



# Ultrasonic Signals and Whales with Adaptive Focus



Laura N. Kloepper



BROWN



UMass

| Dartmouth





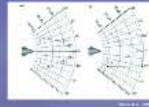
Laura N. Klepper



### Main research questions

- 1: Does the size or shape of the echolocation beam change depending on the distance or characteristics of the target?
- 2: What are the frequency dependent dynamics and where is the animal directing its beam during echolocation?

Bottlenose dolphins can steer their beams even when head is fixed



What are the dynamics of the beam during active echolocation?

### Biology of Odontocetes

*Stereodon + Trachelurus*



72 beam clicks

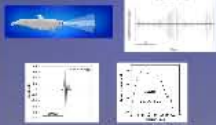
Sex and generation in beamclick

Click rate 100ms rather than 100ms/beam

### Echolocation for foraging



### Biological Transducers



Pressure drops, massive broadband clicks

### Beamwidths and angular resolution

Measured half power transmit and receive beamwidths for odontocetes are approximately 10 degrees.

but

Odontocetes have angular resolution of less than 2 degrees.

How do they do it?

### Broadband Biosonar

Whales and dolphins are using broadband spectral information to image in ways we currently cannot.

The ratio of bandwidth to center frequency is typically greater than 3 for most odontocetes



Odontocete echolocation signals historically modeled as circular piston



Intensity of circular piston

Width

Pressure dependent component of acoustic intensity of piston

Acoustic wavelength of piston

Width of the beam is always a fraction of frequency

### Melon Movement



### The melon as an acoustic lens

Melon composed of specialized lipofuscin and non-elastic lipids

Shape and density of fat vary depending on location in melon



Muscles around melon that may act to control its shape

### Pneumatic sound generation



### Sound producing structures



Highly complex and include air sacs and melon

### Unique skull geometry



### Summary of Experimental Results

The best dolphin echolocation beam shape depends on target distance and direction, which might be a result of non-circular piston geometry on the beam.

### Summary of Biosonar

The best dolphin echolocation beam shape depends on target distance and direction, which might be a result of non-circular piston geometry on the beam.

# Biology of Odontocetes

Odontocete = Toothed Whale



72 known species



Sound generator in forehead

Uses fat filled melon as acoustic lens

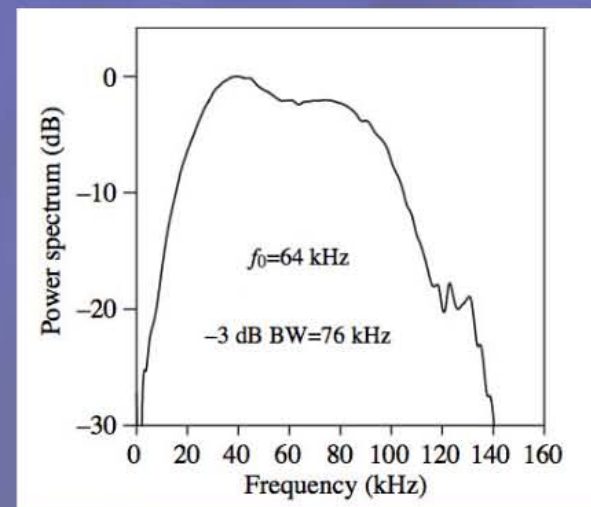
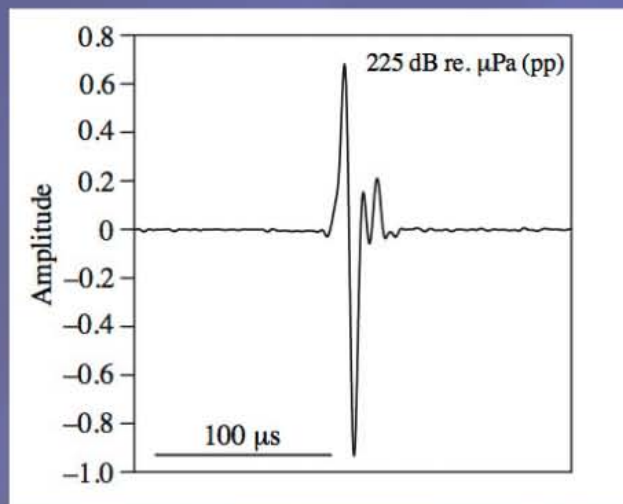
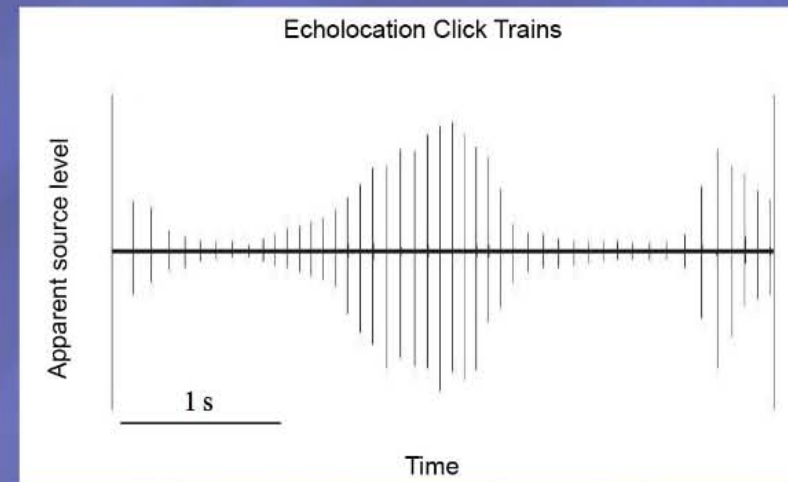
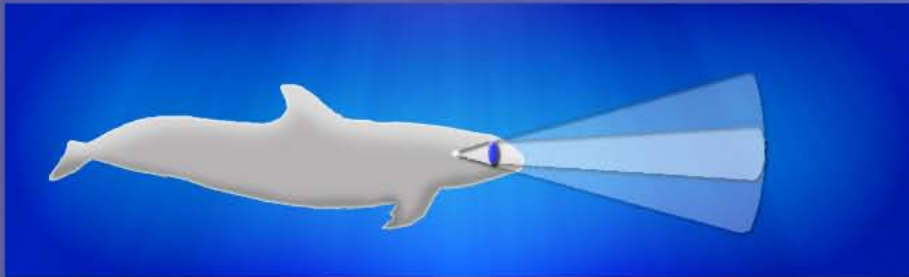
# Echolocation for foraging



# Echolocation for foraging



# Biological Transducers



From Madsen et al., 2005

Produce short, intense, broadband clicks



# Beamwidths and angular resolution

Measured half power transmit and receive beamwidths for odontocetes are approximately 10 degrees.

*but*

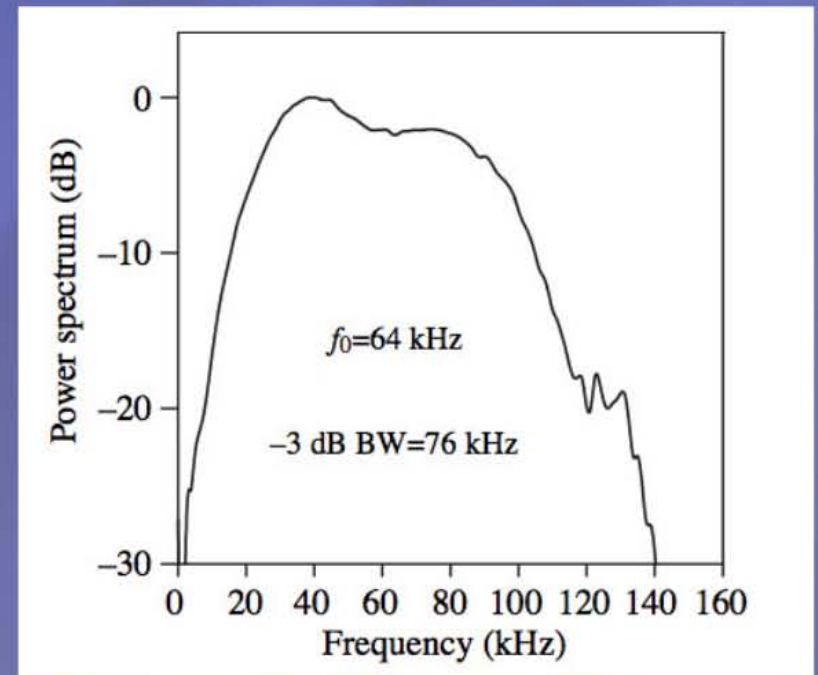
Odontocetes have angular resolution of less than 2 degrees.

*How do they do it?*

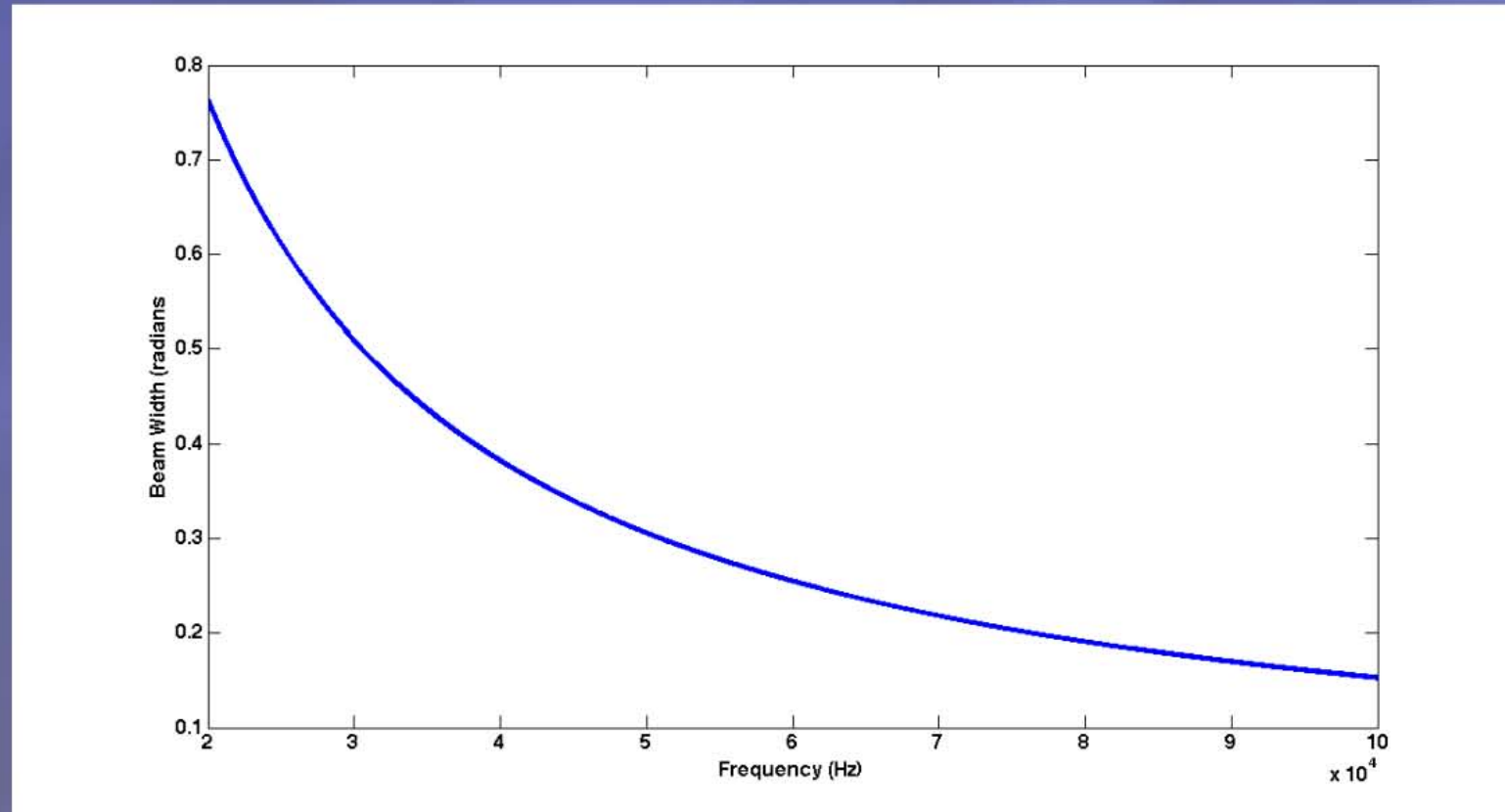
# Broadband Biosonar

Whales and dolphins are using broadband spectral information to image in ways we currently cannot.

The ratio of bandwidth to center frequency is typically greater than 1 for most odontocetes



# Odontocete echolocation signals historically modeled as circular piston



$$\Theta = 3.2 / (\pi d / \lambda)$$

Assuming a circular piston

Where

Theta= Beam Width (radians)

d= size of aperture (10 cm)

