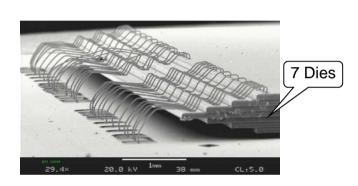
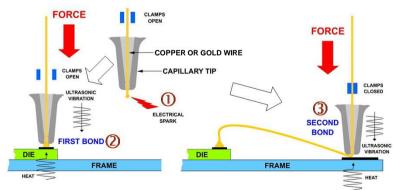
The Effects of Piezoelectric Ceramic Dissipation Factor on the Performance of Ultrasonic Transducers

Dominick A. DeAngelis and Gary W. Schulze



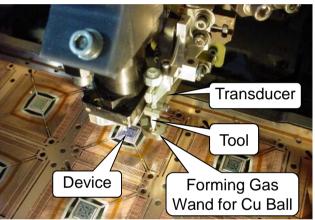
Bonded Stacked Die Device (SEM)



Primary steps of the Wire Bond Cycle



Kulicke & Soffa's Flagship Semiconductor Wire Bonding Machine



Wire Bonding in Action with Fine Copper Wire (20 Wires/Sec)



Ultrasonic Transducer Used For Wire Bonding Machine



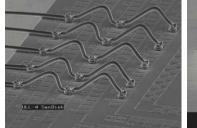
OUTLINE

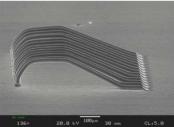
Ball After EFO

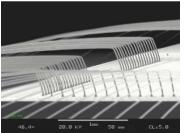
Specific Application and Definition of Dissipation Factor (DF)

How Does DF Affect Transducer Performance?

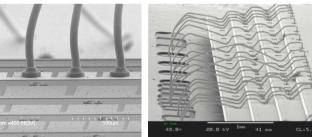
- Research Summary
- Equivalent Circuit Model for DF
- Measuring DF Model Parameters
- Measuring Porosity of Piezo Ceramics
- Mixing Rules for Piezo Properties
- FEA Modeling and Experimental Results
- Conclusions
- Questions?



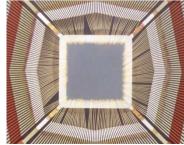


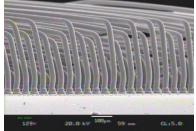


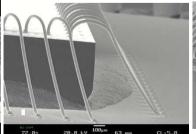


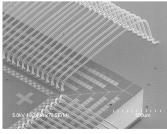








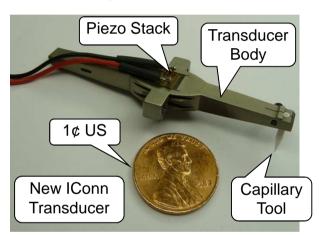


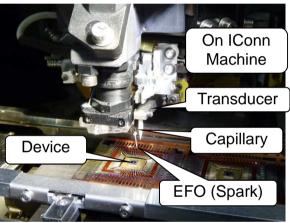


Conn

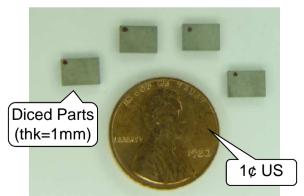
SPECIFIC TRANSDUCER APPLICATION

- * K&S is the leading MFG of semiconductor wire bonding equipment
- Transducer delivers energy to a capillary tool for welding tiny wires
- Patented single piece "Unibody" design is ideal for research studies
- Portability across 100's of machines required for same customer device

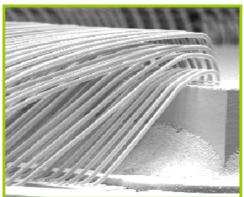




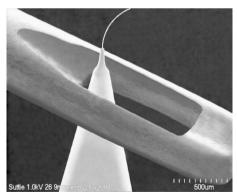
Transducer Specs
500 mA Max Current
50/120 kHz Operating Modes
80 Ohm Max Impedance
Operation 40 Bonds/Sec
Bond Duration ~10 mSec
PZT8 Ceramics (4X)







Actual Wire Bonds From Multi-Tier Package

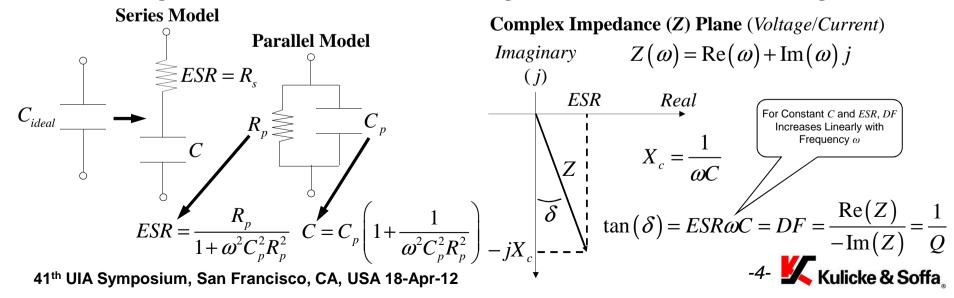


Typical Capillary Tool with Wire Compared to Sewing Needle



DEFINITION OF DISSIPATION FACTOR (DF)

- Ratio of Equivalent Series Resistance (*ESR*) and the magnitude of capacitance reactance (X_c) , i.e., $DF = ESR/|X_c|$
- Also known as loss tangent or $tan(\delta)$, where angle δ is deviation from 90° between voltage and current for an ideal capacit or (i.e., no losses)
- Ratio of (energy lost)/(energy stored) or Re/|Im| of the impedance
- Typically measured at 120 Hz (for AC) and 1000 Hz (more common)
- ightharpoonup The higher the *DF* the more heat is generated via I^2ESR heating



HOW DOES DF AFFECT TRANSDUCERS?

