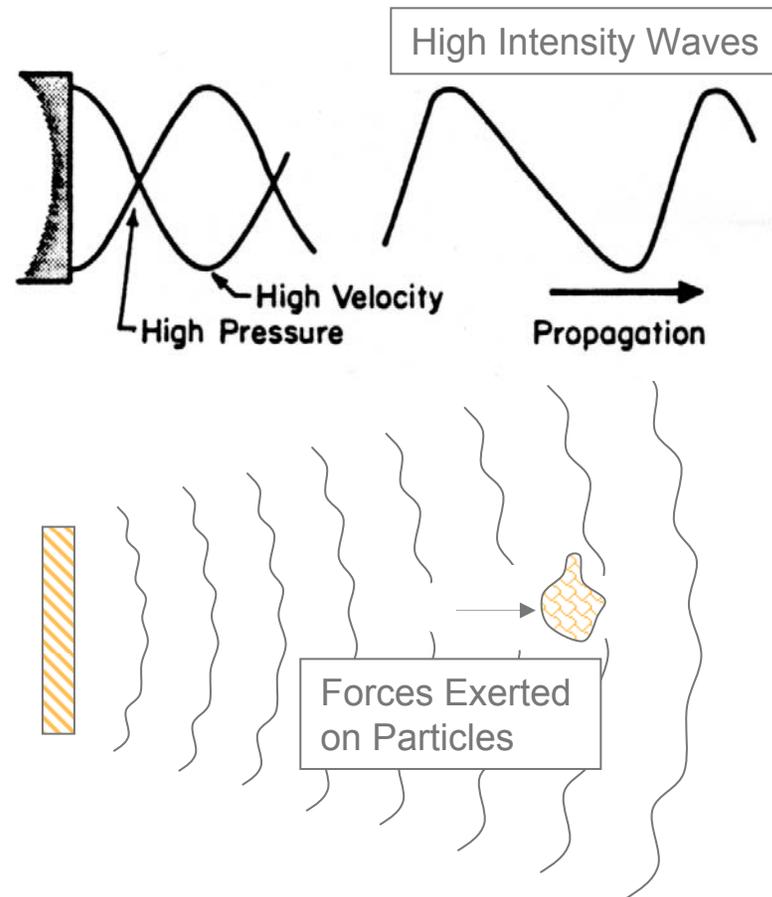


# Airborne US Applications

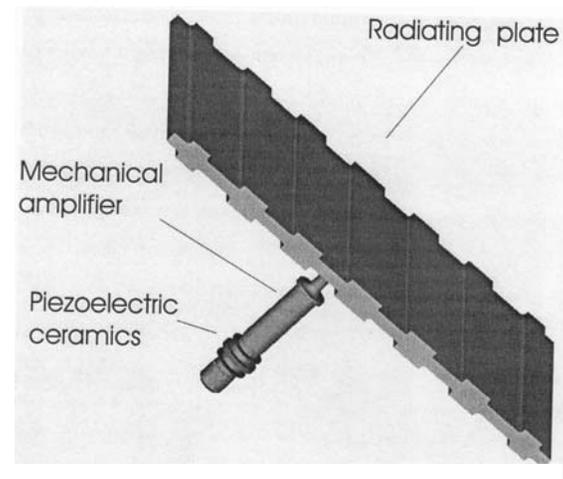
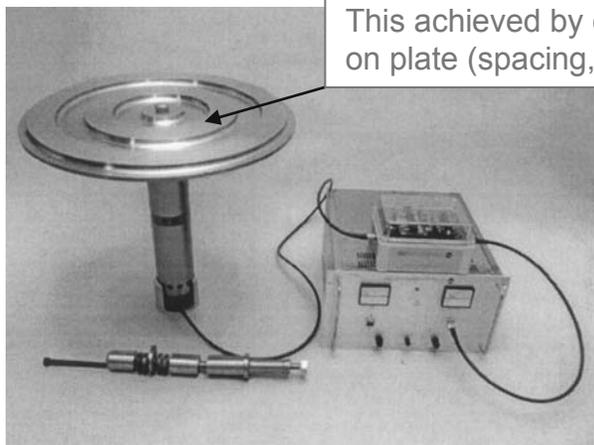
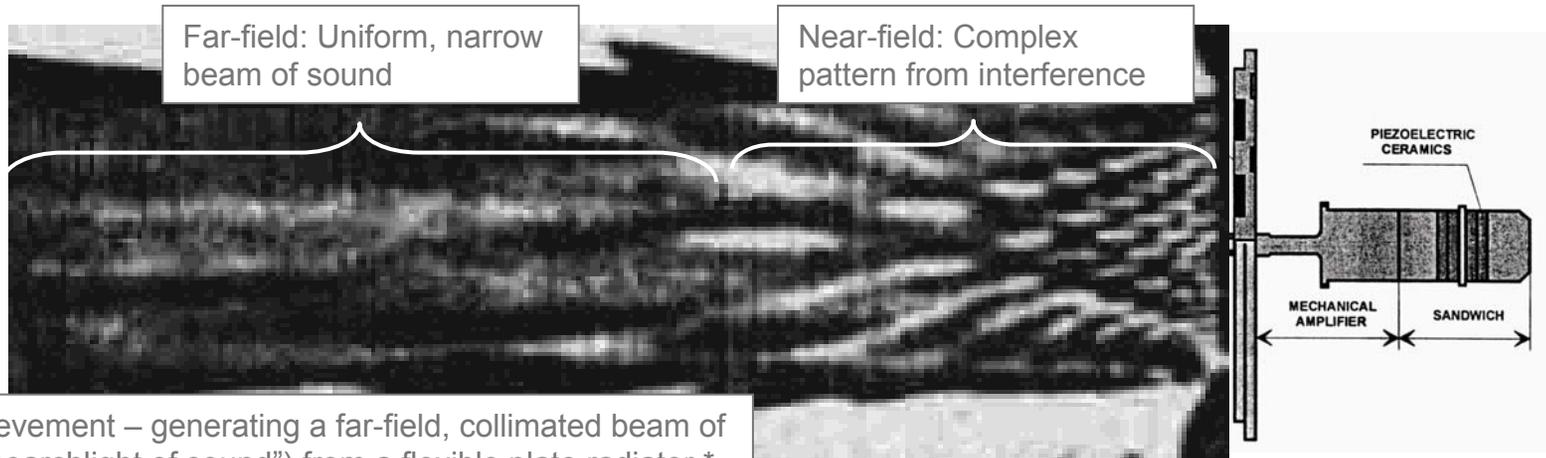
Applications of US in Air  
(and other gases)