lambda= wavelength of signal

Width of the beam is always  
a function of frequency

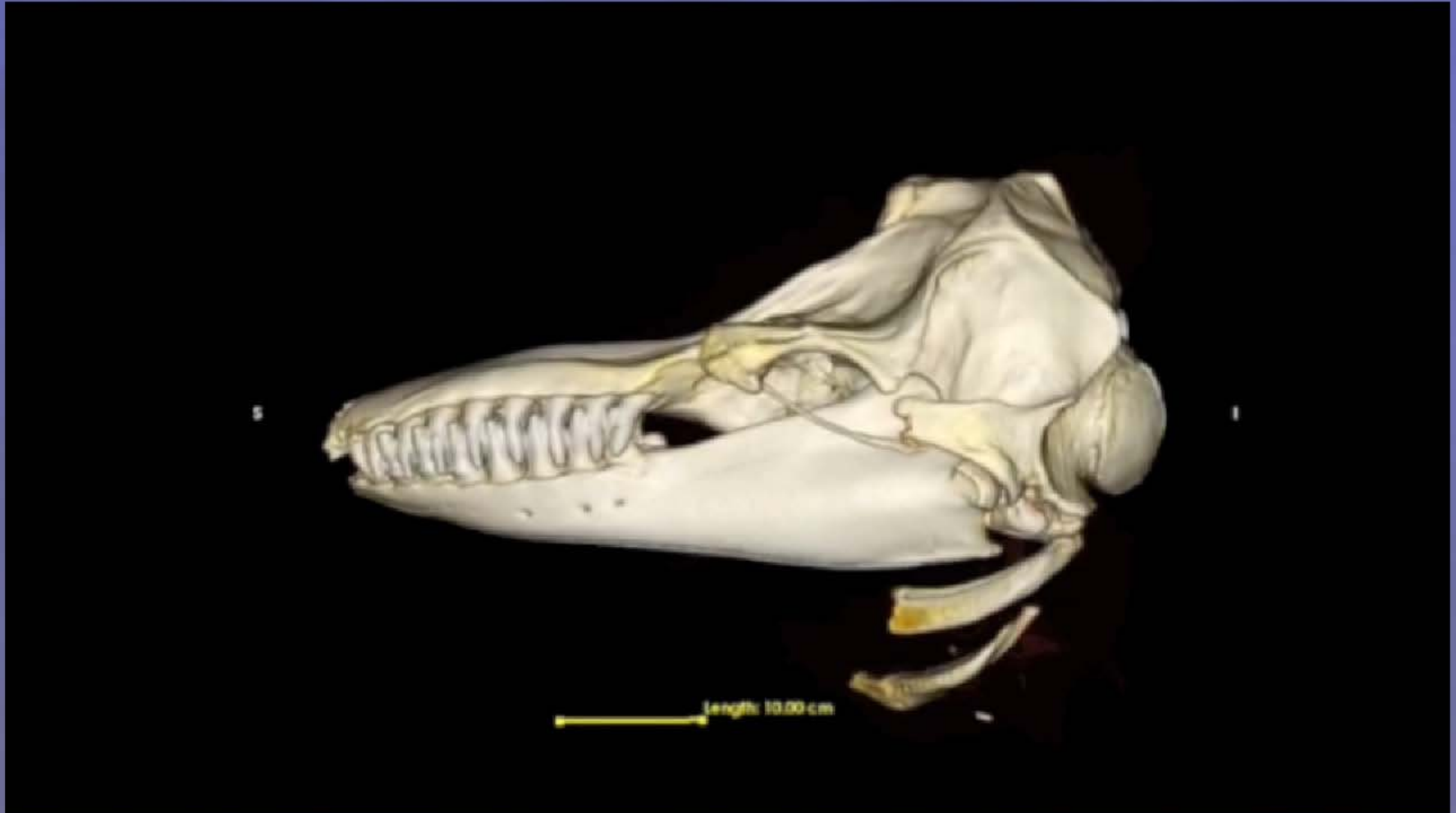
From Au, 1978

# Unique skull geometry



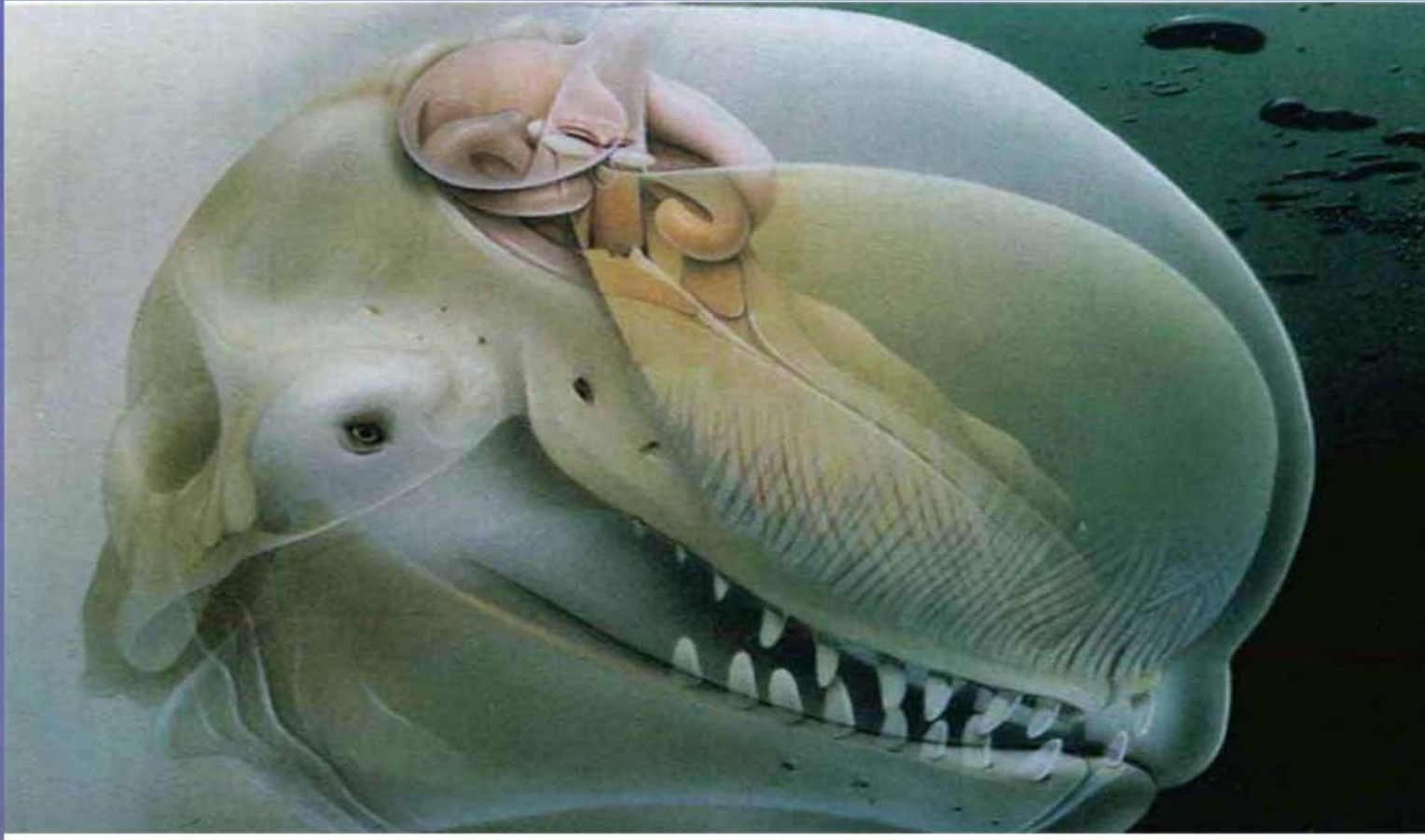
Courtesy Darlene Ketten, WHOI

# Unique skull geometry



Courtesy Darlene Ketten, WHOI

# Sound producing structures

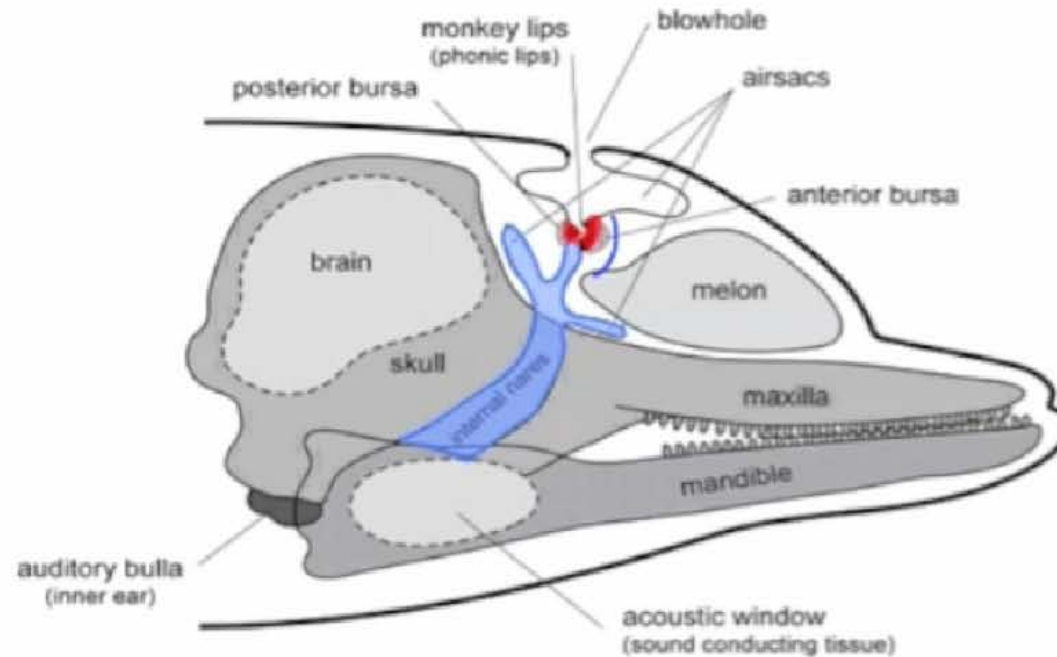


Cranford et al., 1996

**Highly complex and include air sacs and melon**

# Pneumatic sound generation

Schematic illustration of a dolphin's head anatomy



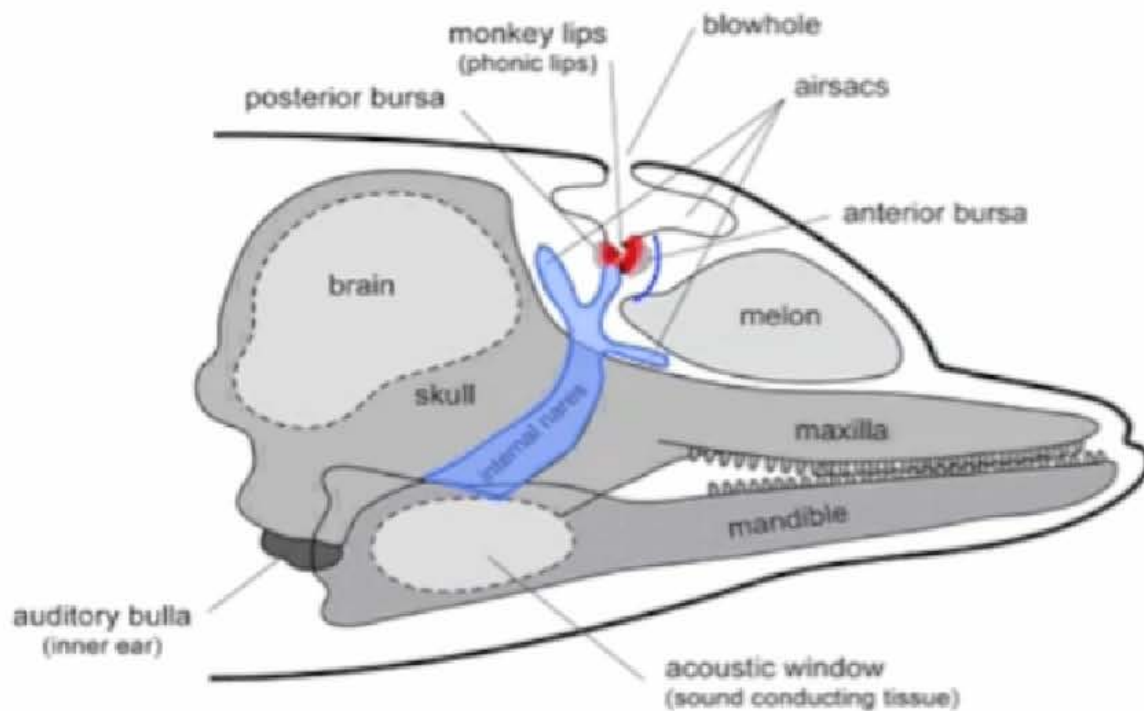
**Sound generator: The Monkey Lips/Dorsal Bursae Complex (MLDB)**

*Modified and adapted from Cranford et al. 1996*

Fisheries and Oceans Canada

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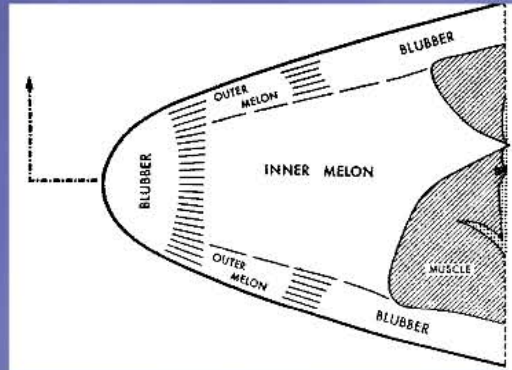


# The melon as an acoustic lens

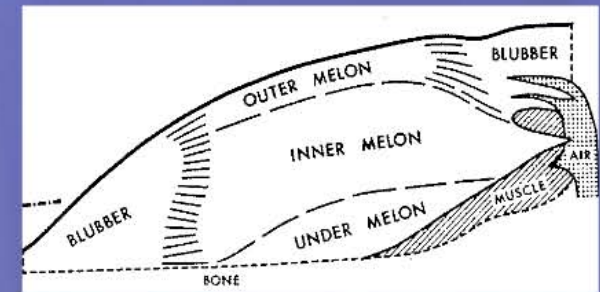
Melon composed of specialized triglycerides and wax esters

Shape and density of fats vary depending on location in melon

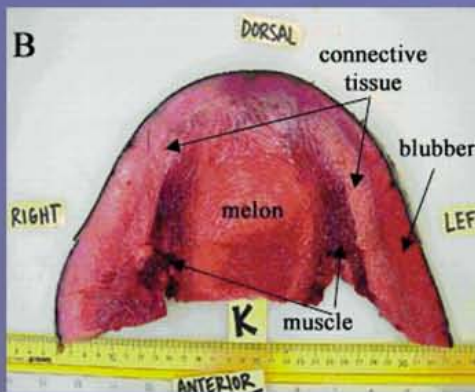
Transverse plane



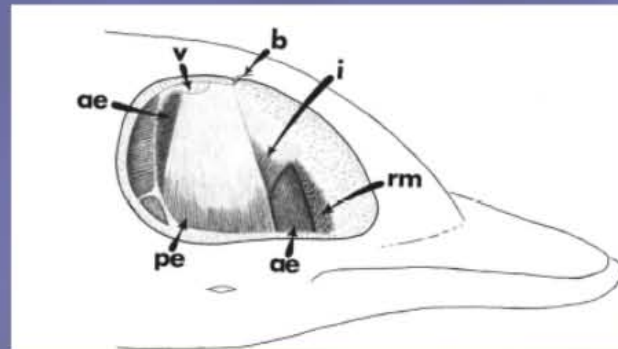
Sagittal plane



Litchfield et al., 1973



Soldevilla et al., 2005



Mead, 1975

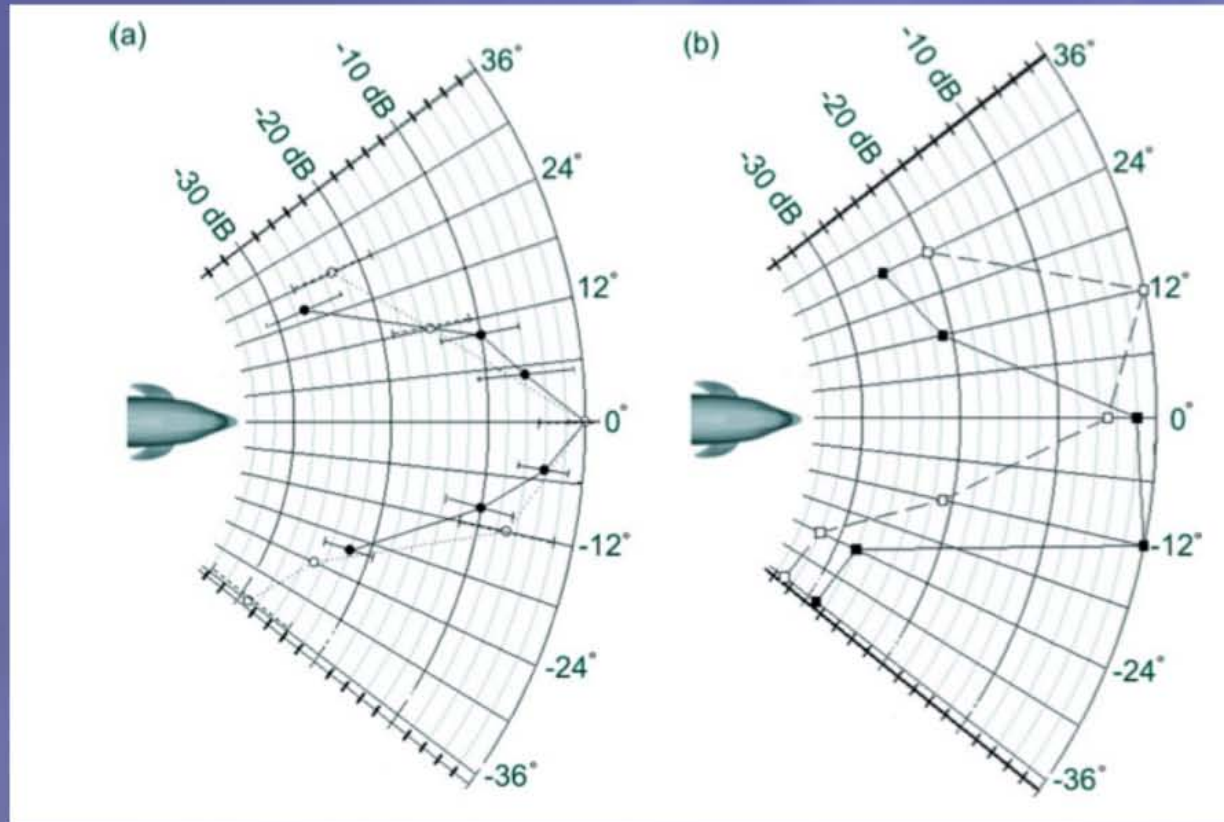
Muscles surround melon that may act to control its shape

# Melon Movement





# Bottlenose dolphins can steer their beams even when head is fixed



Moore et al., 2008

What are the dynamics of the beam during active echolocation?

# Main research questions

**1: Does the size or shape of the echolocation beam change depending on the distance or characteristics of the target?**

**2: What are the frequency dependent dynamics and where is the animal directing its beam during echolocation?**



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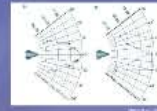


### Main research questions

1: Does the size or shape of the echolocation beam change depending on the distance or characteristics of the target?