- ❖ *DF* is an important material property of the piezo ceramics
- It governs the amount of self-heating under resonant conditions
- It quantifies a particular material type for either an actuator or resonator
- \clubsuit High DF materials with higher output (d_{33}) are better for actuators
- Low DF materials with typically lower d_{33} are better for resonators
- Designers must often compromise between mechanical output and DF in the selection of piezo ceramics for power ultrasonic applications
- Abnormally high *DF* is one of the main causes of production stoppages of power transducers used in wirebonding
- Abnormally high *DF* is typically caused by moisture absorption due to poor piezo ceramic porosity (manufacturing issue)
- * MFG's often use heat drying after aqueous degreasing to remove polling oil: this can mask *DF* issues in final inspection before shipping
- Moisture absorption can cause voltage leakage effects; e.g., first seen in production when setting piezo stack preload via charge amp
- Corresponding large increases in capacitance can also be associated with poor porosity, which is counterintuitive unless there is moisture absorption or electrodes are wicking/penetrating

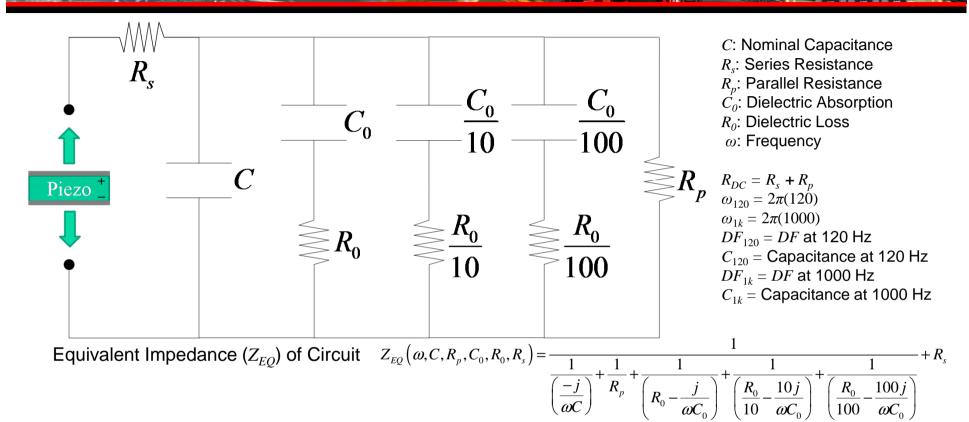


RESEARCH SUMMARY

- ightharpoonup Investigated the mechanisms for abnormally high DF in piezo ceramics, and its corresponding effect on transducer performance
- Investigated if *DF* is only affected by the bulk dielectric properties of the piezo ceramics (e.g. porosity and moisture), or also influenced by non-uniform electric field effects such as from electrode wicking
- Explored if higher DF ceramics can affect transducer current/voltage to displacement gain stability via moisture expulsion at higher drive levels
- Investigation focused solely on the common PZT8 piezoelectric material used with welding transducers for semiconductor wire bonding
- ightharpoonup Transducers were built with both normal DF piezo ceramics, and those with abnormally high DF ceramics which caused production stoppages
- Several metrics were investigated such as impedance, capacitance, displacement/current gain and displacement/voltage gain
- The experimental and theoretical research methods included Bode plots, equivalent circuits, scanning laser vibrometry and coupled-field finite element analysis



EQUIVALENT CIRCUIT MODEL FOR DF



Equations for Solve Block (Mathcad) to Determine Unknowns from Equivalent Circuit

$$DF_{120} = \operatorname{Re}\left(Z_{EQ}\left(\omega_{120}, C, R_p, C_0, R_0, R_s\right)\right)\omega_{120}C_{120} \longrightarrow \operatorname{Relation for Dissipation Factor at 120 Hz}$$

$$DF_{1k} = \operatorname{Re}\left(Z_{EQ}\left(\omega_{1k}, C, R_p, C_0, R_0, R_s\right)\right)\omega_{1k}C_{1k} \longrightarrow \operatorname{Relation for Dissipation Factor 1000 Hz}$$

$$\frac{-1}{\omega_{120}C_{120}} = \operatorname{Im}\left(Z_{EQ}\left(\omega_{120}, C, R_p, C_0, R_0, R_s\right)\right) \longrightarrow \operatorname{Relation for Capacitance at 120 Hz}$$