- Filtering/separation
- Agglomeration
- Defoaming/drying
- Levitation

Typically, SPL's > 150dB  
required to produce  
useful effects



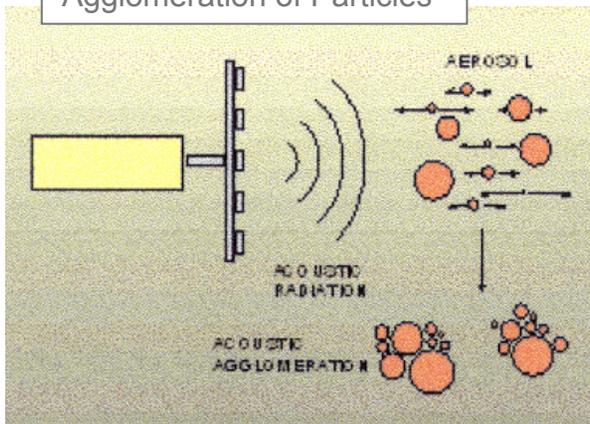
# Generating Intense Airborne US – Gallego-Juarez



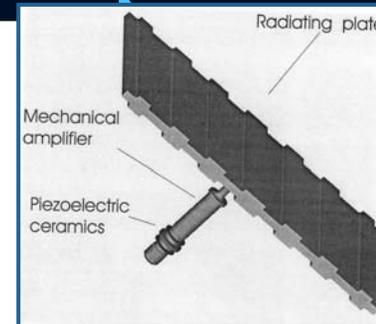
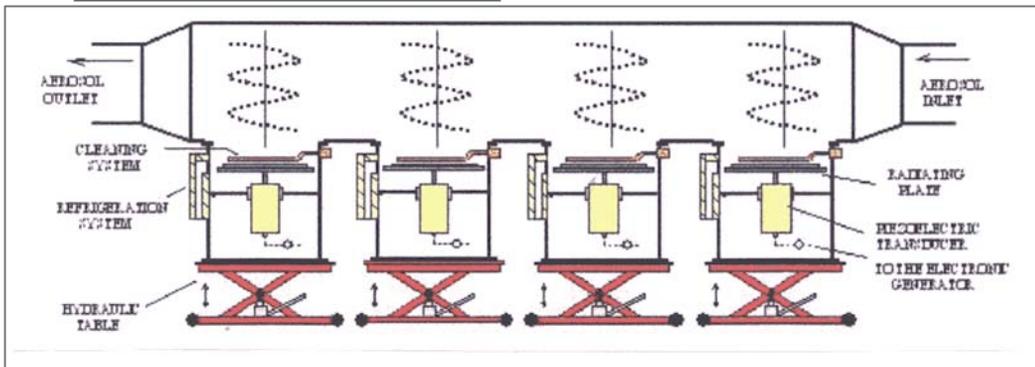
\* General illustration of acoustic field from piston radiator