2: What are the frequency dependent dynamics and where is the animal directing its beam during echolocation?

Bottlenose dolphins can steer their beams even when head is fixed



What are the dynamics of the beam during active echolocation?

### Biology of Odontocetes

(Hawkins & Tomback 2003)



22 species

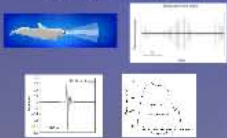
found worldwide

most live in pods or social groups

### Echolocation for foraging



### Biological Transducers



Produce short, intense, broadband clicks

### Beamwidths and angular resolution

Required half power transmit and receive beamwidths for odontocetes are approximately 10 degrees.

but

Odontocetes have angular resolution of less than 2 degrees.

How do they do it?

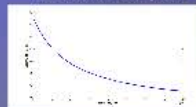
### Broadband Biosonar

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The ratio of bandwidth to center frequency is typically greater than 1 for most odontocetes



Odontocete echolocation signals historically modeled as circular piston



Wavelength

Radius of piston

Area of piston

Volume of piston

Mass of piston

Stiffness of piston

Width of the beam is always a function of frequency

### Melon Movement



### The melon as an acoustic lens

Melon composed of specialized triglycerides and wax esters

Shape and density of fat vary depending on location in melon



Waxier external melon that may act to control its shape

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Highly complex and include air sacs and melon

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# Methods

Coconut Island, Oahu, Hawaii



Marine Mammal  
Research Program



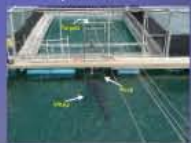
Experimental Subjects



False killer whale

Bottlenose dolphin

Experimental Pen



Experimental Setup



Hydrophone Array



16 hydrophone  
system

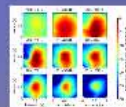
Records the data  
from each click  
independently

Part 1: Focusing  
Setup of Experiment



Two types of target discrimination tasks: carry and hold  
Three target presentation distances: 2.5m, 4m, 7m

Part 2: Frequency  
Dependent Beam Variation



Part 2: Frequency-dependent  
variation in beam pattern



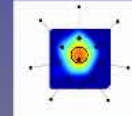
False killer whales suggest cone intermediate shape certain  
Proportions of their beam differently than others

Part 2: Frequency Dynamics and Beam Aim  
Setup of Experiment



Target Discrimination Task  
Three target presentation distances: 2.5m, 4m, 7m

Reconstructing the Beam



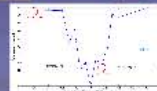
Beam can be reconstructed by calculating a correlation of beam and beamwidth

Part 1: Focusing  
Beam Area



Beam from False killer whale: 18° echolocation beam  
containing a subpercentage of beamwidth

Part 1: Echolocation Targets



# Coconut Island, Oahu, Hawaii





# Marine Mammal Research Program



# Experimental Subjects

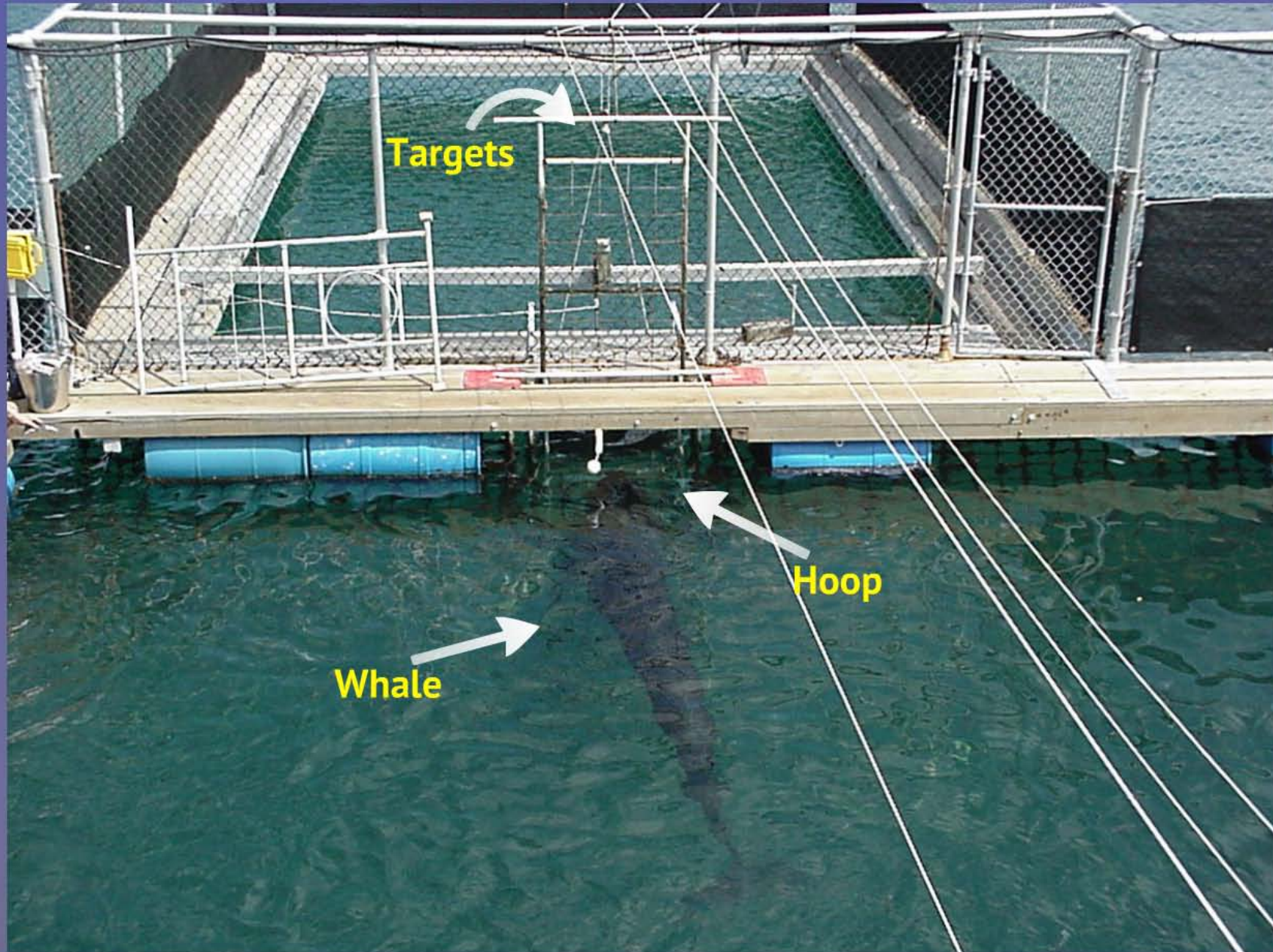


**False killer whale**



**Bottlenose dolphin**

# Experimental Pen



# Experimental Setup





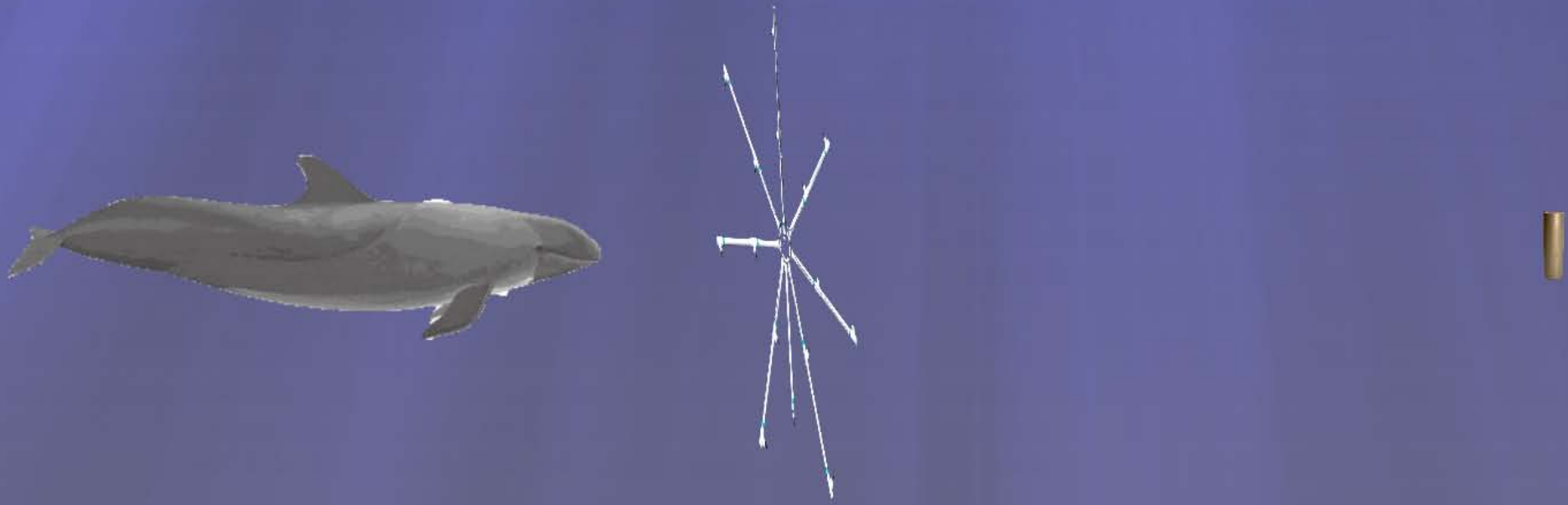
# Hydrophone Array



**16 hydrophone  
system**

**Records the data  
from each click  
independently**

# Part 1: Focusing Setup of Experiment



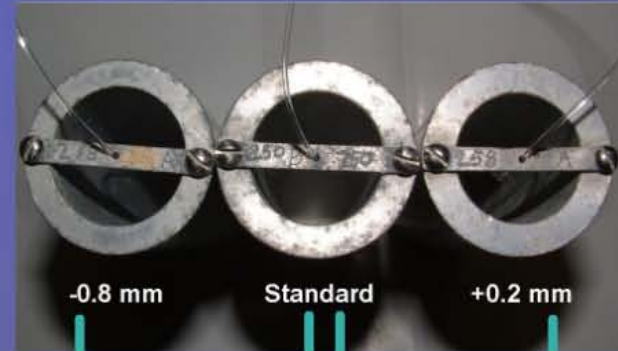
**Two types of target discrimination tasks: easy and hard**  
**Three target presentation distances: 2.5m, 4m, 7m**

# Part 1: Echolocation Targets

12.7 cm



37.85 mm outer diameter  
25.15 mm inner diameter  
standard wall thickness  
6.35 mm