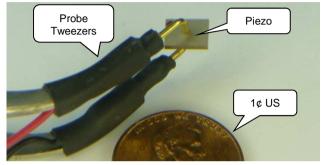
$$\operatorname{Relation for Capacitance at 120 Hz}$$

$$\operatorname{Relation for Capacitance at 1000 Hz}$$

MEASURING DF MODEL PARAMETERS

LCR meter used to measure capacitance and dissipation factor at 120 Hz & 1000 Hz (BK 878). Tiny probes avoids inductance errors



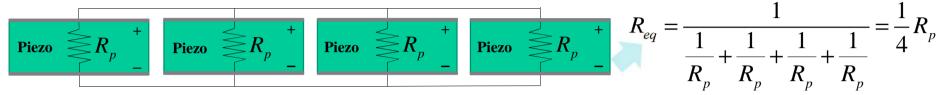


- Parallel resistance R_p is very high, but can ionize with moisture to cause shorts at DC. Used conductance measurement (1/R) with Fluke 187
- Conductance is affected by moisture expulsion due to current heating from multimeter, and typically decreases rapidly for times longer than RC time constant. This is not an accurate method for measuring R_{DC}
- Conductivity of moisture is not a major factor for dissipation factor with respect to leakage across electrodes, but rather affects internal operation of capacitor to store charge due to local ionization with AC
- Typical measurements for excellent, good and bad piezo ceramics

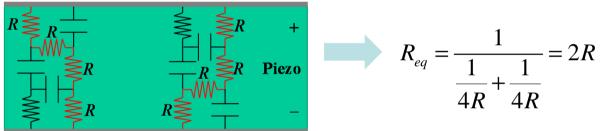
Туре	DF_{120}	C ₁₂₀ (pF)	DF_{1k}	C_{1k} (pF)	<i>G</i> (nS)
Excellent	.001	268	.001	268	0
Good	.001	283	.004	282	0
Bad	.073	269	.034	252	Varies

MEASURING DF MODEL CON'T

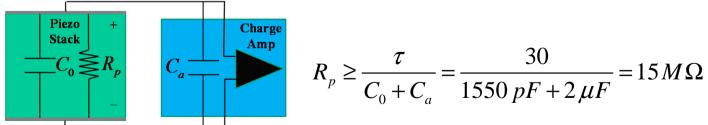
Parallel or leakage resistance (R_p) reduces when taking transducer based measurements for DF with many piezo ceramics are in parallel



Parallel leakage resistance (R_p) also decreases in similar fashion when several conductive percolation paths (in red) appear due to moisture



❖ When using piezo ceramics to set preload, RC time constant (z) for charge amp needs to be greater than ~30 sec in practice for accuracy

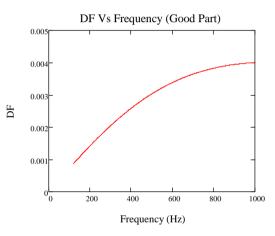


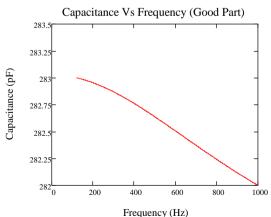
Percolated conduction paths due to moisture can cause rapid decay or excessive preload due to charge leakage via R_p (production stoppage)

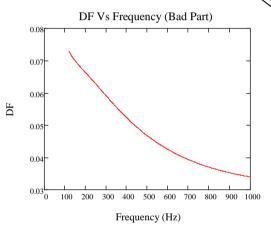
DF CIRCUIT MODEL EXAMPLES

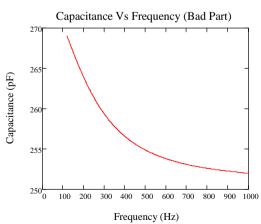
Price Problem Predictions based on individual piezo ceramic measurements

Туре	DF_{120}	C ₁₂₀ (pF)	DF_{1k}	C_{1k} (pF)	C (pF)	R_p (M Ω)	C_0 (pF)	R_0 (M Ω)	R_s (M Ω)
Good	.001	283	.004	282	281	2e12	2	64	0
Bad	.073	269	.034	252	248	131	22	33	6637







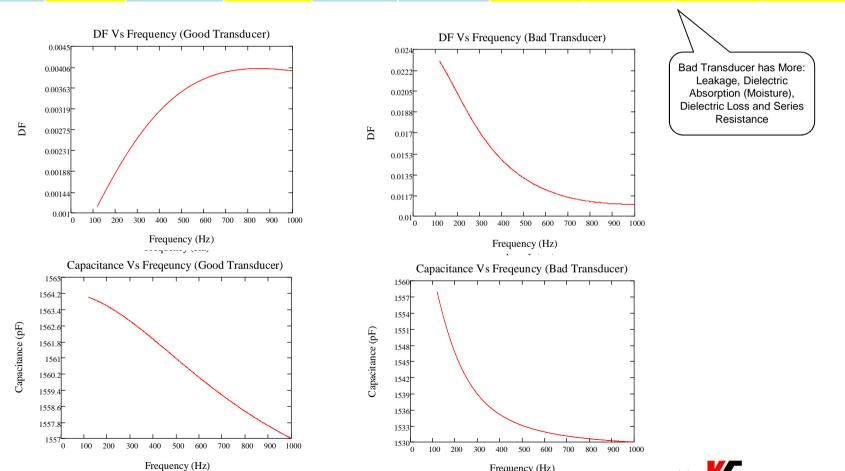


Bad Part has More: Leakage, Dielectric Absorption (Moisture), and Series Resistance