# Particulate Removal

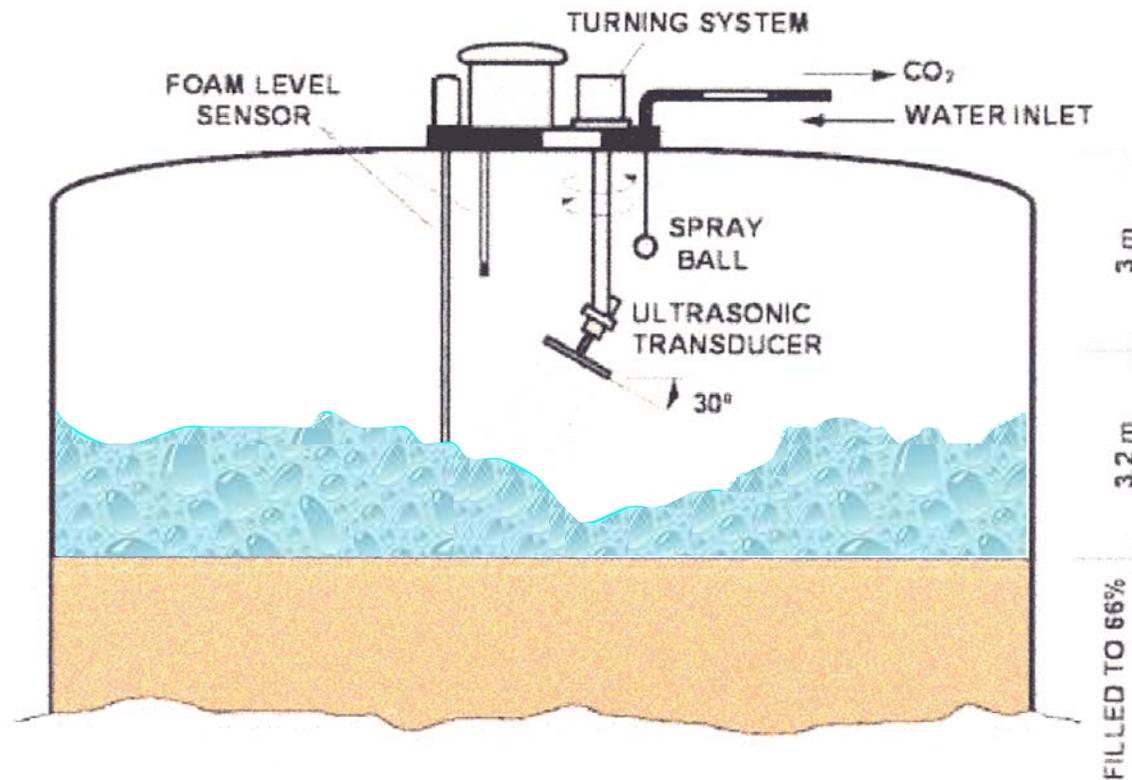
Agglomeration of Particles



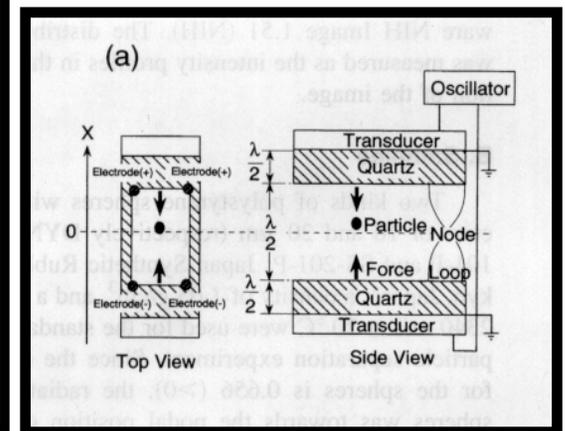
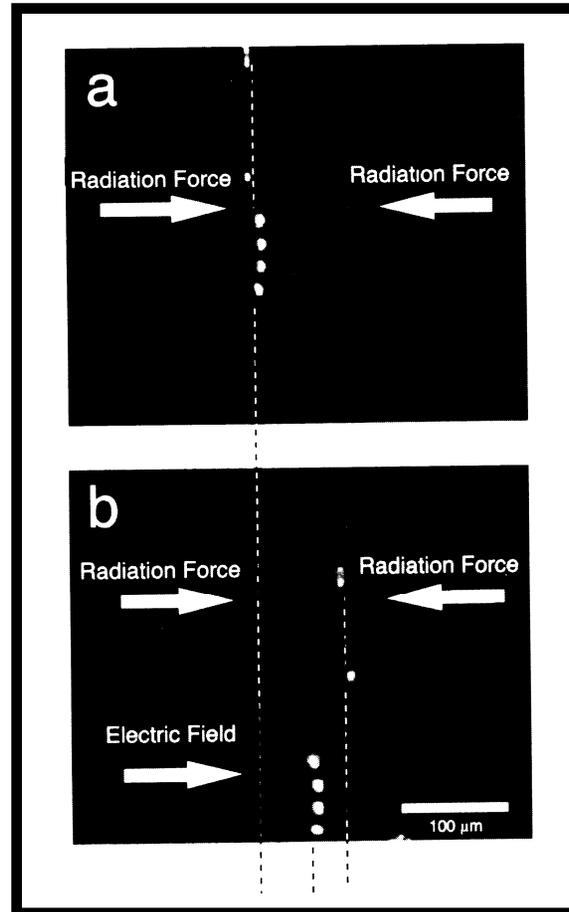
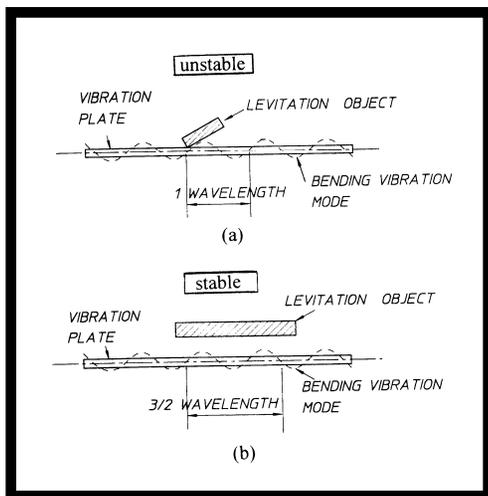
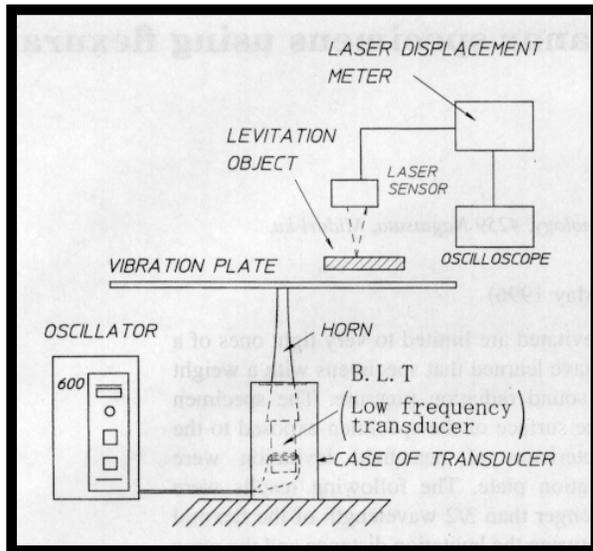
Power Plant Emissions