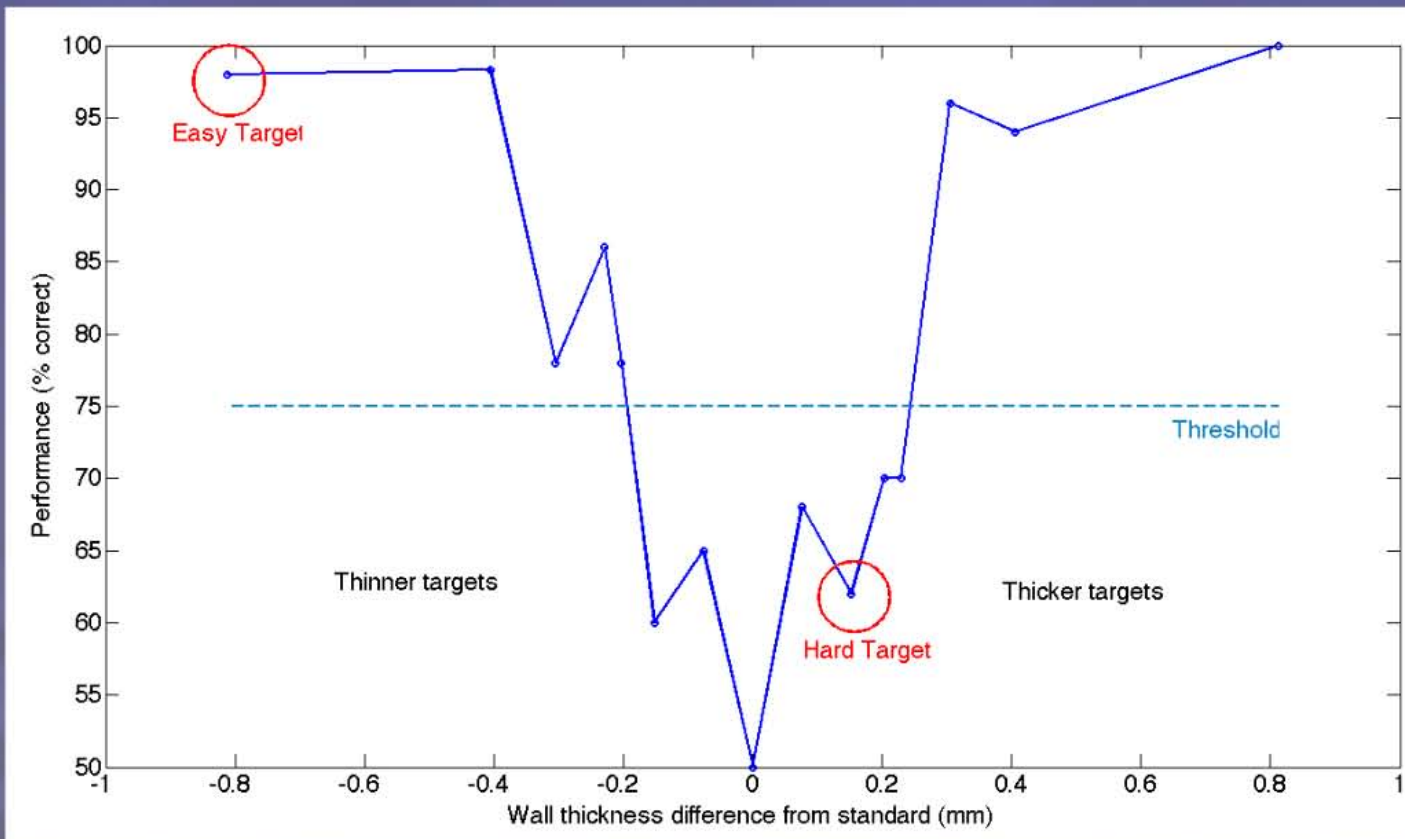
-0.8 mm

Standard

+0.2 mm

Easy

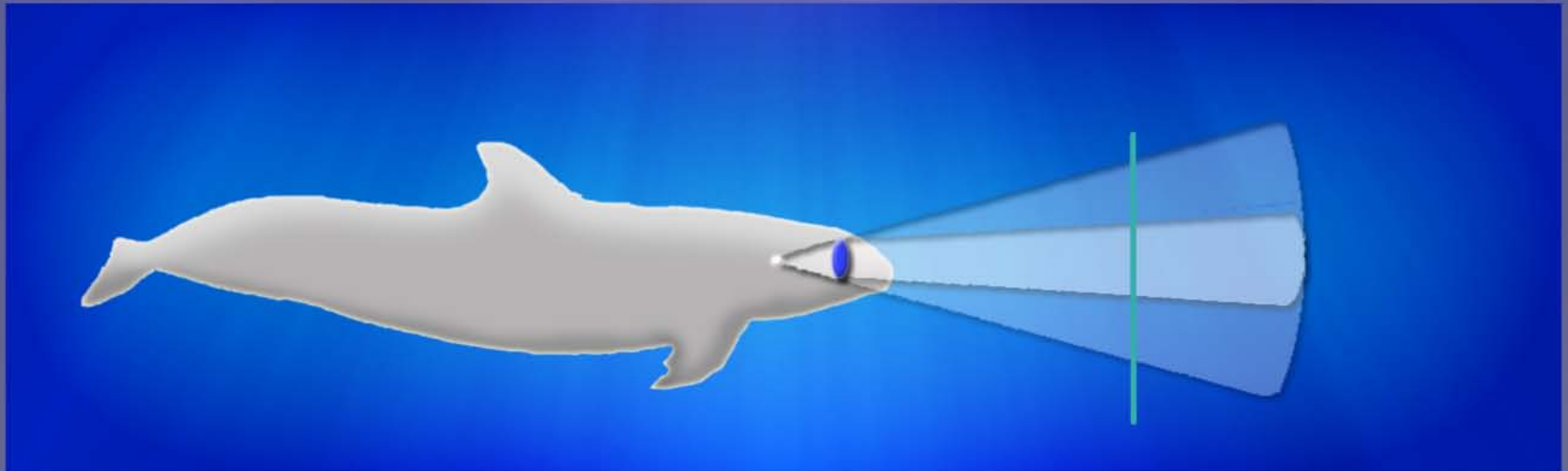
Hard



from Kloepper et al., 2010a

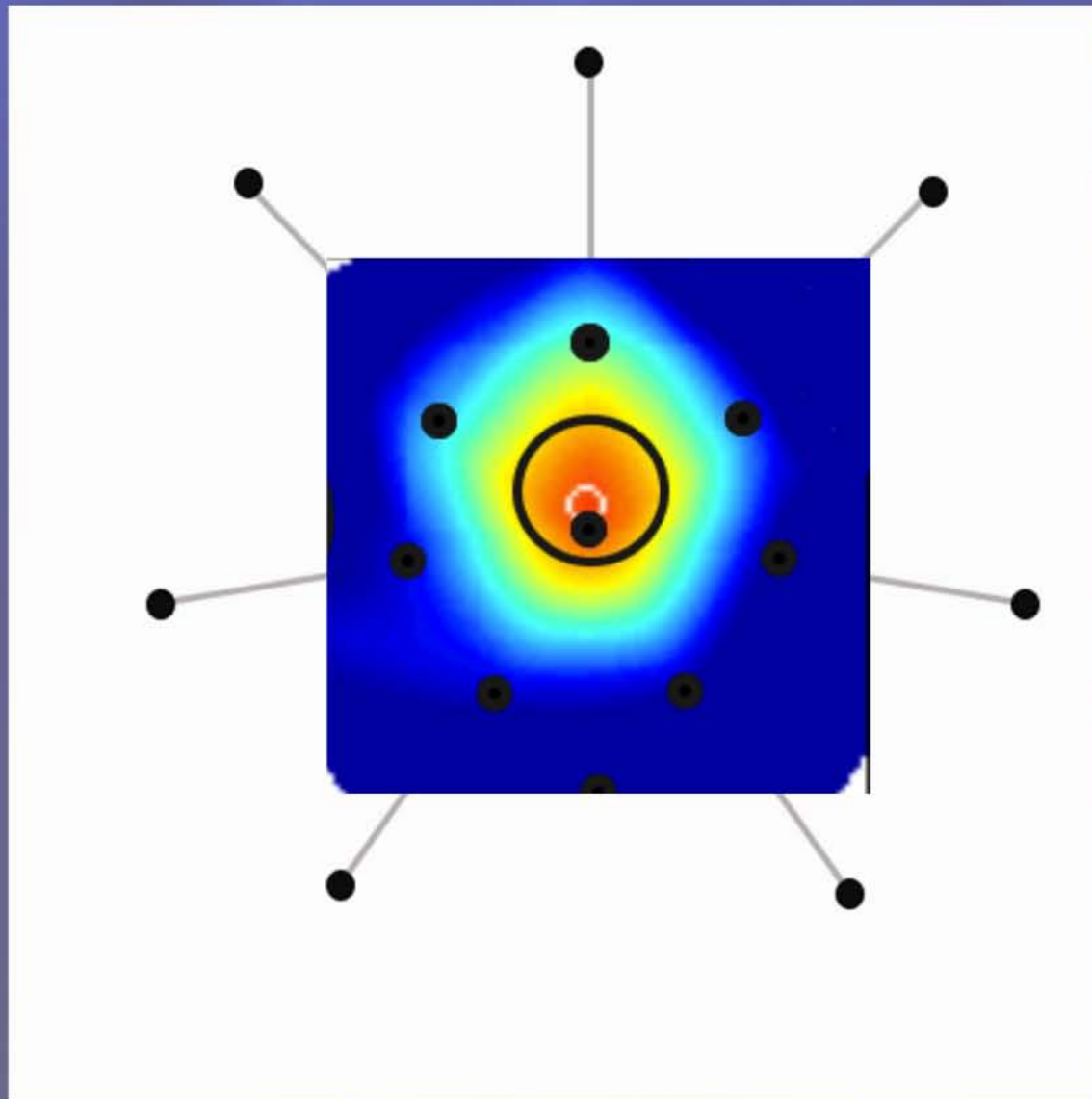


# Part 1: Focusing Beam Area



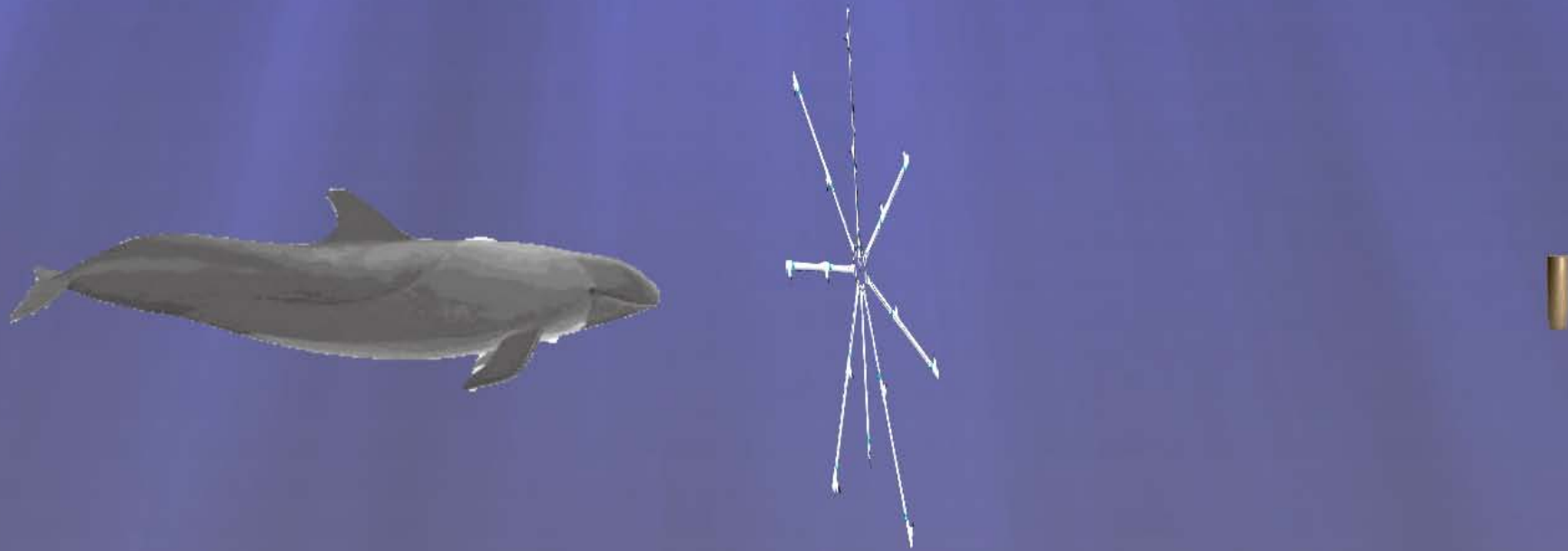
**Beam Area= Cross section of the echolocation beam  
containing a set percentage of total intensity**

# Reconstructing the Beam



Beam areas determined by calculating a certain  
% of total click intensity

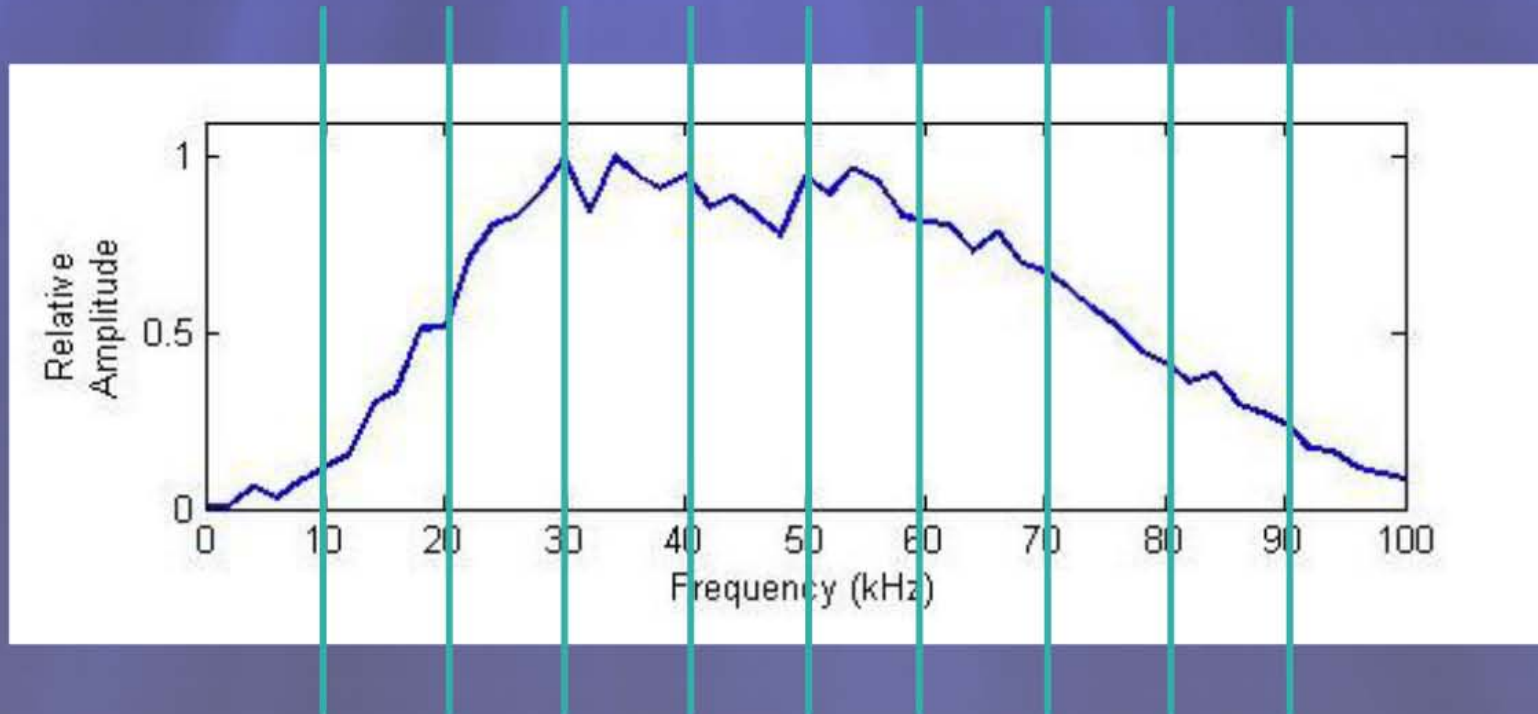
# Part 2: Frequency Dynamics and Beam Aim Setup of Experiment



## Target Detection Task

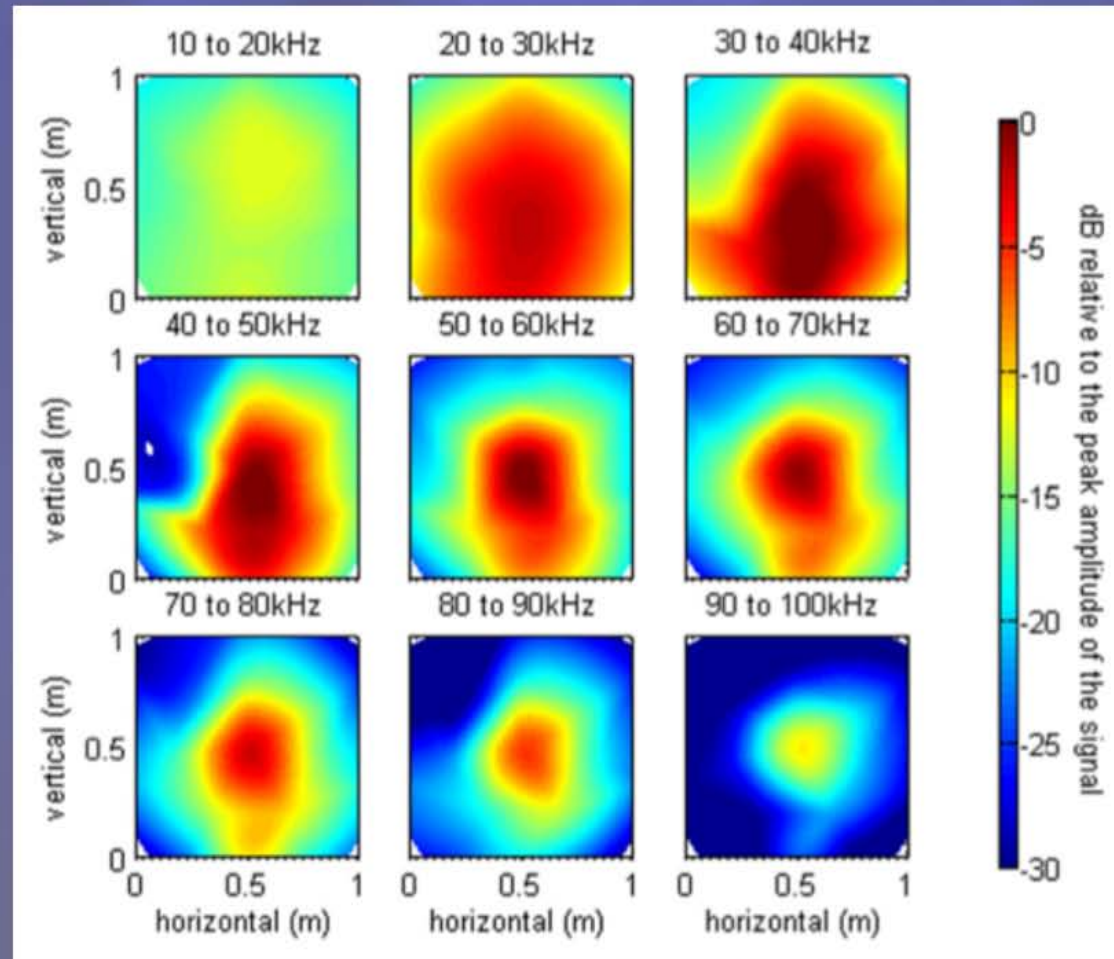
Three target presentation distances: 2.5m, 4m, 7m

## Part 2: Frequency-dependent variation in beam pattern



Previous studies suggest some odontocetes shape certain frequencies of their beam differently than others

# Part 2: Frequency Dependent Beam Variation



From Kloepper et al., 2012b

# Methods

Coconut Island, Oahu, Hawaii



Marine Mammal Research Program



Experimental Subjects



False killer whale

Bottlenose dolphin

Experimental Pen



Experimental Setup



Hydrophone Array



16 hydrophone system

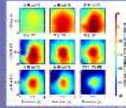
Records the data from each click independently

Part 1: Focusing Setup of Experiment

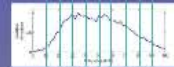


Two types of target discrimination tasks: easy and hard. Three target presentation distances: 2.5m, 4m, 7m

Part 2: Frequency Dependent Beam Variation



Part 2: Frequency-dependent variation in beam pattern



Acoustic signals suggest more discriminative shape content. Frequency of first beam differently than others.