DF CIRCUIT MODEL EXAMP

DF Model predictions based on transducer assembly measurements

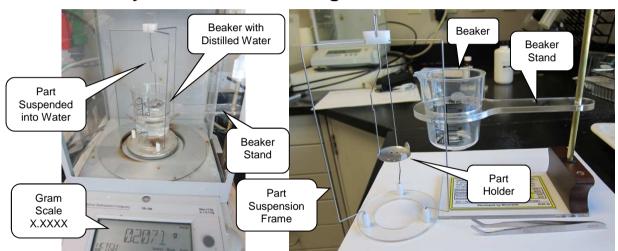
Туре	DF_{120}	C ₁₂₀ (pF)	DF_{1k}	C_{1k} (pF)	C (pF)	R_p (M Ω)	C_0 (pF)	R_0 (M Ω)	R_s (M Ω)
Good	.001	1564	.004	1557	1551	16470822	12	16	16
Bad	.023	1558	.011	1530	1524	100	45	23	548



Frequency (Hz)

MEASURING POROSITY OF PIEZO CERAMICS

- Porosity (ϕ) is defined as the Pore Volume/Bulk Volume (V_{ϕ}/V_{b})
- Porosity pores can be closed or open/interconnected to exterior surfaces
- Silver electrodes may wick more than plated or sputtered (e.g., nickel)
- Porosity measured using Archimedes method with submersion in water



W_a: Dry Weight

W_s: Submerged Weight (air in pores)

 W_{ss} : Saturated Submerged Weight

 W_{sa} : Saturated Weight in Air

 V_{ϕ} : Pore Volume

 V_b : Bulk Volume (e.g., L*W*t)

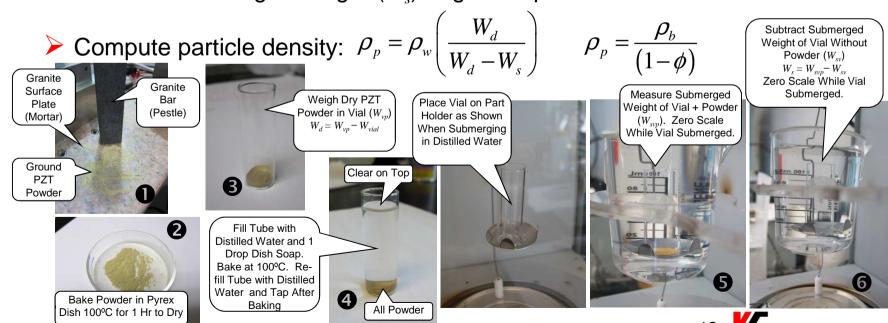
 ρ_{w} : Water Density (996 kg/m³)

 ρ_b : Bulk Density (W_d/V_b)

 ρ_{p} : Particle Density (without ϕ)

- Determine bulk part volume via dimensions or use equation: $V_b = \frac{W_d W_s}{\rho_w}$ Measure submerged weight (W_s) quickly to limit surface saturation
- Saturate open porosity exposed to surface for W_{ss} following ASTM C373 \triangleright Boil for 5 hours in distilled water (100°C). Cool for 24 hours in distilled water
- If particle density (ρ_p) is known: $\phi = 1 \frac{W_d}{\rho_p V_b} = 1 \frac{\rho_b}{\rho_p}$ If not: $\phi = \frac{W_{sa} W_d}{W_{sa} W_{ss}}$

- Particle density (ρ_p) more accurately determined via destructive method
 - Find ceramic part(s) to fine powder exposing all porosity cavities using granite surface plates/bars with mortar and pestle method (need >1g powder)
 - Use multiple parts (same lot) to improve accuracy for small parts <1g</p>
 - Thick electrodes such as silver should be lapped off (sputtered not an issue)
 - Bake powder at 100°C for 1 hr to dry and then place in glass shell vial
 - \triangleright Measure W_d of powder, fill with distilled water and add 1 drop dish soap
 - > Saturate powder in vial as per ASTM C373 and re-fill with distilled water
 - Tap tube to remove all bubbles and to insure all PZT particles submerged
 - \triangleright Measure submerged weight (W_s) of ground powder in vial



Grain density measurement for PZT8 (5 parts ground)

Still Perfecting Technique (Result Not Consistent)

W_{vial} (g)	W_{vp} (g)	W_d (g)	W_{svp} (g)	W_{sv} (g)	W_s (g)	$\rho_{\scriptscriptstyle \! W}$ (kg/m³)	ρ_p (kg/m ³)
3.6064	4.6244	1.0180	2.9378	2.0477	.8901	998	7943

 \diamond Example porosity (ϕ) measurements for good and bad piezo ceramics

Type	<i>DF</i> (120/1kHz)	$C(120/1\mathrm{kHz})$	W_d (g)	V_b (${ m m}^3$)	$ ho_{\!\scriptscriptstyle b}$ (kg/m $^{\!\scriptscriptstyle 3}$)	ρ_p (kg/m³)	ϕ
Good1	.002/.001	275/276 pF	.2158	2.776e-8	7774	7943	.021
Good2	.001/.001	295/297 pF	.2140	2.754e-8	7770	7943	.022
Good3	.001/.001	282/282 pF	.2137	2.732e-8	7821	7943	.015
Bad1	.041/.019	264/255 pF	.2076	2.692e-8	7711	7943	.029
Bad2	.042/.023	270/255 pF	.2086	2.715e-8	7682	7943	.033
Bad3	.095/.041	274/256 pF	.2078	2.740e-8	7485	7943	.045