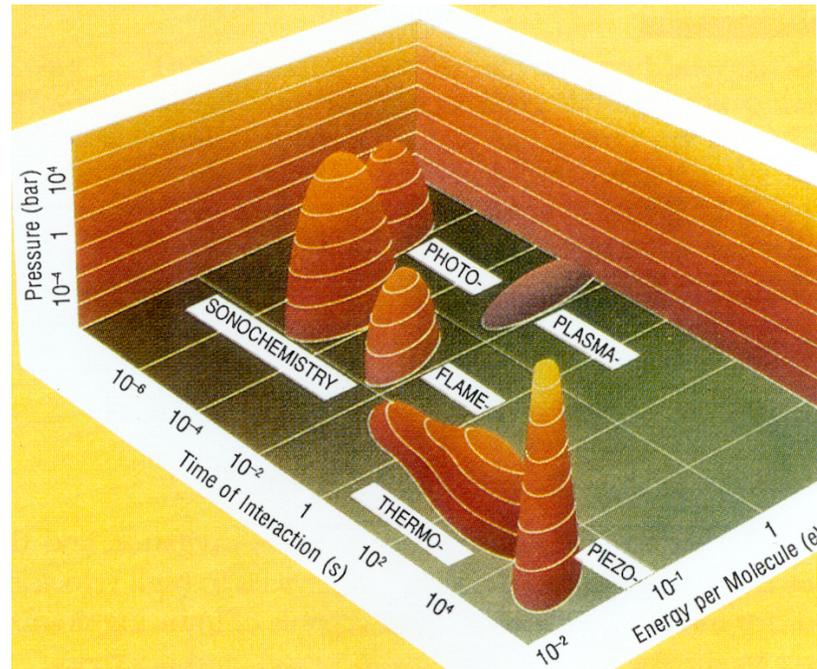
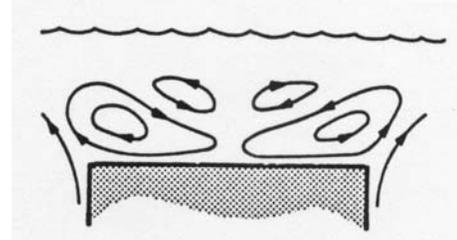
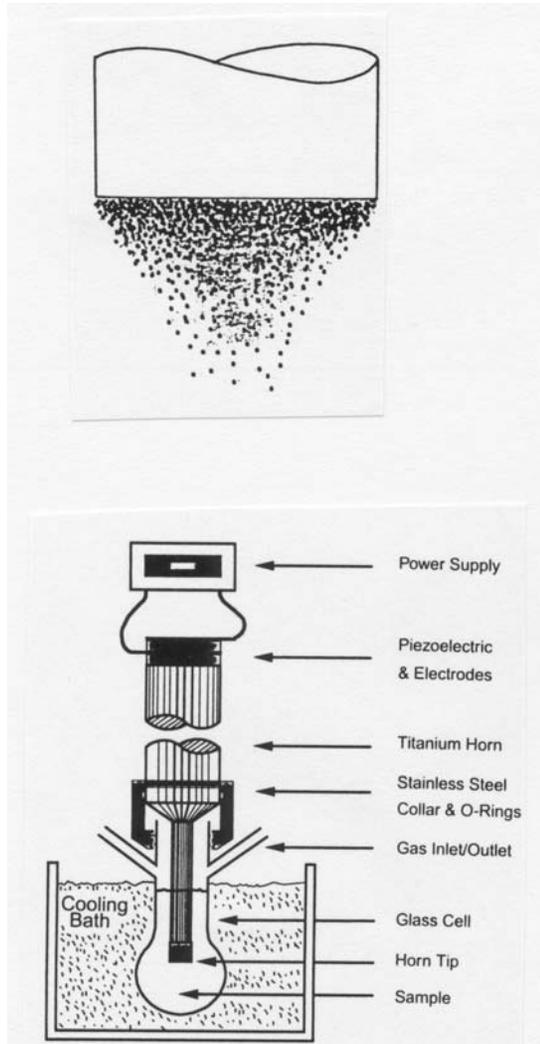
# Defoaming



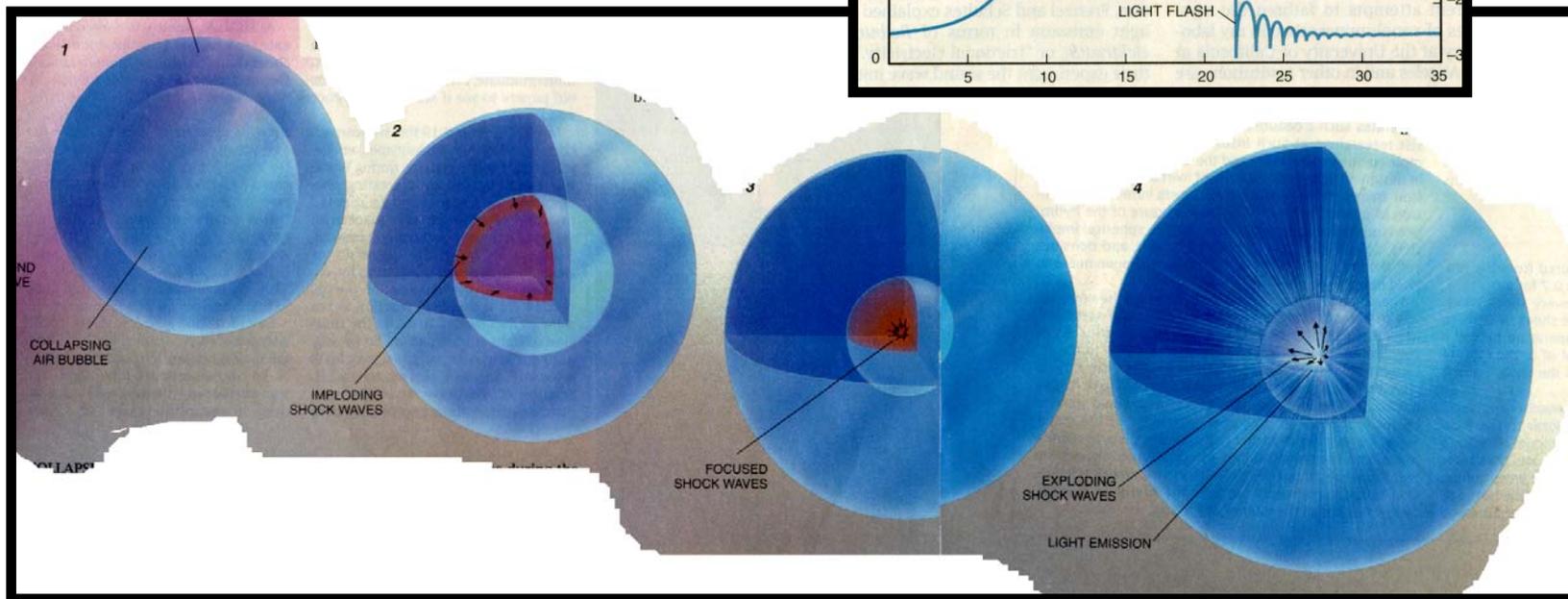
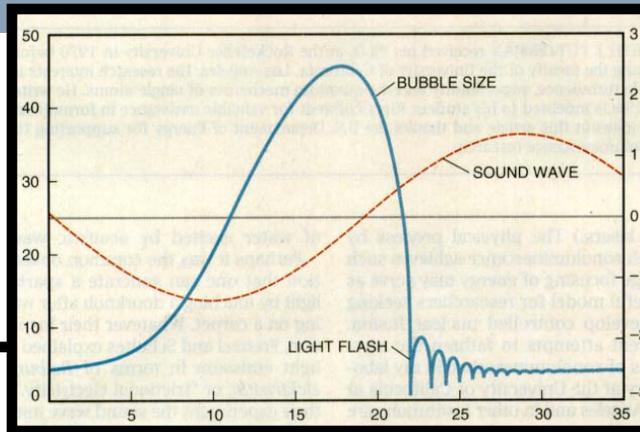
# Levitation, Particle Separation