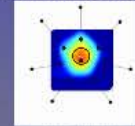
Part 2: Frequency Dynamics and Beam Aim Setup of Experiment



Target Detection Task

Three target presentation distances: 2.5m, 4m, 7m

Reconstructing the Beam



Reconstructs beamwidth by calculating correlation between click intensity

Part 1: Focusing Beam Area

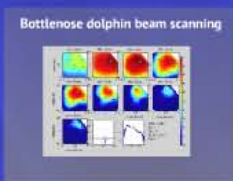
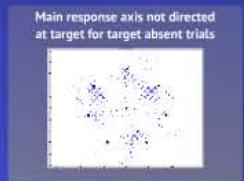
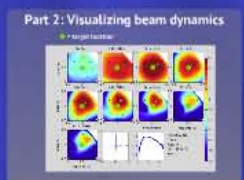
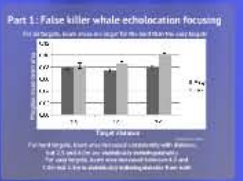
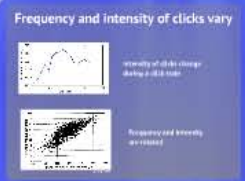
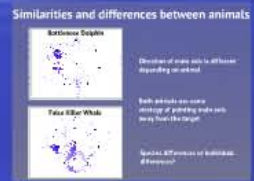
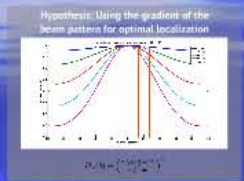
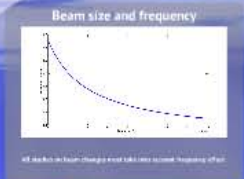


More Acoustic Clicks within of the sub-resolution beam including a set percentage of total intensity

Part 1: Echolocation Targets



# Results

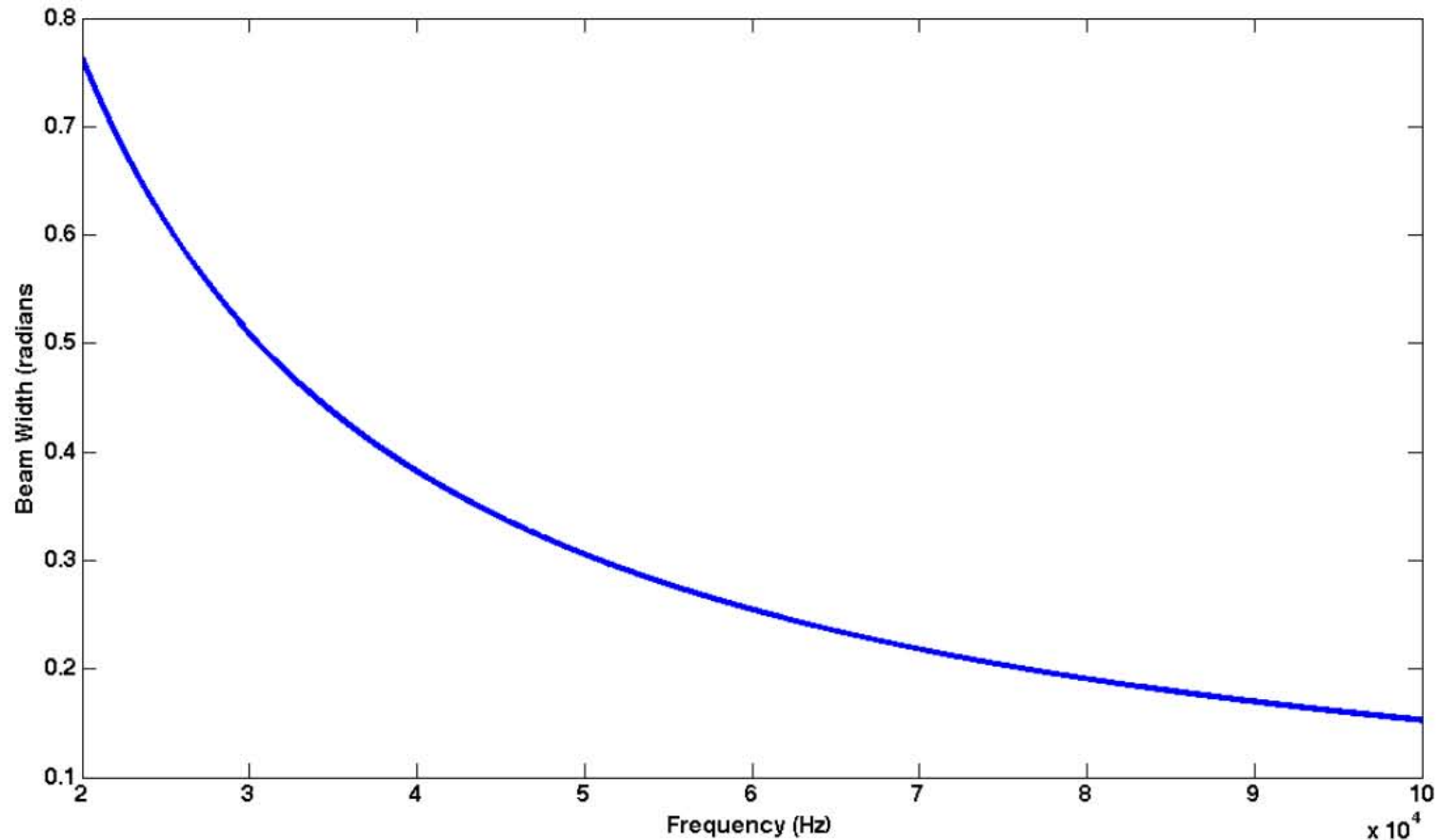


Summary of Bottlenose Dolphin Echolocation

Summary of Bottlenose Dolphin Echolocation



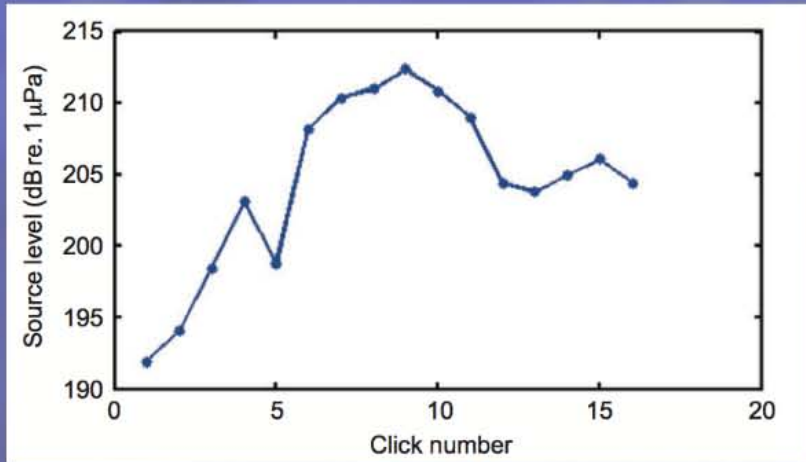
# Beam size and frequency



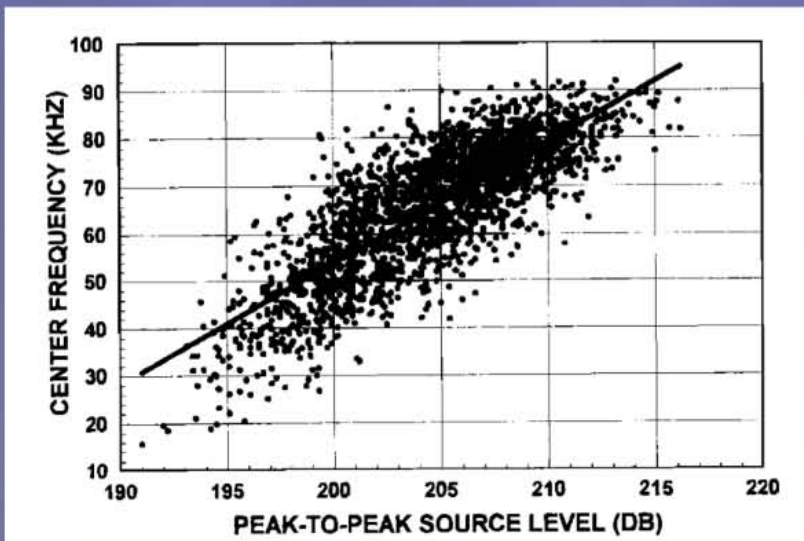
All studies on beam changes must take into account frequency effect



# Frequency and intensity of clicks vary

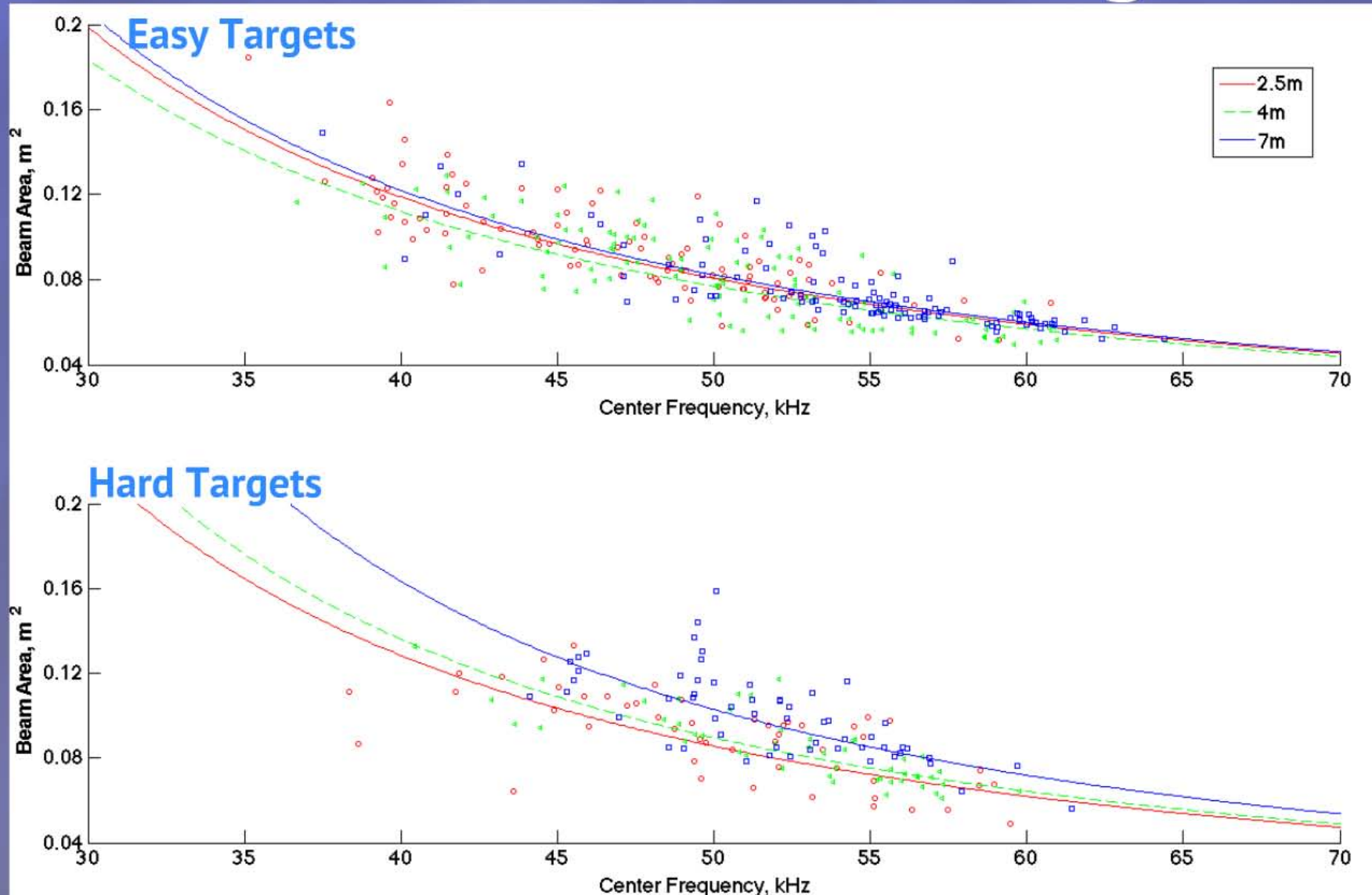


Intensity of clicks change during a click train



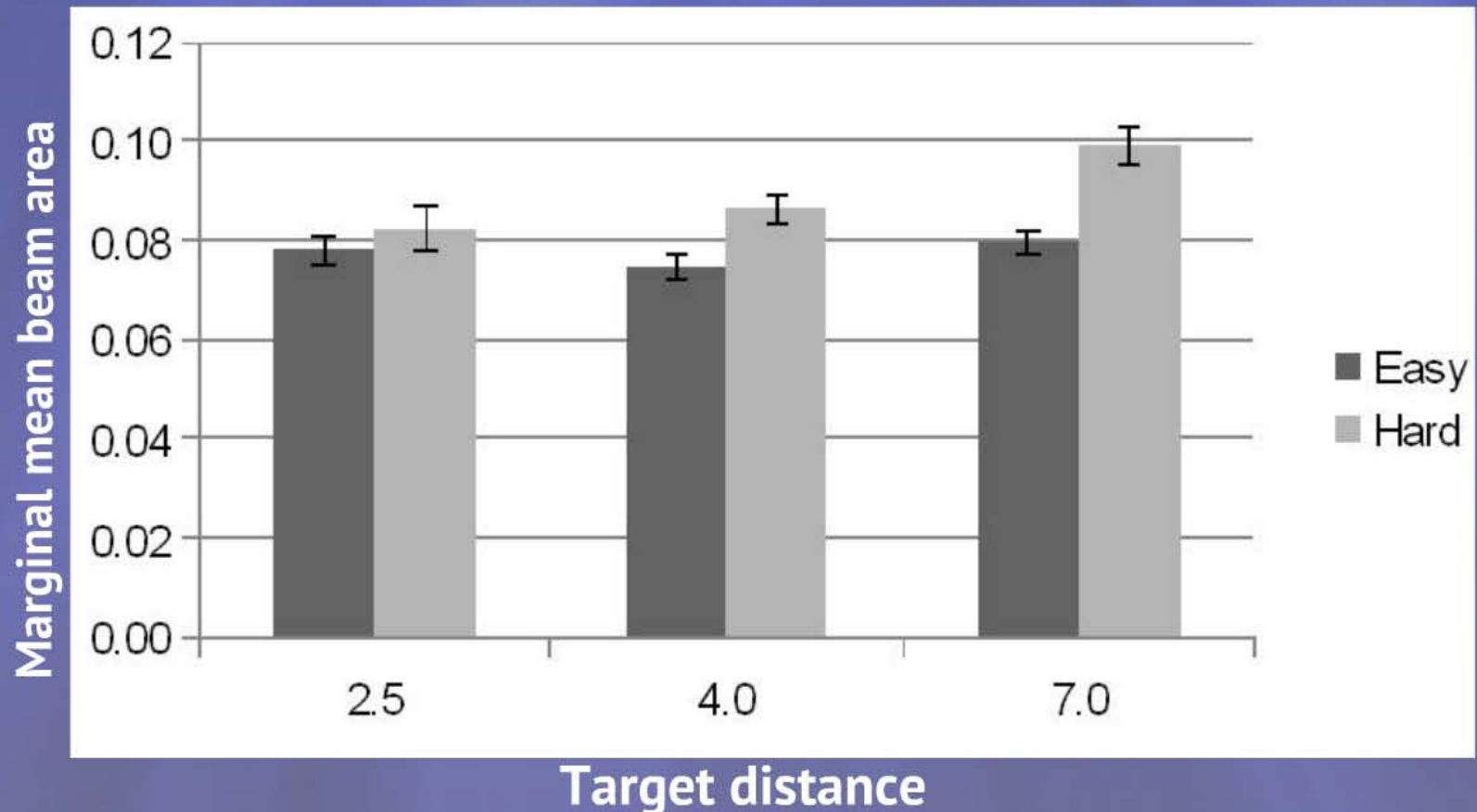
Frequency and intensity are related

# Part 1: False killer whale echolocation focusing



# Part 1: False killer whale echolocation focusing

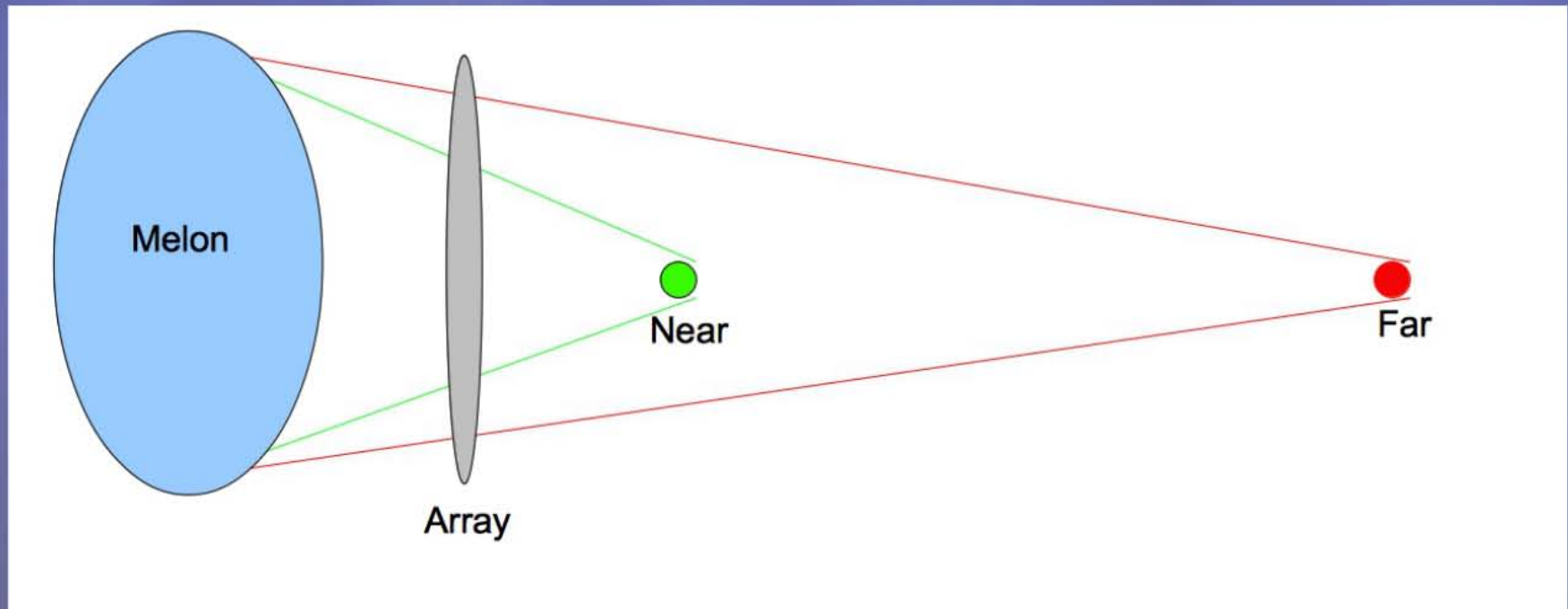
For all targets, beam areas are larger for the hard than the easy targets