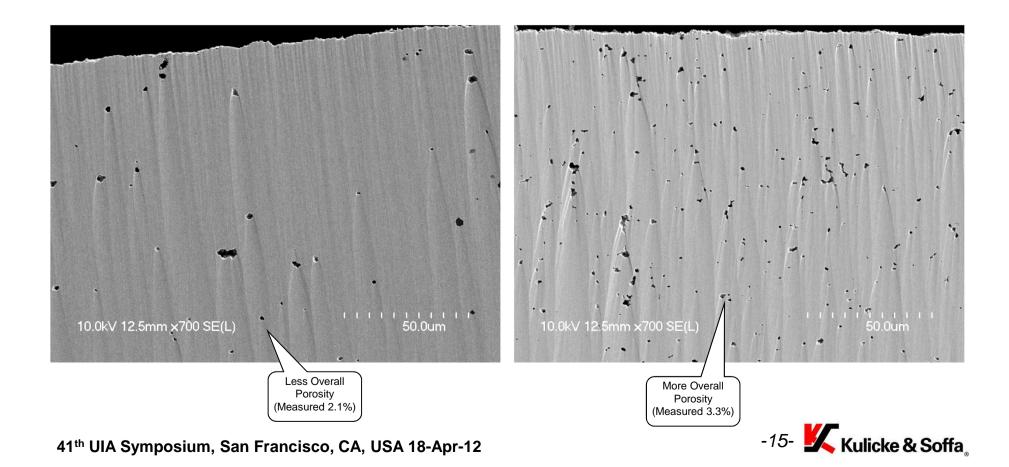
Porosity for good and bad piezo ceramics used in SEM cross-sections

Type	<i>DF</i> (120/1kHz)	C (120/1kHz)	W_d (g)	V_b (${ m m}^3$)	$ ho_{\!\scriptscriptstyle b}$ (kg/m $^{\!\scriptscriptstyle 3}$)	ρ_p (kg/m³)	ϕ
Good	.001/.001	268/268 pF	.2160	2.777e-8	7778	7943	.021
Bad	.067/.035	271/255 pF	.2098	2.730e-8	7685	7943	.033

SEM cross-sections for good and bad piezo ceramics listed above

Good Piezo Cross-Section

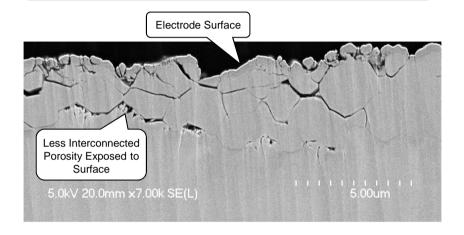
Bad Piezo Cross-Section

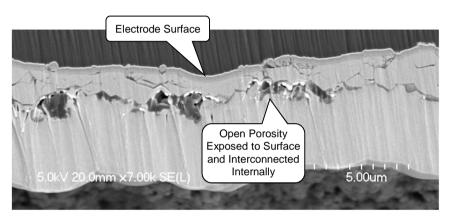


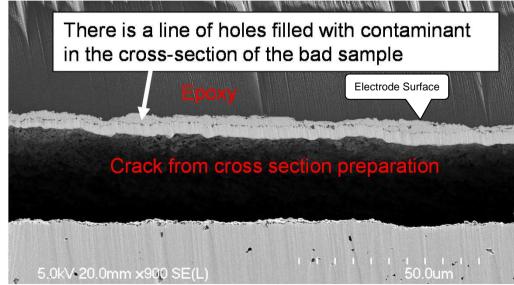
SEM cross-sections for good and bad piezo ceramics listed above con't

Good Piezo Cross-Section

Bad Piezo Cross-Section







MIXING RULES FOR PIEZO PROPERTIES

Parallel mixing rule for relative permittivity of two phase dielectric

$\varepsilon_{\scriptscriptstyle m} = V_{\scriptscriptstyle H} \varepsilon_{\scriptscriptstyle H} + V_{\scriptscriptstyle L} \varepsilon_{\scriptscriptstyle L}$	Type	ϕ	$\mathcal{E}_{\!H}$ (PZT)	$\mathcal{E}_{L}(Air)$	\mathcal{E}_m	C (pF)
V_{I}	Good	.020	1173	1	1150	298
$\phi = \frac{V_L}{V_H} V_H + V_L = 1$	Bad	.040	1173	1	1126	291

- \triangleright ε_{H} and ε_{L} are relative permittivities for high and low dielectric phases
- $\triangleright V_H$ and V_L are the volume fractions for high and low dielectric phases
- \triangleright Effective permittivity (ε_m) of high phase (PZT) and low phase (air) based on ϕ
- > Parallel mixing rule gives upper limit of dielectric constant (serial gives lower)
- Logarithmic mixing rule for relative permittivity of two phase dielectric
 - Gives Intermediate values of dielectric constant between serial and parallel

$$\log \varepsilon_m = V_H \log \varepsilon_H + V_L \log \varepsilon_L \qquad \varepsilon_m = 10^{(V_H \log \varepsilon_H + V_L \log \varepsilon_L)}$$