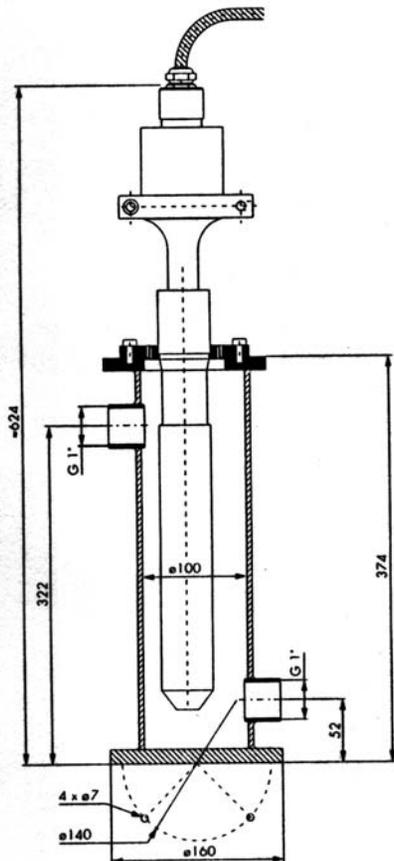
# US Liquid Processing, Sonochemistry



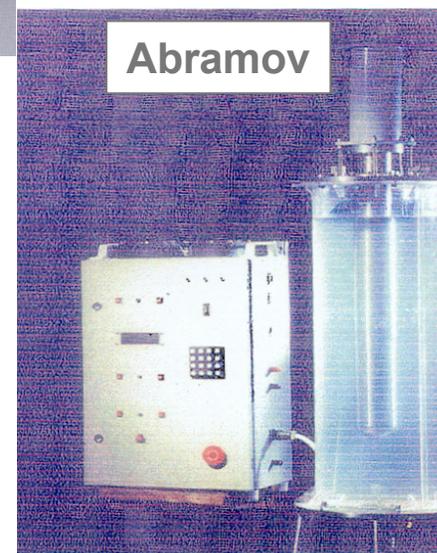
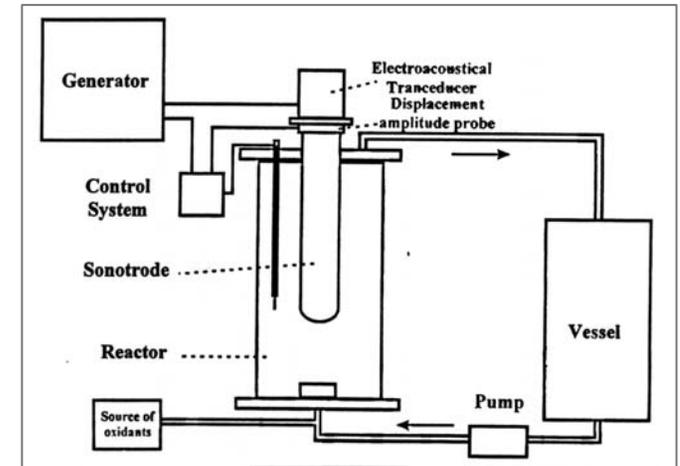
# Sonoluminescence