Kloepper et al., 2012

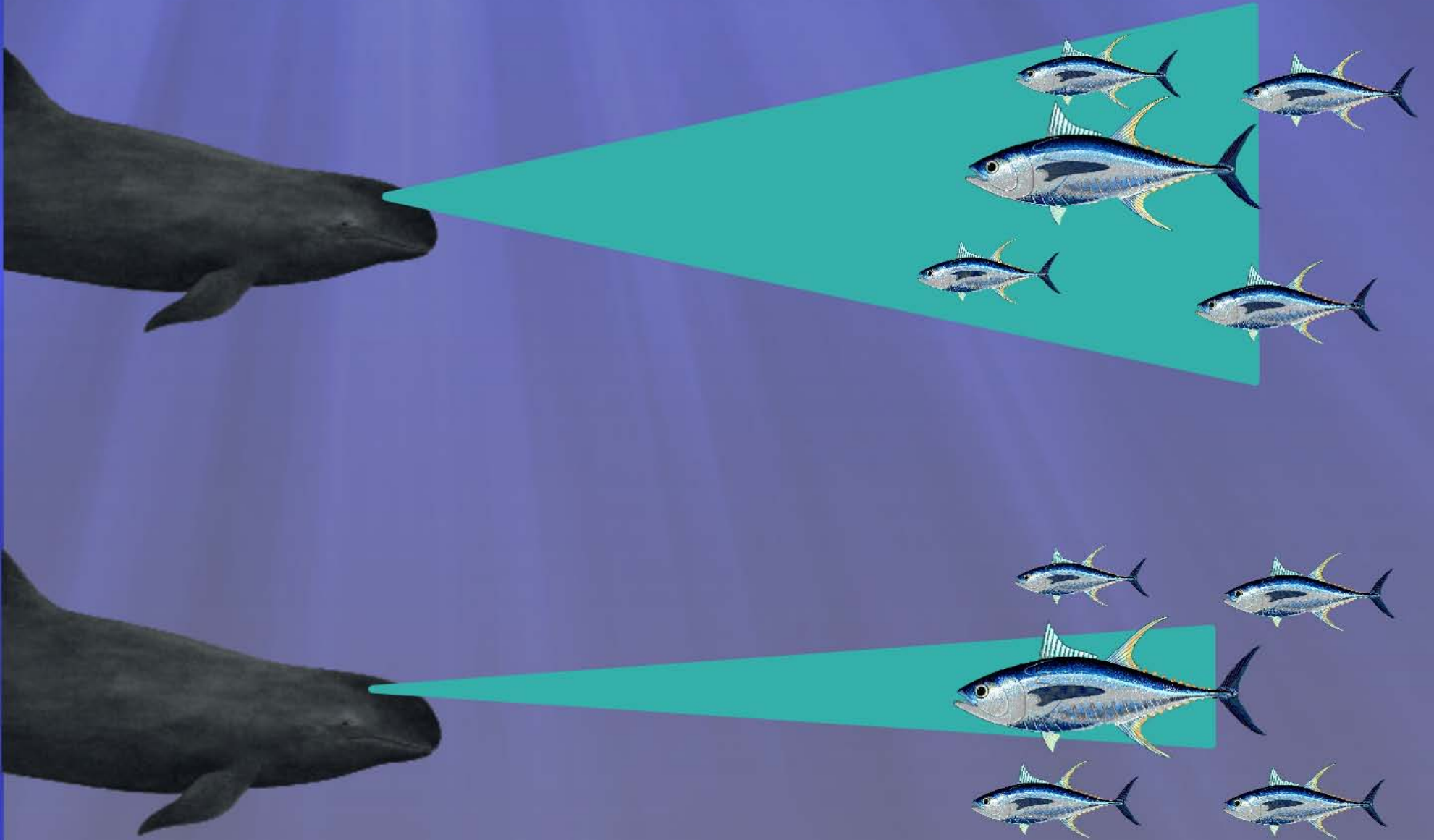
For hard targets, beam area increased consistently with distance, but 2.5 and 4.0m are statistically indistinguishable  
For easy targets, beam area increased between 4.0 and 7.0m but 2.5m is statistically indistinguishable from both

# Part 1: Hypothesized focusing strategy



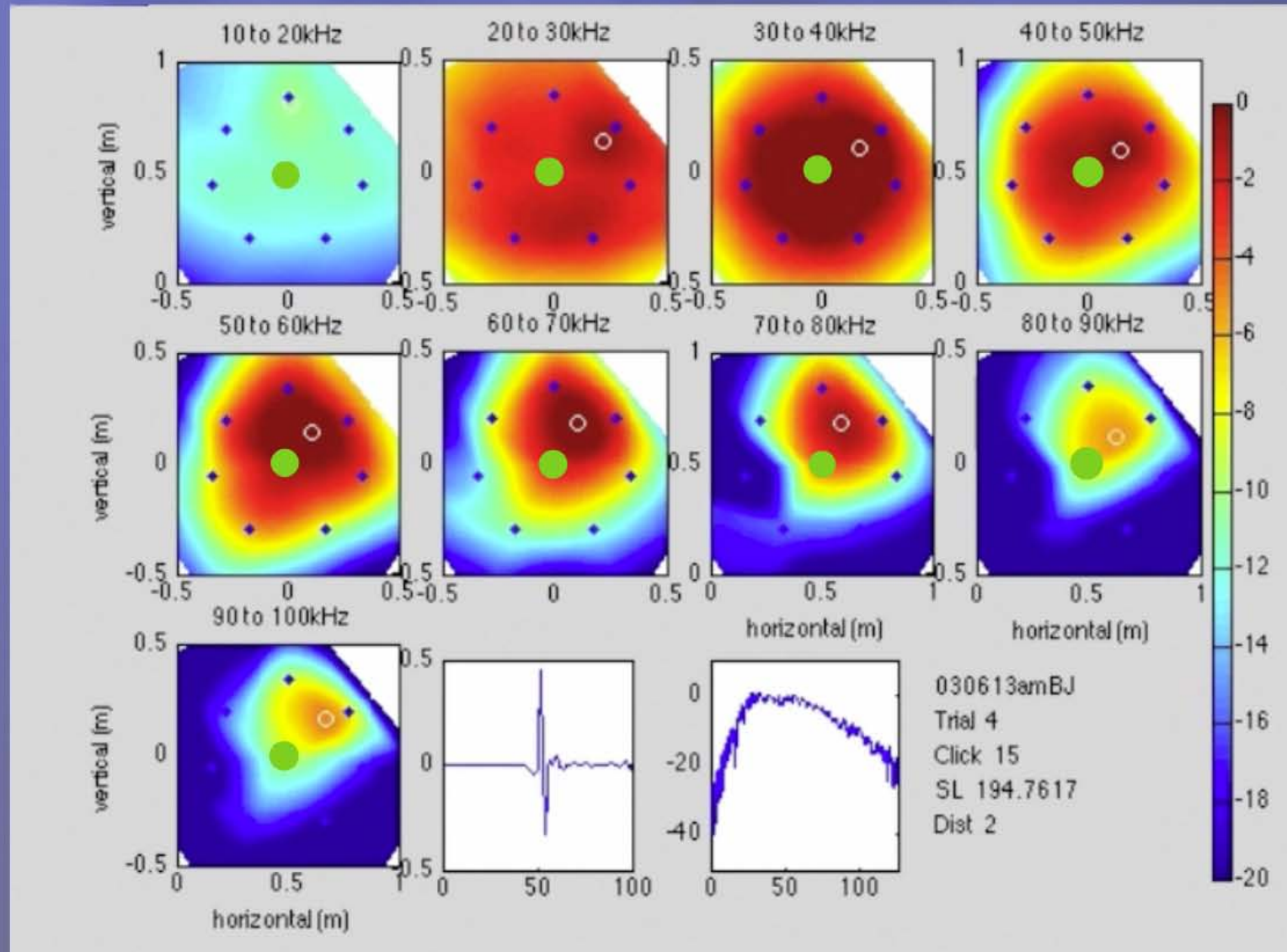
The whale might be adjusting her focal region according to the distance of the target