Type	ϕ	$\mathcal{E}_{\!H}(PZT)$	$\varepsilon_{\!\scriptscriptstyle L}(Air)$	\mathcal{E}_m	C (pF)
Good	.020	1328	1	1150	298
Bad	.040	1328	1	996	258—

0% Porosity Prediction

POWER LAW RESPONSE FOR PIEZO PROPS

- Power law response for material with dielectric and conductive phases
 - Model as complex frequency dependent network of resistors and capacitors
 - \triangleright Dielectric (PZT) has relative permittivity (ε) and conductivity of water is (σ)

$$\varepsilon_{meas}(\omega) = (\omega\varepsilon_0)^{\alpha} \varepsilon^{\alpha-1} \sigma^{1-\alpha} \sin(\alpha\pi/2) \qquad \alpha = (1-\phi)$$

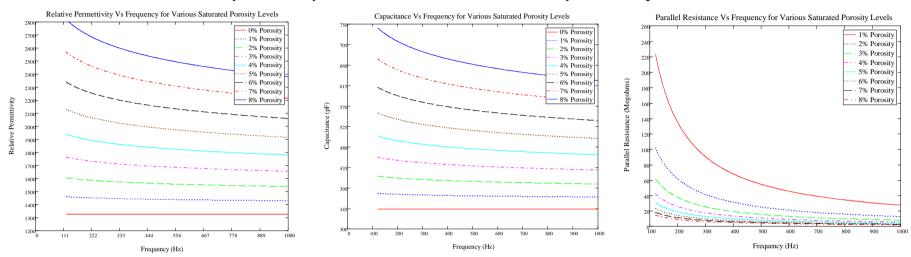
$$\sigma_{meas}(\omega) = \sigma(0) + (\omega\varepsilon\varepsilon_0)^{\alpha} \sigma^{1-\alpha} \cos(\alpha\pi/2)$$

$$R = C \qquad R \qquad Piezo$$

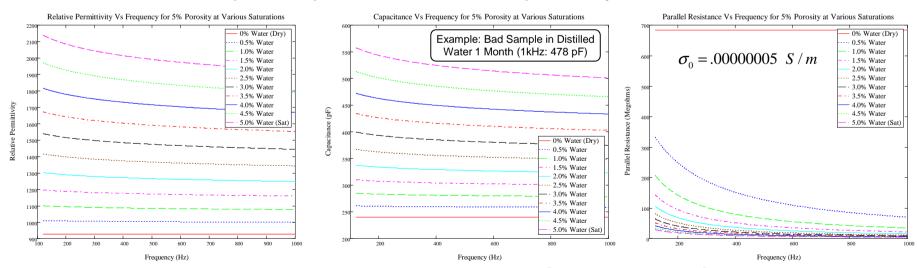
$$R = C \qquad Piezo \qquad Piezo$$

POWER LAW RESPONSE CONT

Power law response predictions based on porosity with 100% saturation



Power law response predictions at 5% porosity with various saturations

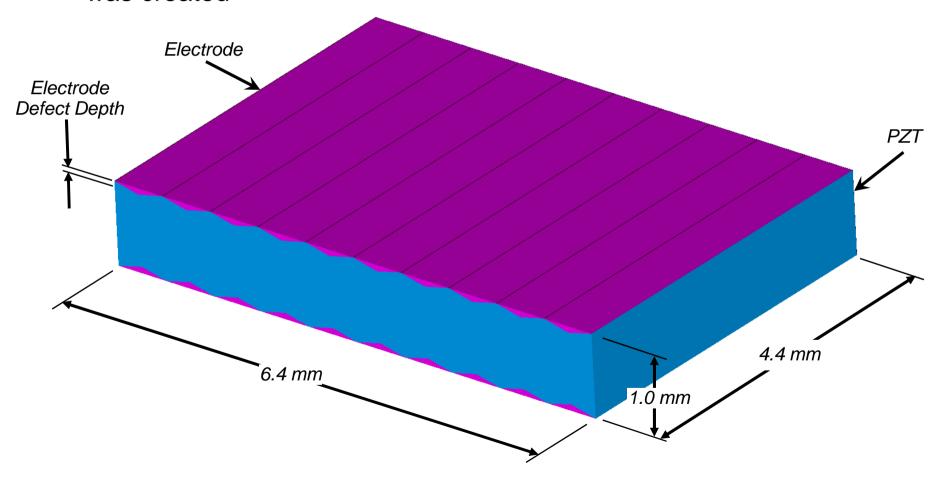


 $\varepsilon(\phi,\phi_{water}) = 10^{\left[(1-\phi+\phi_{water})\log(\varepsilon_H)+(\phi-\phi_{water})\log(\varepsilon_L)\right]}$