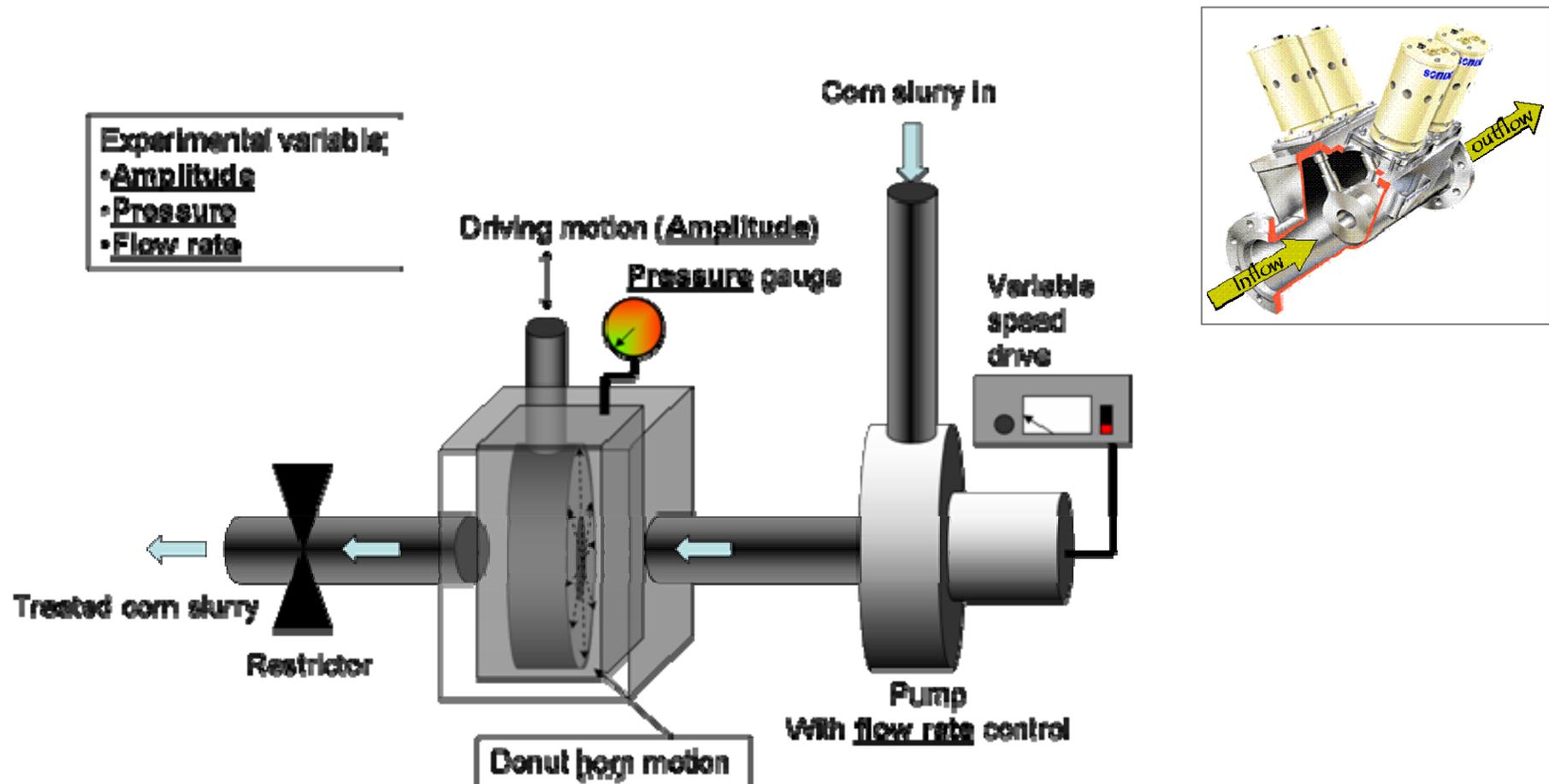
# Sonochemical Reactors



Telsonic



# US in Ethanol Processing\*



\* From ... "Bioenergy and Value-added Bioproducts from Agro-based Feedstocks: Application of Ultrasound Technology," Samir Kumar Khanal, Ph.D., P.E., Iowa State University, Feb., 2007

# Pilot Plant System – 2m<sup>3</sup>/hr

Settling Tank

Centrifuge

US Unit

Tube Filter

Flotation Tank

Electrocoagulator  
+ US Unit

Separator

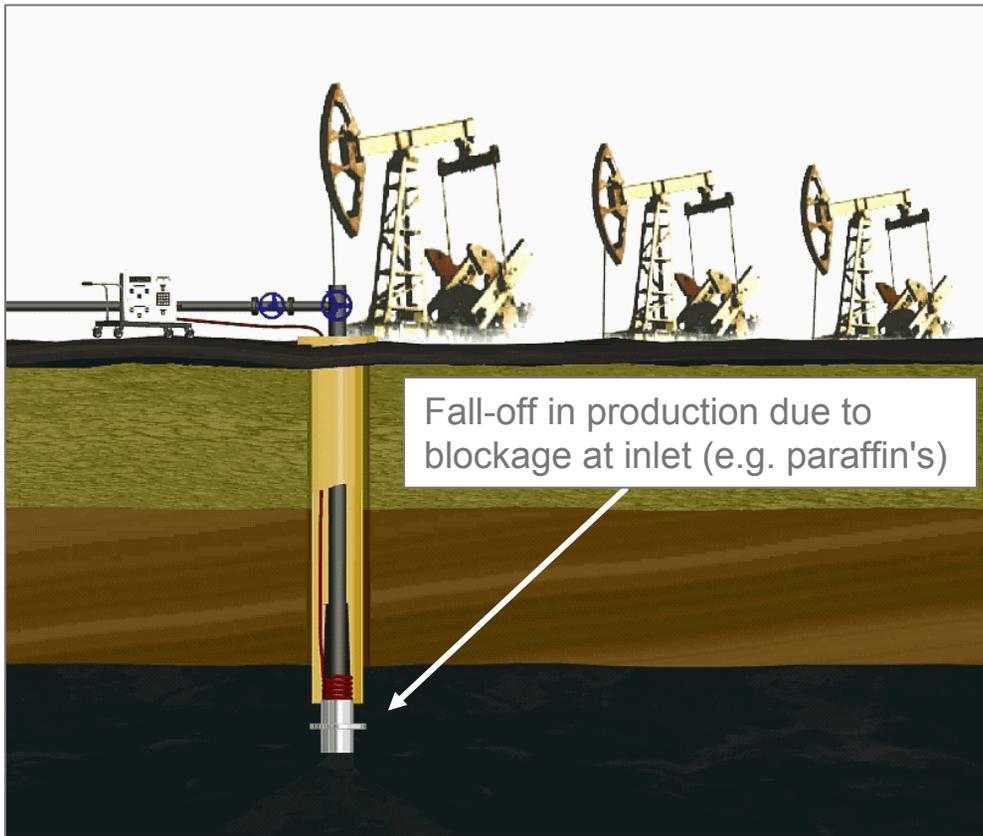
Pilot System >>> Typical “Test Tube”  
Demonstrations of Liquid Processing