# Focused beams may help reduce clutter echoes

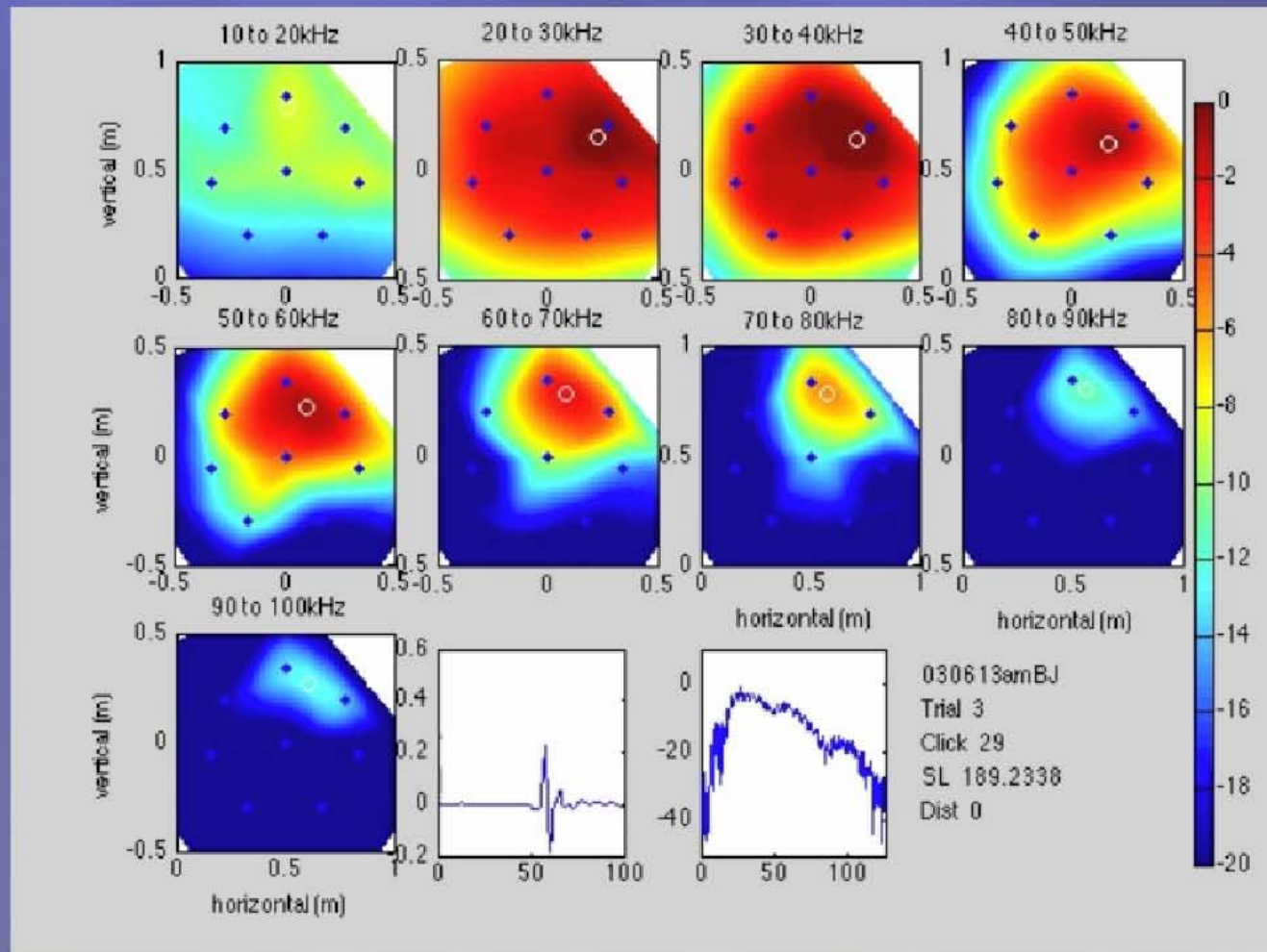


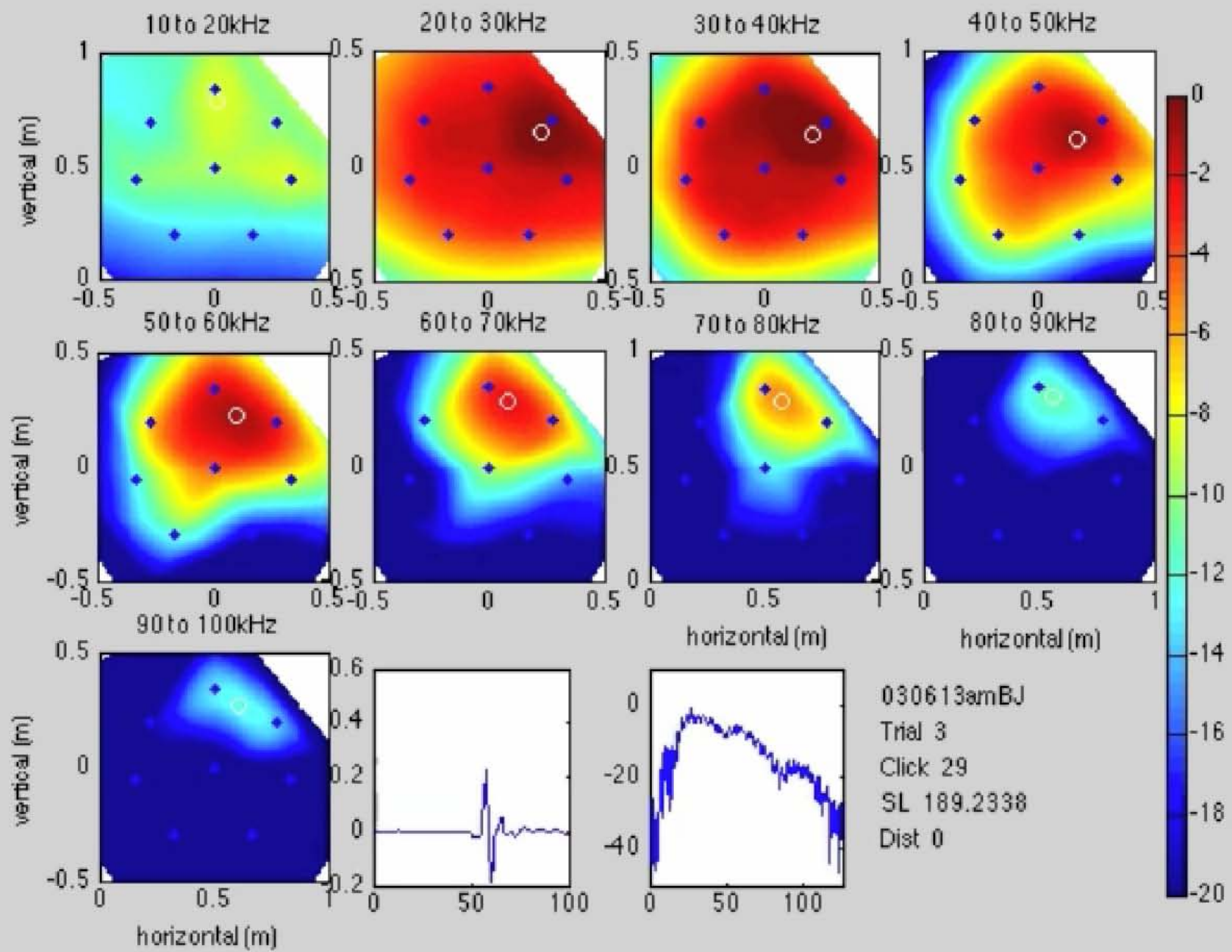
# Part 2: Visualizing beam dynamics

● = target location



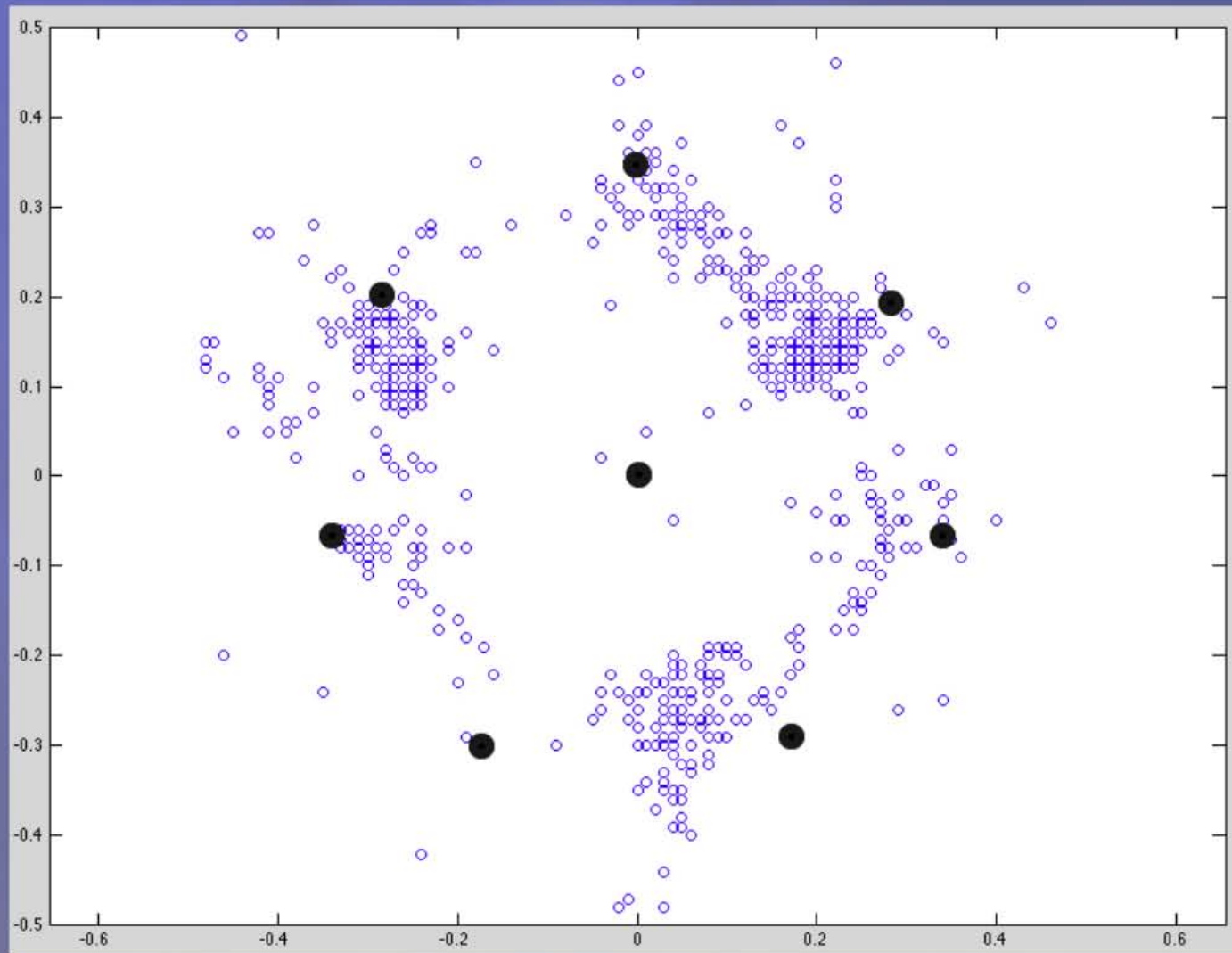
# Bottlenose dolphin beam scanning



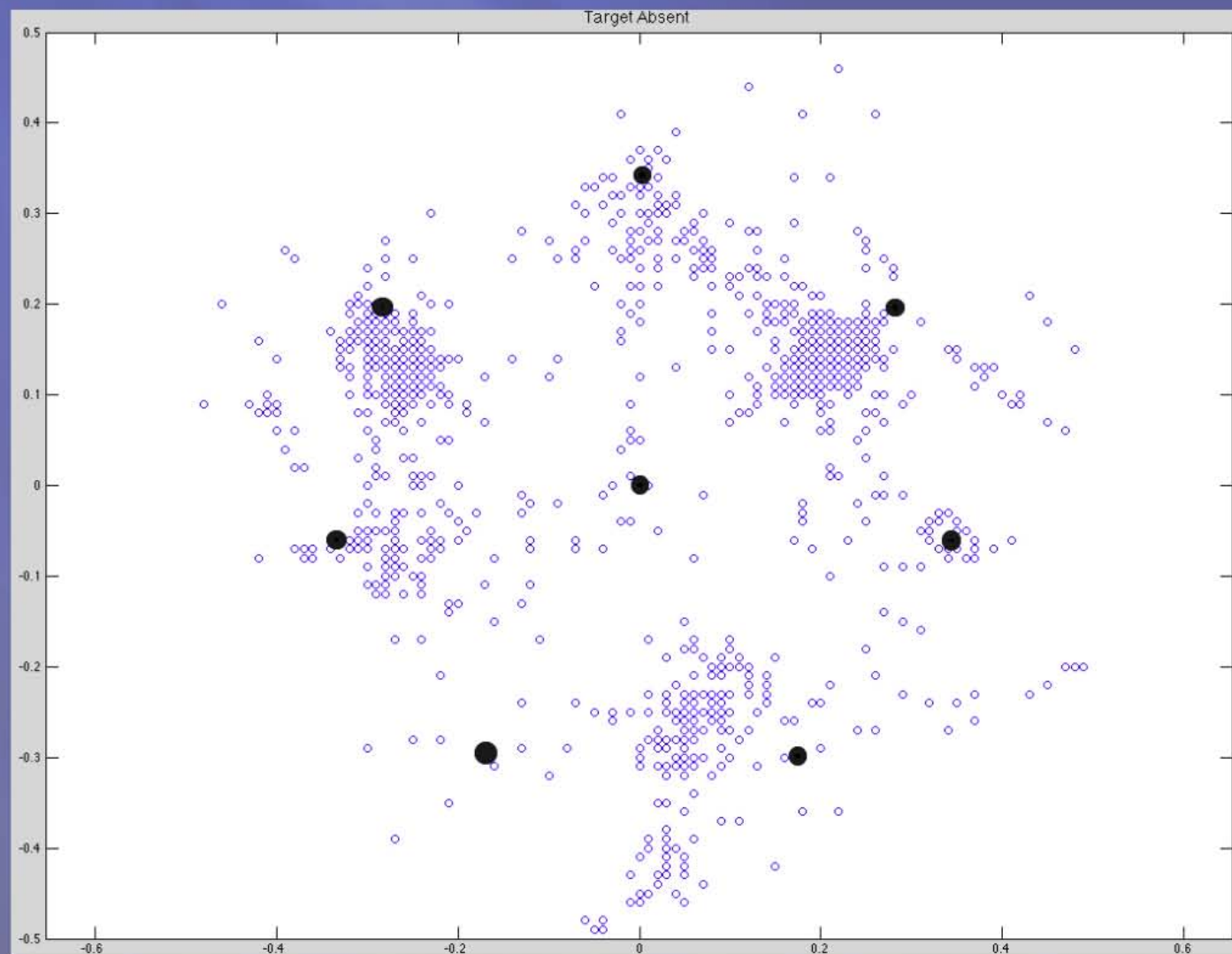




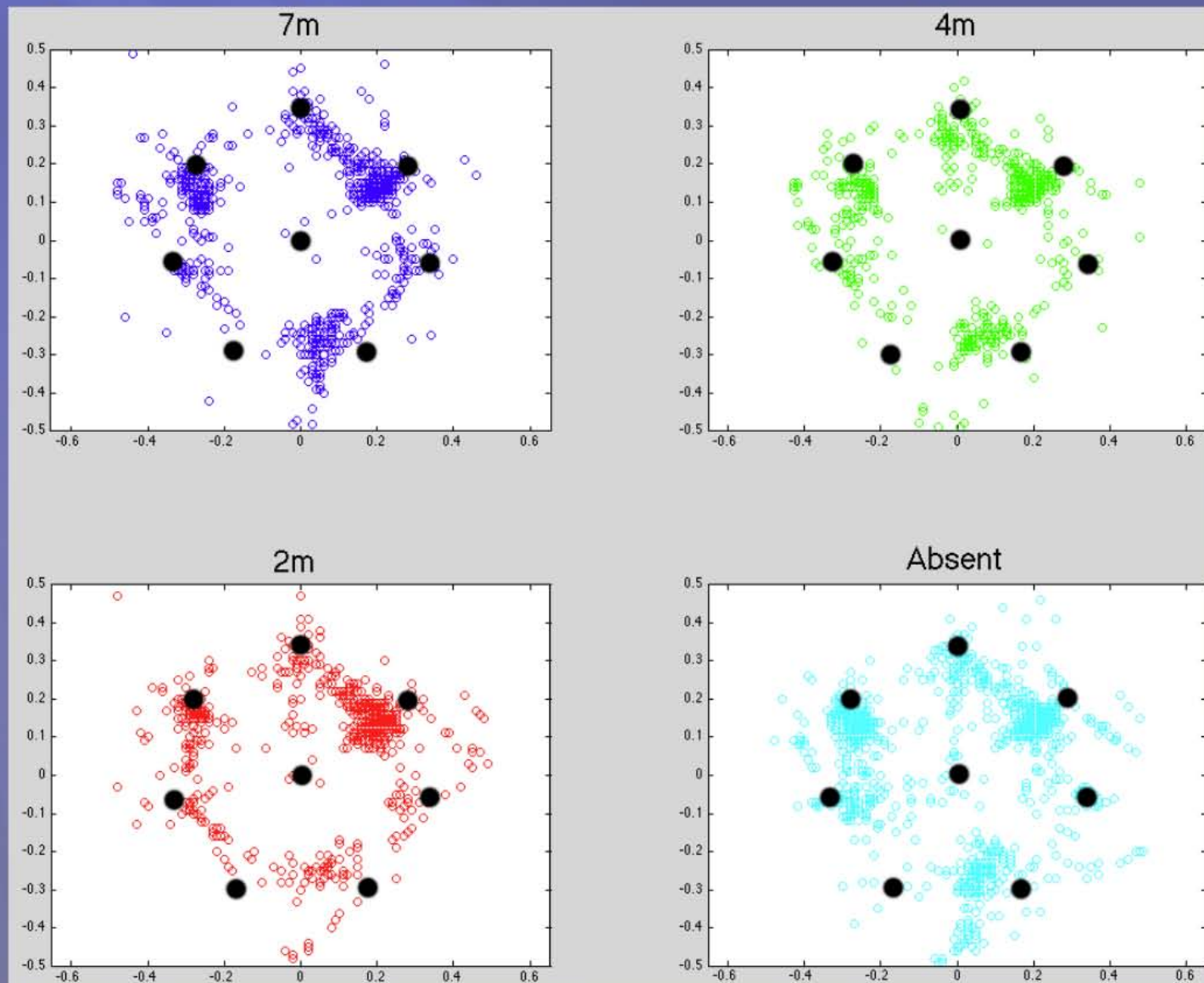
# Main response axis not directed at target



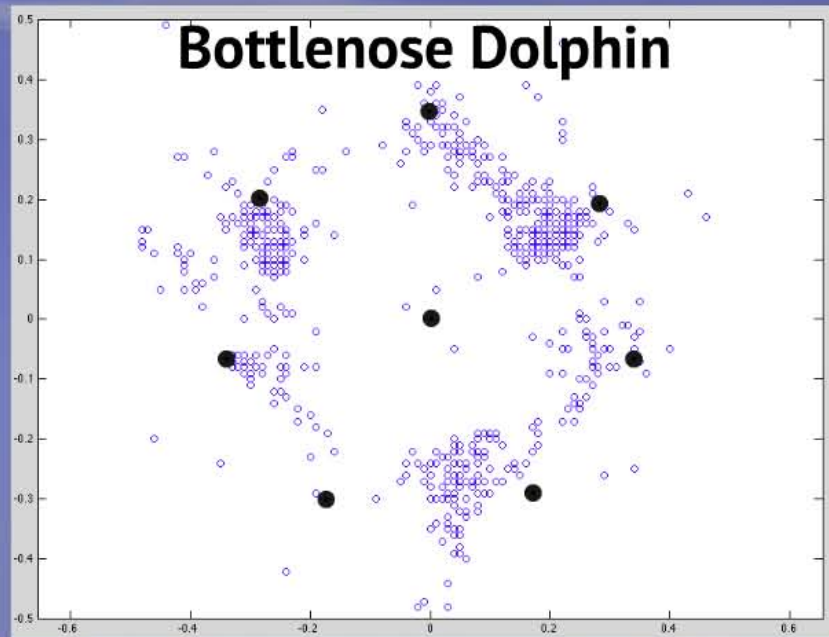
# Main response axis not directed at target for target absent trials



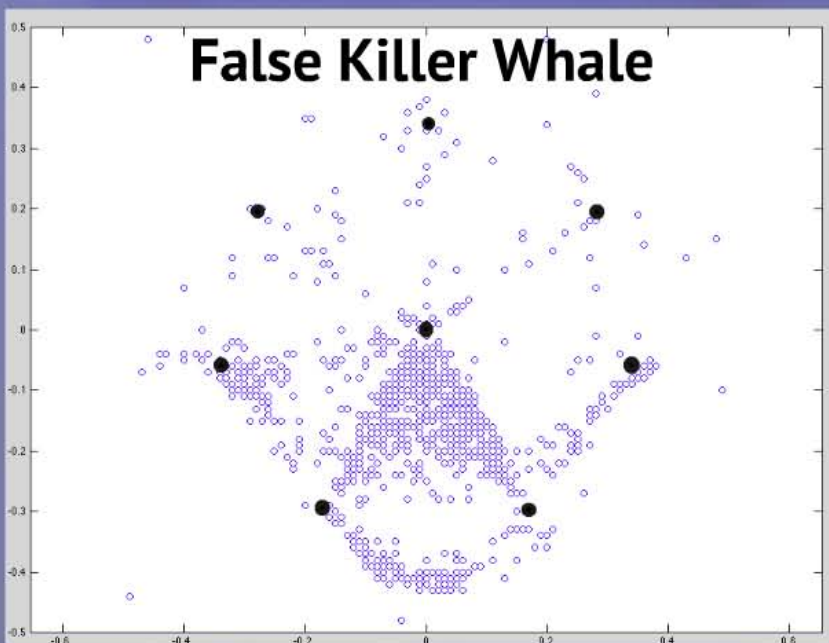
# Main response axis not directed at target regardless of target distance