19- Kulicke & Soffa

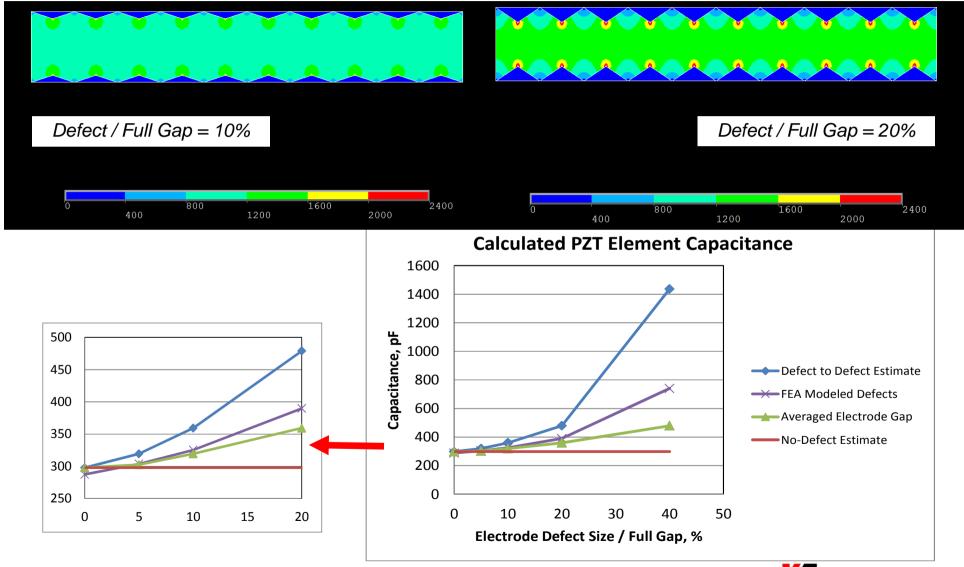
FINITE ELEMENT MODELING

To examine the effect of electrode defects which extend below the surface of the PZT element face, a simple ANSYS finite element model was created



FINITE ELEMENT MODELING CONT

Electric Field and Capacitance (no edge effects)



EXPERIMENTAL RESULTS

Pad piezo ceramic results before and after 100°C "bake" test

Bad Part #1 (Bake)	LCR Met	er 120 Hz	LCR Me	ter 1 kHz
Test Description	DF	C (pF)	DF	C (pF)
Initial State After MFG	0.089	273	0.036	257
After 24 Hrs at 100°C	0.011	281	0.006	282
After 48 Hrs at 100°C	0.007	279	0.005	279
After 7 Days at 100°C	0.005	276	0.004	276
Bad Part #2 (Bake)	LCR Met	er 120 Hz	LCR Me	ter 1 kHz
Bad Part #2 (Bake) Test Description	LCR Met	er 120 Hz C (pF)	LCR Me	ter 1 kHz
•				
Test Description	DF	C (pF)	DF	C (pF)
Test Description Initial State After MFG	<i>DF</i> 0.048	C (pF)	<i>DF</i> 0.023	C (pF)

Density and Porosity Measurements (Bad #1)							
W_d (g) V_b (m ³) ρ_b (kg/m ³) ρ_p (kg/m ³) ϕ							
0.2082	2.738E-08	7604	7943	0.043			

Density and Porosity Measurements (Bad #2)							
W_d (g)	W_d (g) V_b (m ³) ρ_b (kg/m ³) ρ_p (kg/m ³) ϕ						
0.2065	2.693E-08	7667	7943	0.035			

Good and bad piezo ceramic results before and after water "dunk" test

LCR Met	er 120 Hz	LCR Met	ter 1 kHz
DF	C (pF)	DF	C (pF)
0.001	268	0.001	268
0.001	269	0.001	269
0.001	269	0.001	269
LCR Met	er 120 Hz	LCR Met	ter 1 kHz
DF	C (pF)	DF	C (pF)
0.011	254	0.006	252
0.013	256	0.008	254
0.015	256	0.008	254
0.017	258	0.009	256
0.030	269	0.021	262
LCR Met	er 120 Hz	LCR Met	ter 1 kHz
DF	C (pF)	DF	C (pF)
0.018	254	0.009	249
0.023	258	0.013	253
0.024	258	0.013	252
0.024	258	0.013	253
0.045	277	0.030	262
	DF 0.001 0.001 0.001 0.001 LCR Mete DF 0.011 0.013 0.015 0.017 0.030 LCR Mete DF 0.018 0.023 0.024 0.024	0.001 268 0.001 269 0.001 269 LCR Meter 120 Hz DF C (pF) 0.011 254 0.013 256 0.015 256 0.017 258 0.030 269 LCR Meter 120 Hz DF 0.018 254 0.023 258 0.024 258 0.024 258	DF C (pF) DF 0.001 268 0.001 0.001 269 0.001 0.001 269 0.001 LCR Meter 120 Hz LCR Meter DF C (pF) DF 0.011 254 0.006 0.013 256 0.008 0.015 256 0.008 0.017 258 0.009 0.030 269 0.021 LCR Meter 120 Hz LCR Meter DF C (pF) DF 0.018 254 0.009 0.023 258 0.013 0.024 258 0.013 0.024 258 0.013