From Professor Abramov

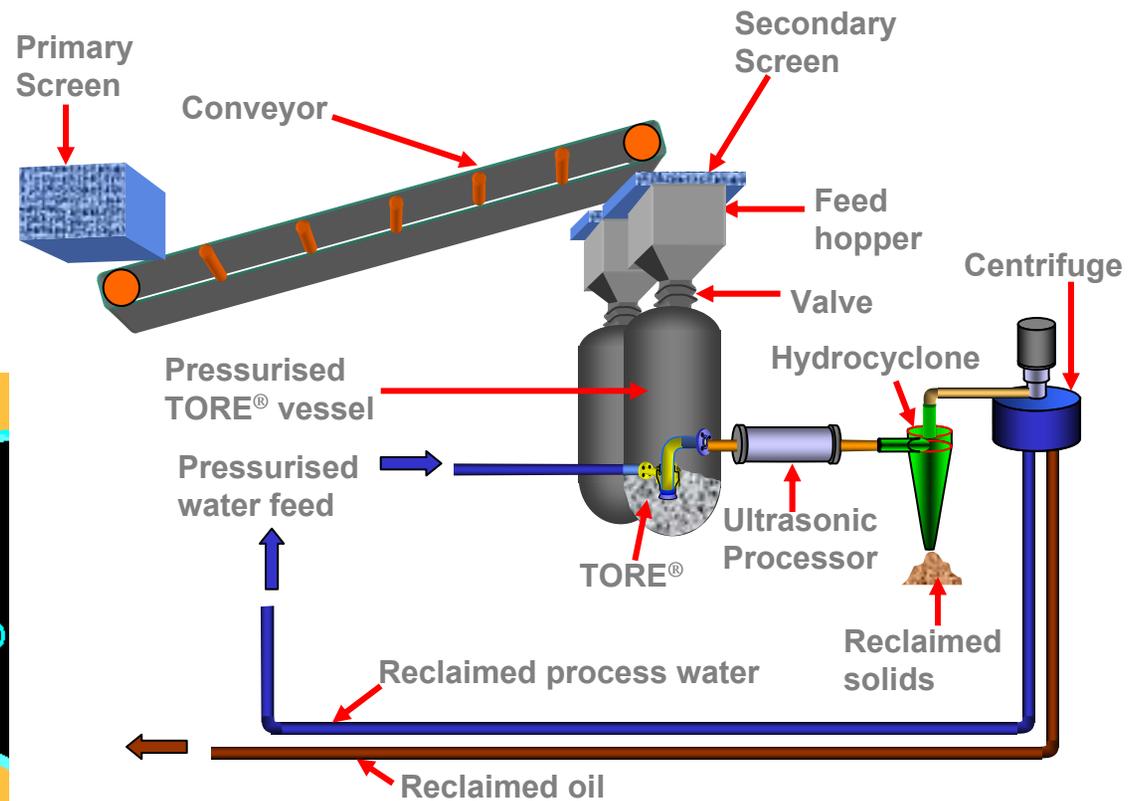
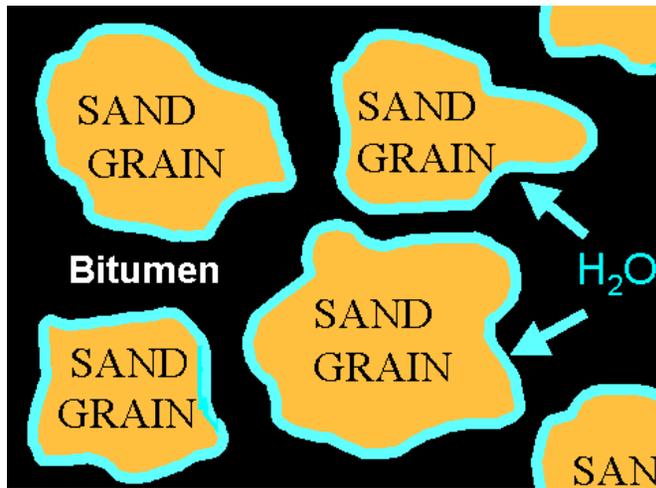


# Oil Extraction

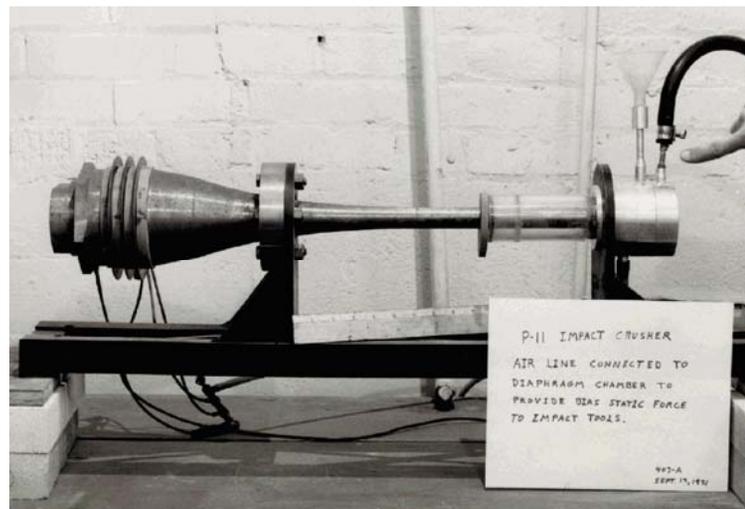
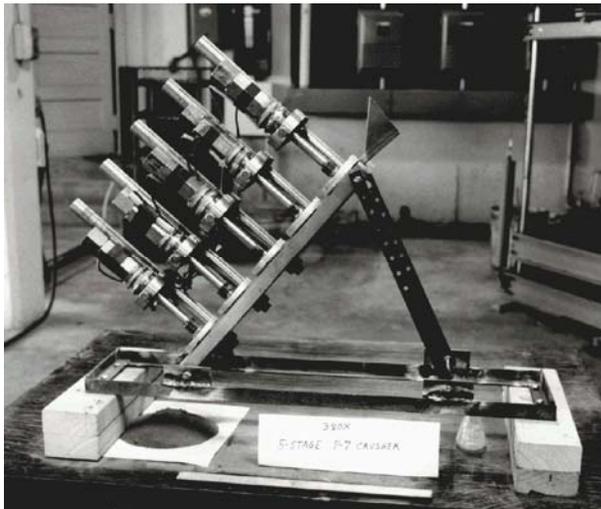
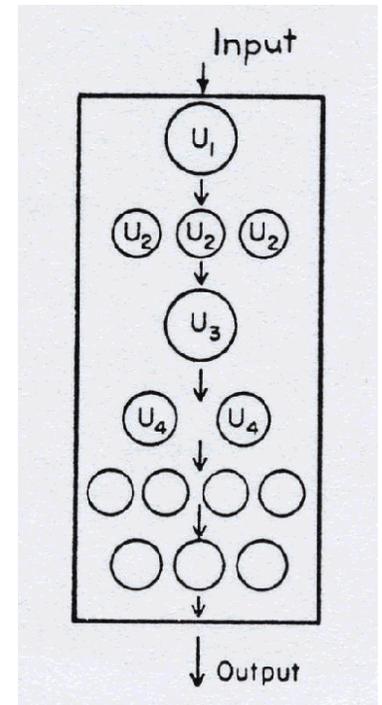
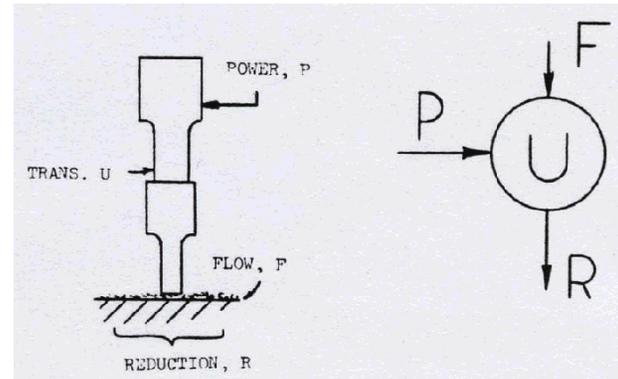
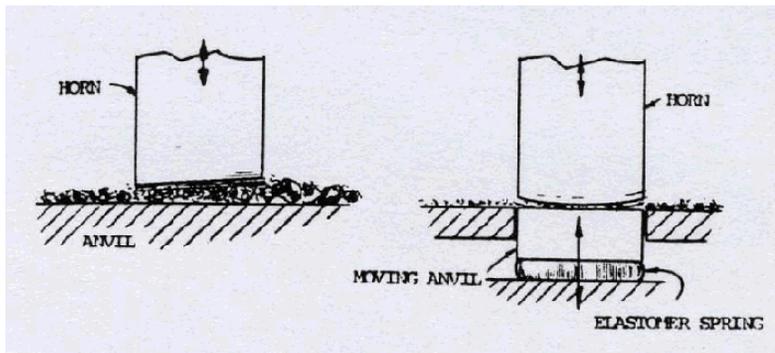
From Professor Abramov