# Similarities and differences between animals



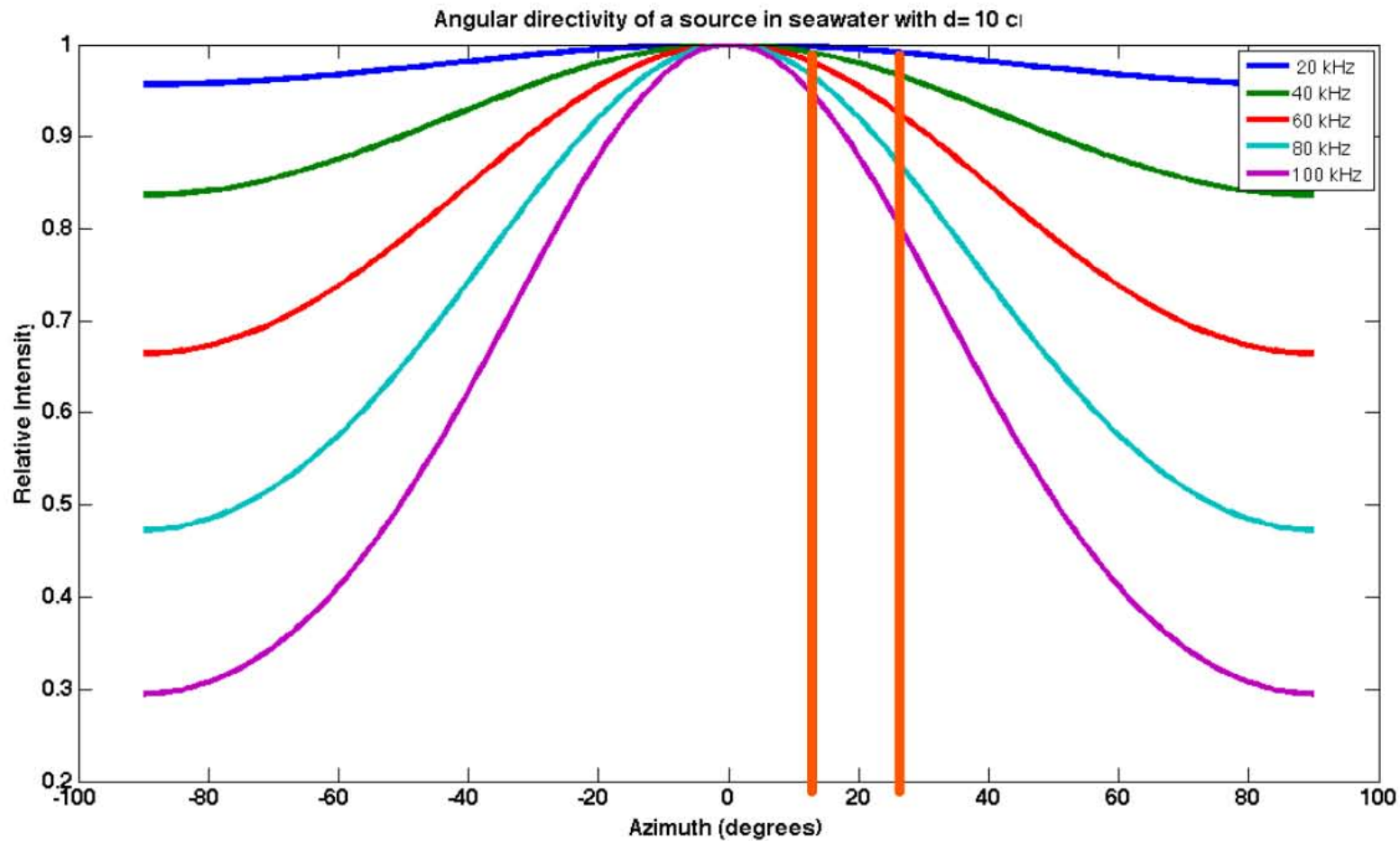
Direction of main axis is different depending on animal



Both animals use same strategy of pointing main axis away from the target

Species differences or individual differences?

# Hypothesis: Using the gradient of the beam pattern for optimal localization



$$D_{\omega}(\theta) = \left( \frac{2J_1\left(\pi \frac{d}{\lambda} \sin\theta\right)}{\pi \frac{d}{\lambda} \sin\theta} \right)^2$$

# Summary of Experimental Results

The size of the echolocation beam changes depending on target distance and difficulty, which might be a strategy of narrowing a focal region on the target

The main response of the sound beam is directed away from the target, which might allow the animal to use the frequency information on the gradient of the beam for optimal localization

Small, dynamic adjustments occur on a click-by-click basis allowing animals to acoustically focusing on targets of varying characteristics

# Summary of Biosonar Techniques

With just a single sound generator, odontocetes can achieve levels of resolution and performance greater than conventional devices with the same aperture and frequency constraints

Odontocetes produce signals with a high bandwidth to center frequency ratio and mechanically focus the emitted signals through a lipid filled melon.

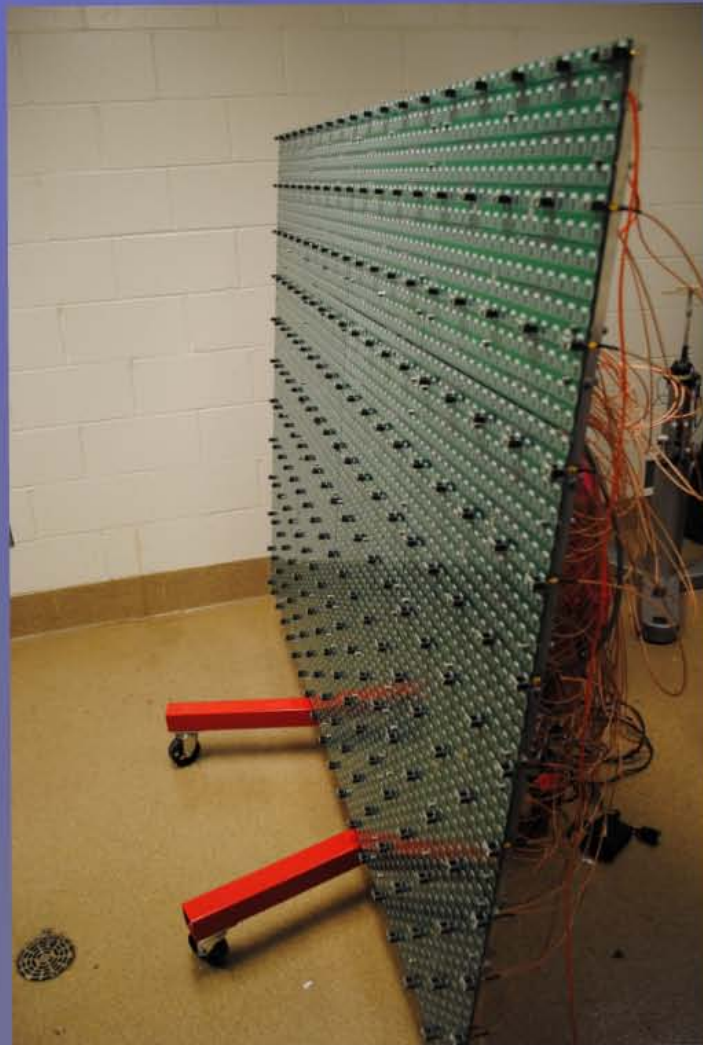
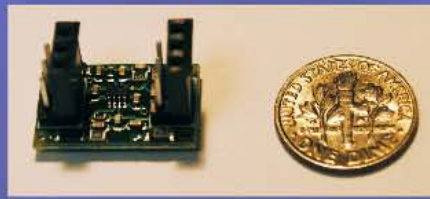
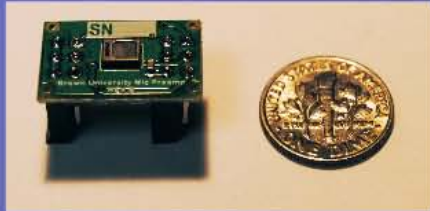
The signals are directed off axis which might be a strategy of utilizing frequency gradients in the beam for target localization





# What's Next?

# Large array for measurements of bat echolocation signals

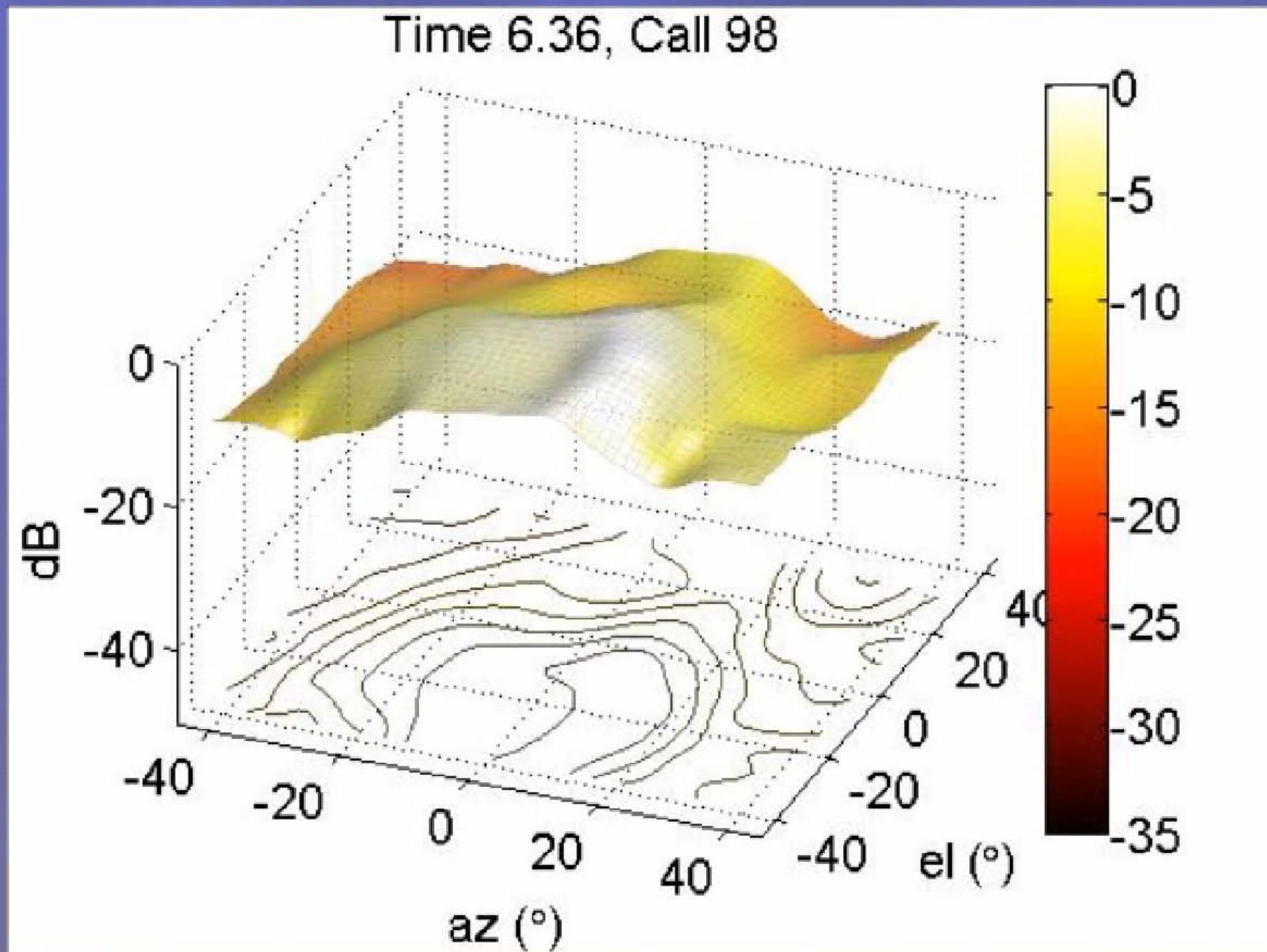


**224 element microphone array**

**composed of low cost MEMS microphones  
and custom analog and digital electronics**

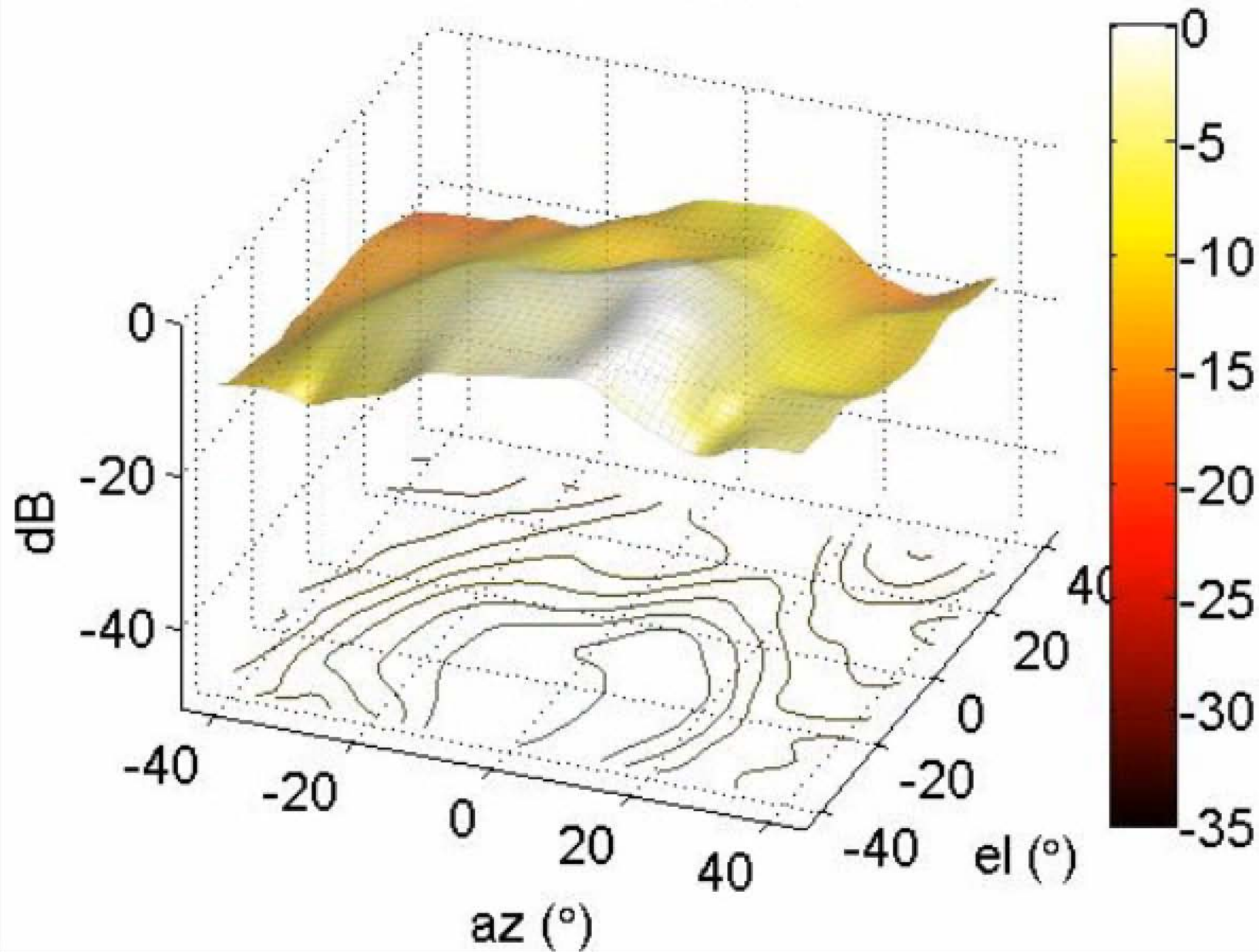
**allows for fine scale, independent, variable  
frequency measurements of the beam**

# Visualizing the beam of echolocating bats



# Visualizing the beam of echolocating bats

Time 6.36, Call 98



# Ear and mouth movements during echolocation



# Ear and mouth movements during echolocation



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