Density and Porosity Measurements (Good #1)									
W_d (g)	V_b (m ³)	ρ_b (kg/m ³)	ρ_p (kg/m ³)	φ					
0.2165	2.779E-08	7791	7943	0.019					

Densi	Density and Porosity Measurements (Bad #3)										
W_d (g)	V_b (m ³)	ρ_b (kg/m ³)	ρ_p (kg/m ³)	φ							
0.2108	2.744E-08	7682	7943	0.033							

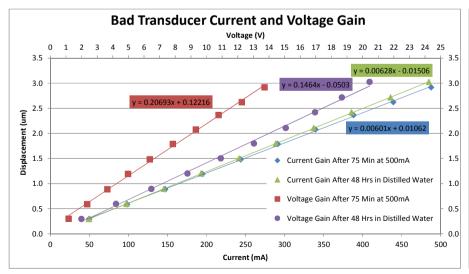
D	Density and Porosity Measurements (Bad #4)										
W_d (g)	V_b (m ³)	ρ_b (kg/m ³)	ρ_p (kg/m ³)	φ						
0.21	14	2.742E-08	7710	7943	0.029						

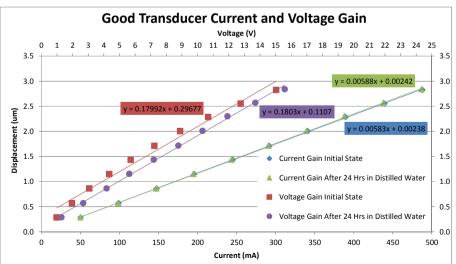
EXPERIMENTAL RESULTS CON'T

Transducer test results before and after distilled water "dunk" test

Good Transducer	LCR Meter 120 Hz LCR Meter 1 kHz				Bode Plot			Gain			
Test Description	DF	C (pF)	DF	C (pF)	Fr (Hz)	Z (Ω)	μm/mA		μm/V		Z @ 500mA (Ω)
Initial State After Build	0.001	1564	0.004	1557	121925	22	0.00583	0.0%	0.17992	0.0%	31
After 48 Hrs in Distilled Water	0.001	1573	0.004	1566	121725	28	0.00588	0.9%	0.18033	0.2%	33
After 2 Hrs at 500mA	0.001	1604	0.004	1598	121625	23	0.00582	-0.2%	0.27526	53.0%	21
After 24 Hrs at 500mA	0.001	1607	0.004	1603	121675	22	0.00580	-0.5%	0.28171	56.6%	21

Bad Transducer	LCR Meter 120 Hz LCR Meter 1 kHz				Bode	Plot	Gain				
Test Description	DF	C (pF)	DF	C (pF)	Fr (Hz)	$Z(\Omega)$	μm/mA		μm/V		Z @ 500mA (Ω)
Initial State After Build	0.023	1558	0.011	1530	121700	27	0.00617	0.0%	0.19619	0.0%	29
After 75 min at 500mA	0.010	1603	0.007	1587	121425	26	0.00601	-2.6%	0.20693	5.5%	28
After Soaked 48 Hrs in Water	0.058	1731	0.037	1628	121750	48	0.00628	1.8%	0.14640	-25.4%	45
After 24 Hrs at 500mA	0.022	1628	0.012	1596	121400	26	0.00604	-2.1%	0.23269	18.6%	26





EXPERIMENTAL RESULTS CON'T

Sensitivity analysis of transducer test results as moisture is absorbed

Sensitivity to Moisture Absorption					
Transducer Parameter	Direction				
Impedance	•				
Resonant Frequency	•				
Electro-Mechanical Coupling	•				
Dissipation Factor (DF)	•				
Capacitance	•				
Mechanical Quality Factor					
Gain μm/mA	•				
Gain μm/V	•				
Impedance Change Ω/mA	•				
Frequency Change Hz/mA	1				

CONCLUSIONS

- Taking C and DF measurements at both 120 Hz and 1kHz with LCR meter has great advantages as a diagnostic tool for high DF issues
- Equiv. circuit can distinguish *DF* between dielectric absorption and dielectric loss
- Moisture absorption causes $C_{120} > C_{1k}$ seen as dielectric absorption C_0 in circuit
- When $C_{120} \approx C_{1k}$ equivalent circuit model predicts *DF* unrelated to moisture
- * Charge leakage at DC, as seen during preload with charge amp, is caused by percolated conductions path due to moisture
- High *DF* can be caused by poor porosity due to increased moisture absorption
- Power law response showed frequency dependencies (e.g., with *C*) caused by both capacitive (PZT) and conductive (water) regions in the piezos
- * At some threshold the porosity becomes interconnected and open to surface allowing the piezo ceramic to become permeable to moisture
- The type of porosity (i.e., closed vs. open/interconnected) is also very important SEM cross-sections showed big porosity differences between good & bad piezos
- FEA modeling showed electrode wicking alone can cause high C but not high DF
- High current drive of transducer can cause moisture expulsion and affect *DF*
- Moisture absorption/expulsion (porosity) affects both current and voltage gains
- Moisture expulsion is only temporary until piezos equilibrate again
- Heating piezo ceramics can also expel moisture temporarily and affect DF
- \bullet Heating does not always improve DF to normal range (piezo composition issue?)

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QUESTIONS