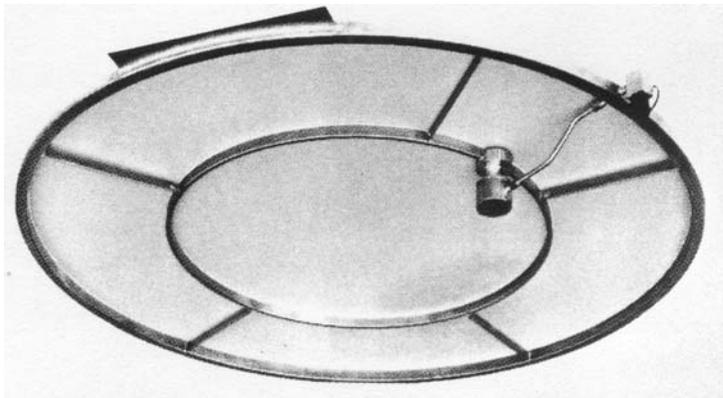
# Treatment of Tar Sands



# Comminution (Grinding)

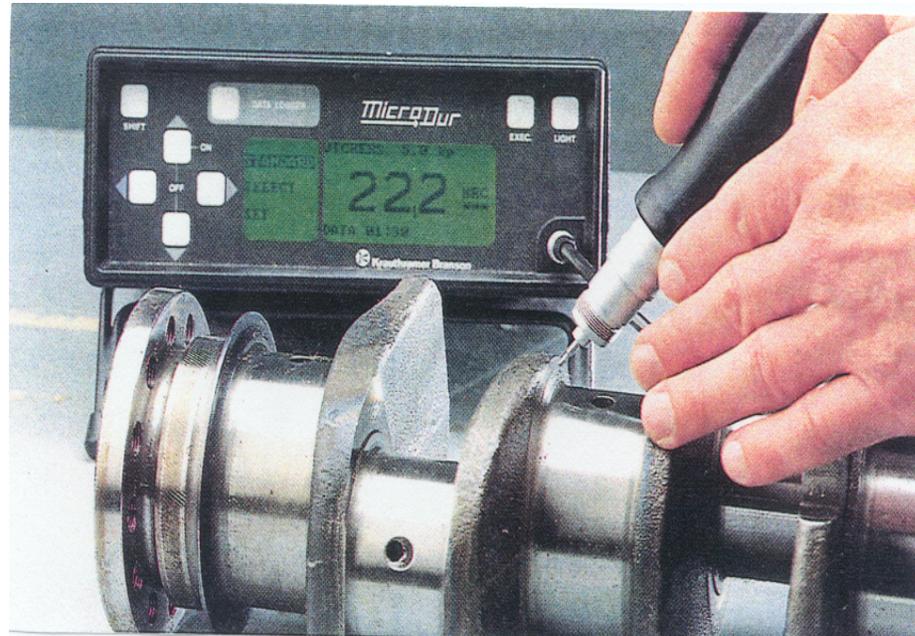


# US Sieving

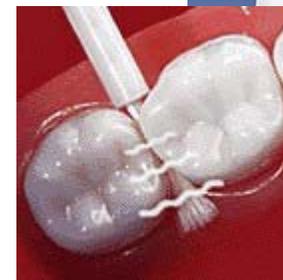
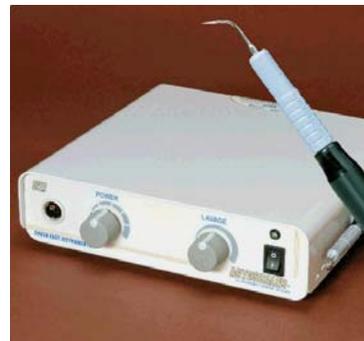
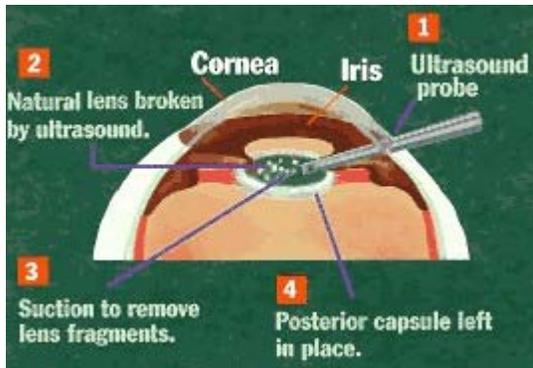


# HPU for Testing

- Hardness, fatigue
- Internal friction
- Fluid/thermal coefficients
- Cavitation erosion
- Suspensions



# HPUS in 'Everyday Life'





# Questions

**Karl Graff**  
**Ultrasonics Group**

**614.688.5269**  
**karl\_graff@ewi.org**

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